# PATENT ASSIGNMENT COVER SHEET

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
PAUL FINNIE	04/14/2021
JACQUES LEFEBVRE	04/25/2021

### **RECEIVING PARTY DATA**

Name:	NATIONAL RESEARCH COUNCIL OF CANADA	
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## **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	17614396

#### **CORRESPONDENCE DATA**

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ATTORNEY DOCKET NUMBER:	NRC-2019-025-US	
NAME OF SUBMITTER:	ROBERT A. H. BRUNET	
SIGNATURE:	/Robert A. H. Brunet/	
DATE SIGNED:	02/22/2022	

### **Total Attachments: 3**

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PATENT 507142153 REEL: 059069 FRAME: 0772

2019-025

**CONFIRMATORY ASSIGNMENT** 

WHEREAS, we, Paul FINNIE, a citizen of CANADA residing at 5 Ava Road, Ottawa,

Ontario K1K 3E1, CANADA and Jacques LEFEBVRE, a citizen of CANADA residing

at 1560 Chemin Jacques-Cartier Sud, Stoneham-et-Tewkesbury, Quebec G3C

1X9, CANADA and in the course of our work at and/or for the National Research

Council of Canada have made an invention (hereinafter the "Invention") for which we

have made application for Letters Patent entitled

BROADBAND RAMAN EXCITATION SPECTROSCOPY WITH STRUCTURED EXCITATION PROFILES

filed in the World Intellectual Property Office on June 18, 2020 under International PCT

Patent Application No. PCT/IB2020/055736 which claims priority to U.S. Provisional

Patent Application No. 62/864,052 filed on June 20, 2019 (hereinafter the

"Application");

AND WHEREAS, National Research Council of Canada, a Departmental Corporation

forming part of the Government of Canada, created by an act of Parliament, the

National Research Council Act, R. S. C. 1985, c. N-15, having its principal offices at

1200 Montreal Road, Ottawa, Ontario K1A 0R6, CANADA. is our employer and/or

maintains facilities or equipment that we used in making the Invention, and is the owner

of our rights in the Invention by action of law, namely s. 3 of The Public Servants

Inventions Act of Canada, or by agreement;

NOW, THEREFORE, in consideration of having been provided with an opportunity to

participate in scientific research at the National Research Council of Canada and other

good and valuable consideration, the receipt and sufficiency of which are hereby

acknowledged, we, Paul FINNIE and Jacques LEFEBVRE hereby confirm that we did

transfer to the National Research Council of Canada all of our right, title and interest in

and to the Invention in all countries of the world and in and to the Application and all

subsequent patent applications based thereon, including any regular patent applications

that claim priority therefrom, and any and all continuations, divisions and reissues of any

that claim priority therefrom, and any and all continuations, divisions and reissues of any

of the foregoing, together with the right to claim priority from the Application under the

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PATENT REEL: 059069 FRAME: 0773 provisions of any international agreements and national laws including the International Convention for the Protection of Industrial Property.

AND we hereby confirm that we have the right to make the assignment herein free of any encumbrances or interests of any other parties.

AND we hereby authorize the Commissioner of Patents and Trademarks, and any official of any country authorized to issue patents, to issue any Letters Patent for the Invention to National Research Council of Canada, to the extent that the same would have been issued to us in the event that ownership rested with us as inventors.

This Confirmatory Assignment is effective as of June 20, 2019.

Executed on April 14, 2021

Paul FINNIE

Declaration by Witness

I, ERIN YOSHIDA whose full post office address is 5 AVA ID, OTTAWAION, KIEBEI, CANADA say:

That I was personally present and did hear and see, Paul FINNIE, who is personally known to me duly sign the above Confirmatory Assignment and confirm having read and understood all that it contains.

Zi VISCR (signature of witness) Executed on <u>April 25 2071</u>

Jacques LEFEBVRE

**Declaration by Witness** 

I, <u>CAROLINE ASSELIN</u>, whose full post office address is <u>3 Jandims-Missici Aprov Quille (Qu)</u> GISAMA say:

That I was personally present and did hear and see, Jacques LEFEBVRE, who is personally known to me duly sign the above Confirmatory Assignment and confirm having read and understood all that it contains.

(signature of witness)

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PATENT REEL: 059069 FRAME: 0775