PATENT ASSIGNMENT COVER SHEET

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CONVEYING PARTY DATA

Name	Execution Date
KANJIRO MIYATA	02/19/2020
SUMIYO WATANABE	02/17/2020
HYUNJIN KIM	02/19/2020

RECEIVING PARTY DATA

Name:	THE UNIVERSITY OF TOKYO
Street Address:	3-1, HONGO 7-CHOME, BUNKYO-KU
City:	TOKYO
State/Country:	JAPAN
Postal Code:	113-8654

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	16642993

CORRESPONDENCE DATA

Fax Number:

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Email: mail@jtek-law.com
Correspondent Name: J-TEK LAW PLLC

Address Line 1: 4000 LEGATO ROAD, SUITE 1100

Address Line 4: FAIRFAX, VIRGINIA 22033

ATTORNEY DOCKET NUMBER:	TOD003-01172
NAME OF SUBMITTER:	JEFFREY TEKANIC
SIGNATURE:	/Jeffrey D. Tekanic/
DATE SIGNED:	02/10/2022

Total Attachments: 5

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> PATENT REEL: 059002 FRAME: 0148

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PATENT REEL: 059002 FRAME: 0149



United States Patent and Trademark Office



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PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2

SUBMISSION TYPE: NEW ASSIGNMENT **NATURE OF CONVEYANCE:** ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
KANJIRO MIYATA	02/19/2020
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City:	TOKYO
State/Country:	JAPAN
Postal Code:	113-8654

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	16642993

CORRESPONDENCE DATA

PATENT

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Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax

number, if provided; if that is unsuccessful, it will be sent via US Mail.

Correspondent Name: J-TEK LAW PLLC

Address Line 1:4000 LEGATO ROAD, SUITE 1100Address Line 4:FAIRFAX, VIRGINIA 22033

ATTORNEY DOCKET

NUMBER:

TOD003-01172

NAME OF SUBMITTER:

JEFFREY D. TEKANIC

Signature:

/Jeffrey D. Tekanic/

Date:

02/28/2020

Total Attachments: 2

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EPAS ID: PAT5987892 **Receipt Date:** 02/28/2020

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PATENT

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NOTICE OF ASSIGNMENT FOR US PATENT APPLICATION

WHEREAS, the undersigned inventor(s), Kanjiro MIYATA, Sumiyo WATANABE, and HyunJin KIM, c/o THE UNIVERSITY OF TOKYO, 3-1, Hongo 7-chome, Bunkyo-ku, Tokyo, 113-8654 Japan (hereinafter referred to as "the Assignor(s)"), has/have invented certain new and useful improvements in "NUCLEIC ACID-LOADED UNIT POLYION COMPLEX", for which a patent application will be or has been filed in the U.S. claiming priority to International Application No. PCT/JP2018/032040 and to Japanese patent application serial number 2017-166735.

WHEREAS, THE UNIVERSITY OF TOKYO, a university organized under the laws of Japan and having a principal place of business at 3-1, Hongo 7-chome, Bunkyo-ku, Tokyo, 113-8654, Japan (hereinafter referred to as "the Assignee"), is desirous of acquiring the entire right, title and interest of the Assignor(s) in and to said improvements, the entire right, title and interest of the Assignor(s) in and to any US patent application(s) based on said improvements, and in and to any Patent(s) of the United States, to be obtained therefor and thereon;

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, the Assignor(s) hereby confirm that, in accordance with their employment contractual obligations to the Assignee, the Assignor(s) has/have previously sold, assigned, transferred, and set over unto the Assignee, their successors, legal representatives, and assigns, the entire right, title, and interest of the Assignor(s) in and to the above-mentioned improvements, the entire right, title and interest of the Assignor(s) in and to any US patent applications and any and all Patent of the United States of America that may be granted therefor and thereon, and in and to any and all applications claiming priority to said applications, divisions, continuations, and continuations-in-part of said applications, and reissues and extensions of said Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by the Assignee, for their own use and behalf and the use and behalf of their successors, legal representatives, and assigns, to the full end of the term or terms for which Patents may be granted as fully and entirely as the same would have been held and enjoyed by the Assignor(s) had this sale and assignment not been made;

AND for the same consideration, the Assignor(s) hereby covenant(s) to and agree(s) with the Assignee, their successors, legal representatives, and assigns, that, at the time of execution and delivery of these presents, the Assignor(s) is/are the sole and lawful owner(s) of the entire right, title, and interest in and to the improvements set forth in said above-mentioned application, and that the same right, title, and interest are unencumbered, and that the Assignor(s) has/have good and full right and lawful authority to sell and convey the same in the manner herein set forth;

AND for the same consideration, the Assignor(s) hereby covenant(s) to and agree(s) with the Assignee, their successors, legal representatives, and assigns that the Assignor(s) will, whenever counsel of the Assignee, or the counsel of their successors, legal representatives, and assigns, shall advise that any proceeding in connection with said improvements or said applications for Patents, or any proceeding in connection with Patents for said improvements in the United States of America, including interference proceedings, is lawful and desirable, or that any application claiming priority to said application, division, continuation, or continuation-in-part of any applications for Patents, or any reissue or

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extension of any Patents to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement, and defense of Patents for said improvements, without charge to the Assignee, their successors, legal representatives, and assigns, but at the cost and expense of the Assignee, their successors, legal representatives, and assigns;

AND the Assignor(s) hereby request(s) the Commissioner of Patents and Trademarks to issue any and all said Patent(s) of the United States to the Assignee as the Assignce of said improvements, the Patent(s) to be issued for the sole use and behalf of the Assignee, their successors, legal representatives, and assigns.

Date: Feb. 19. 2020

Date: July 14. 2020

Date: 2020 Feb. 19th

By Kanjiro Miyata
By 演奏 大

By KTM Hyun J7H
Hainlin KIM