

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT7182694

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
BENJAMIN YONG PARK	08/03/2017
RABIH BACHIR ZAOUK	04/23/2018
WILLIAM HUBERT SCHANK	02/12/2018
GENIS TURON TEIXIDOR	02/05/2018
LOTHAR STEFFENS	04/03/2018
RECEIVING PARTY DATA	
Name:	ENEVATE CORPORATION
Street Address:	101 THEORY
City:	IRVINE
State/Country:	CALIFORNIA
Postal Code:	92617
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	17674075
CORRESPONDENCE DATA	
Fax Number:	(312)775-8100
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	3127758000
Email:	emaxson@mcandrews-ip.com
Correspondent Name:	MCANDREWS, HELD & MALLOY, LTD.
Address Line 1:	500 WEST MADISON STREET
Address Line 2:	SUITE 3400
Address Line 4:	CHICAGO, ILLINOIS 60661
ATTORNEY DOCKET NUMBER:	64682US06
NAME OF SUBMITTER:	JEFFREY B. HUTER
SIGNATURE:	/Jeffrey B. Huter/
DATE SIGNED:	02/17/2022
Total Attachments: 8	

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COMBINED DECLARATION & ASSIGNMENT (37 CFR 1.63(e))

Application Data Sheet filed previously or concurrently

Docket No.: CMBATT.012P2C1

Page 1 of 8

Title: SILICON PARTICLES FOR BATTERY ELECTRODES

Inventors: Benjamin Yong Park; Alexander Gorkovenko; Rabih Bachir Zaouk; William Hubert Schank;
Genis Turon Telxidori; and Lothar Steffens

Declaration

This Declaration is directed to the application identified above that:

Was filed **January 23, 2017** as U.S. Application No. **15/413021** and incorporating any amendments made thereto prior to the signature date of this Declaration.

As a named inventor, I declare that:

The above-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor in the application.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 USC 1001 by fine or imprisonment of not more than five (5) years, or both.

I have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

Assignment from Inventors

WHEREAS, **above-identified inventors** (individual(s) hereinafter "ASSIGNOR") invented certain new and useful improvements, technology, inventions, developments, ideas, ornamental designs, or discoveries, and hereby assign or are under an obligation to assign to the below identified Assignee the above-titled application (collectively hereinafter referred to as the "Work") for which an application for Letters Patent in the United States (identified above) has been prepared for filing with the United States Patent and Trademark Office (hereinafter the "Application").

AND WHEREAS, **ENEVATE CORPORATION**, with its principal place of business at 101 Theory, Suite 200, Irvine, CA 92617 (hereinafter the "ASSIGNEE"), desires to acquire the entire right, title, and interest in and to the Application and the Work.

NOW, THEREFORE, for good and valuable consideration of which receipt is hereby acknowledged, ASSIGNOR hereby acknowledges that ASSIGNOR has sold, assigned, transferred and set over, and by these presents does hereby sell, assign, transfer and set over, unto said ASSIGNEE, its successors, legal representatives and assigns, the entire right, title, and interest throughout the world in the Application and the Work, including all Patent Properties filed or issued upon the Application and the Work; where "Patent Properties" include, but are not limited to:

all provisional applications relating thereto (including but not limited to U.S. Provisional Application Nos. **61/295993**, **61/315845**, and **61/530881**, filed **January 18, 2010**, **March 19, 2010**, and **September 2, 2011** respectively);

all nonprovisional applications claiming priority to aforementioned provisional(s) (including but not limited to U.S. Application Nos. **13/008800**, **13/601976**, and **13/799405**, filed **January 18, 2011**, **August 31, 2012**, and **March 13, 2013** respectively) and/or the present Application, including, all divisions, continuations, continuations-in-part, and reissues, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof; and

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REEL: 059039 FRAME: 0463

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Title: SILICON PARTICLES FOR BATTERY ELECTRODES

Inventors: Benjamin Yong Park; Alexander Gorkovenko; Rabih Bachir Zaouk; William Hubert Schank;
Genis Turon Teixidor; and Lothar Steffens

all rights of priority under International Conventions and any related Letters Patent which may hereafter be granted or filed in any country or countries foreign to the United States, all extensions, renewals and reissues thereof.

ASSIGNOR hereby acknowledges the ASSIGNEE as the Applicant for all aforementioned Patent Properties, and authorizes and requests the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all related Letters Patent to the ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND ASSIGNOR DOES HEREBY sell, assign, transfer, and convey to ASSIGNEE, its successors, legal representatives, and assigns all claims for damages and all remedies arising out of any violation of the rights assigned hereby that may have accrued prior to the date of assignment to ASSIGNEE, or may accrue hereafter, including, but not limited to, the right to sue for, collect, and retain damages for past infringements of said Letters Patent before or after issuance.

AND ASSIGNOR DOES HEREBY covenant and agree that ASSIGNOR will communicate to said ASSIGNEE, its successors, legal representatives and assigns, any facts known to ASSIGNOR respecting the Work, and testify in any legal proceeding, assist in the preparation of any other Patent Property relating to the Application and the Work or any improvements made thereto, sign/execute all lawful papers, authorize the filing of and execute and make all rightful oaths and/or declarations in connection with the Application and the Work including any improvements made thereto, any patent applications filed therefrom, and any continuing application filed from any of the aforementioned applications, and generally do everything possible to aid the ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for the Work in all countries.

COMBINED DECLARATION & ASSIGNMENT (37 CFR 1.63(e))

Application Data Sheet filed previously or concurrently

Docket No.: CMBATT.012P2C1

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Title: SILICON PARTICLES FOR BATTERY ELECTRODES

Inventors: Benjamin Yong Park; Alexander Gorkovenko; Rabih Bachir Zaouk; William Hubert Schank;
Genis Turon Teixidor; and Lothar Steffens

Legal Name of Inventor: Benjamin Yong Park

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 3rd day of August, 2017.

Signature: *Benjamin Yong Park*

Signature before a Notary is desirable but not required.

A NOTARY PUBLIC OR OTHER OFFICER COMPLETING THIS CERTIFICATE
VERIFIES ONLY THE IDENTITY OF THE INDIVIDUAL WHO SIGNED THE
DOCUMENT TO WHICH THIS CERTIFICATE IS ATTACHED, AND NOT THE
TRUTHFULNESS, ACCURACY, OR VALIDITY OF THAT DOCUMENT.

STATE OF CALIFORNIA

COUNTY OF ORANGE

ss.

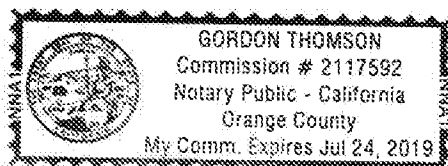
On AUGUST 3, 2017 before me, GORDON THOMSON, notary public, personally
appeared Benjamin Yong Park who proved to me on the basis of satisfactory evidence to be the
person(s) whose name(s) is/are subscribed to the within instrument, and acknowledged to me that
he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their
signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted,
executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing
paragraph is true and correct.

WITNESS my hand and official seal.

Gordon Thomson
Notary Signature

[SEAL]



PATENT

REEL: 059039 FRAME: 0465

COMBINED DECLARATION & ASSIGNMENT (37 CFR 1.63(e))

Application Data Sheet filed previously or concurrently

Docket No.: CMBATT.012P2C1

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Title: SILICON PARTICLES FOR BATTERY ELECTRODES

Inventors: Benjamin Yong Park; Alexander Gorkovenko; Rabih Bachir Zaouk; William Hubert Schank;
Genis Turon Teixidor; and Lothar Steffens

Legal Name of inventor: Alexander Gorkovenko

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this ____ day of _____, 20____.

Signature: _____

Signature before a Notary is desirable but not required.

A NOTARY PUBLIC OR OTHER OFFICER COMPLETING THIS CERTIFICATE
VERIFIES ONLY THE IDENTITY OF THE INDIVIDUAL WHO SIGNED THE
DOCUMENT TO WHICH THIS CERTIFICATE IS ATTACHED, AND NOT THE
TRUTHFULNESS, ACCURACY, OR VALIDITY OF THAT DOCUMENT.

STATE OF CALIFORNIA

COUNTY OF _____

ss.

On _____, before me, _____, notary public, personally
appeared Alexander Gorkovenko who proved to me on the basis of satisfactory evidence to be the
person(s) whose name(s) is/are subscribed to the within instrument, and acknowledged to me that
he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their
signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted,
executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing
paragraph is true and correct.

WITNESS my hand and official seal.

Notary Signature

[SEAL]

COMBINED DECLARATION & ASSIGNMENT (37 CFR 1.63(e))

Application Data Sheet filed previously or concurrently

Docket No.: CMBATT.012P2C1

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Title: SILICON PARTICLES FOR BATTERY ELECTRODES

Inventors: Benjamin Yong Park; Alexander Gorkovenko; Rabih Bachir Zaouk; William Hubert Schank;
Genis Turon Teixidor; and Lothar Steffens

Legal Name of Inventor: Rabih Bachir Zaouk

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 23rd day of APRIL, 2018

Signature: _____

Signature before a Notary is desirable but not required.

A NOTARY PUBLIC OR OTHER OFFICER COMPLETING THIS CERTIFICATE
VERIFIES ONLY THE IDENTITY OF THE INDIVIDUAL WHO SIGNED THE
DOCUMENT TO WHICH THIS CERTIFICATE IS ATTACHED, AND NOT THE
TRUTHFULNESS, ACCURACY, OR VALIDITY OF THAT DOCUMENT.

STATE OF CALIFORNIA

COUNTY OF Los Angeles

ss.

On 4-23-18, before me, BRYAN CORRADO, notary public, personally
appeared Rabih Bachir Zaouk who proved to me on the basis of satisfactory evidence to be the person(s)
whose name(s) is/are subscribed to the within instrument, and acknowledged to me that he/she/they
executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the
instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing
paragraph is true and correct.

WITNESS my hand and official seal.

[SEAL]

Notary Signature



PATENT

REEL: 059039 FRAME: 0467

COMBINED DECLARATION & ASSIGNMENT (37 CFR 1.63(e))

Application Data Sheet filed previously or concurrently

Docket No.: CMBATT.012P2C1

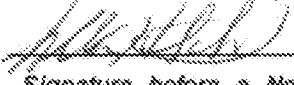
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Title: SILICON PARTICLES FOR BATTERY ELECTRODES

Inventors: Benjamin Yong Park; Alexander Gorkovenko; Rabih Bachir Zaouk; William Hubert Schank;
Genis Turon Teixidor; and Lothar Steffens

Legal Name of inventor: William Hubert Schank

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 12 day of FEBRUARY, 2016.

Signature: 

Signature before a Notary is desirable but not required. When signed in presence of a Notary, please attach the appropriate notarial documentation.

PATENT

REEL: 059039 FRAME: 0468

COMBINED DECLARATION & ASSIGNMENT (37 CFR 1.63(e))

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Title: SILICON PARTICLES FOR BATTERY ELECTRODES

Inventors: Benjamin Yong Park; Alexander Gorkovenko; Rabih Bachir Zaouk; William Hubert Schank;
Genis Turon Teixidor; and Lothar Steffens

Legal Name of Inventor: Genis Turon Teixidor

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 5 day of February, 2018.

Signature: Genis Turon Teixidor

Signature before a Notary is desirable but not required.

A NOTARY PUBLIC OR OTHER OFFICER COMPLETING THIS CERTIFICATE
VERIFIES ONLY THE IDENTITY OF THE INDIVIDUAL WHO SIGNED THE
DOCUMENT TO WHICH THIS CERTIFICATE IS ATTACHED, AND NOT THE
TRUTHFULNESS, ACCURACY, OR VALIDITY OF THAT DOCUMENT.

STATE OF CALIFORNIA

ss.

COUNTY OF _____

On _____, before me, _____, notary public, personally
appeared Genis Turon Teixidor who proved to me on the basis of satisfactory evidence to be the
person(s) whose name(s) is/are subscribed to the within instrument, and acknowledged to me that
he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their
signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted,
executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing
paragraph is true and correct.

WITNESS my hand and official seal.

Notary Signature

[SEAL]

COMBINED DECLARATION & ASSIGNMENT (37 CFR 1.63(e))

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Docket No.: CMBATT.012P2C1

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Title: SILICON PARTICLES FOR BATTERY ELECTRODES

Inventors: Benjamin Yong Park; Alexander Gorkovenko; Rabin Bachir Zacuk; William Hubert Scharik;
Genis Turon Teixidor; and Lothar Steffens

Legal Name of inventor: Lothar Steffens

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 3rd day of April, 2018.

Signature: _____

Signature before a Notary is desirable but not required.

A NOTARY PUBLIC OR OTHER OFFICER COMPLETING THIS CERTIFICATE
VERIFIES ONLY THE IDENTITY OF THE INDIVIDUAL WHO SIGNED THE
DOCUMENT TO WHICH THIS CERTIFICATE IS ATTACHED, AND NOT THE
TRUTHFULNESS, ACCURACY, OR VALIDITY OF THAT DOCUMENT.

STATE OF CALIFORNIA

COUNTY OF Franklin

ss.

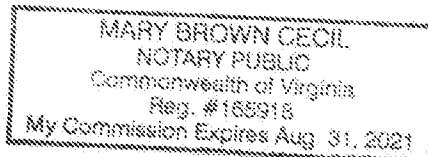
On 4/3/2018, before me, Mary B. Cecil, notary public, personally
appeared Lothar Steffens who proved to me on the basis of satisfactory evidence to be the person(s)
whose name(s) is/are subscribed to the within instrument, and acknowledged to me that he/she/they
executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the
instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing
paragraph is true and correct.

WITNESS my hand and official seal.

Notary Signature _____

[SEAL]



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