

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT7207464

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT	
<b>NATURE OF CONVEYANCE:</b>	SECURITY INTEREST	
<b>CONVEYING PARTY DATA</b>		
	<b>Name</b>	<b>Execution Date</b>
	HERITAGE CAPITAL CORPORATION	02/28/2022
<b>RECEIVING PARTY DATA</b>		
<b>Name:</b>	WELLS FARGO BANK, NATIONAL ASSOCIATION	
<b>Street Address:</b>	4975 PRESTON PARK BLVD.	
<b>City:</b>	PLANO	
<b>State/Country:</b>	TEXAS	
<b>Postal Code:</b>	75093	
<b>PROPERTY NUMBERS Total: 2</b>		
<b>Property Type</b>	<b>Number</b>	
<b>Application Number:</b>	14746441	
<b>Application Number:</b>	12784575	
<b>CORRESPONDENCE DATA</b>		
<b>Fax Number:</b>		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>		
<b>Phone:</b>	2146653630	
<b>Email:</b>	hutchingsa@gtlaw.com	
<b>Correspondent Name:</b>	ALISON HUTCHINGS	
<b>Address Line 1:</b>	2200 ROSS AVENUE	
<b>Address Line 2:</b>	SUITE 5200	
<b>Address Line 4:</b>	DALLAS, TEXAS 75201	
<b>NAME OF SUBMITTER:</b>	ALISON HUTCHINGS	
<b>SIGNATURE:</b>	/Alison Hutchings/	
<b>DATE SIGNED:</b>	03/04/2022	
	This document serves as an Oath/Declaration (37 CFR 1.63).	
<b>Total Attachments: 18</b>		
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**PATENT AND TRADEMARK SECURITY AGREEMENT**

This Patent and Trademark Security Agreement (the “Agreement”), dated as of February 28, 2022, is made by and among HERITAGE CAPITAL CORPORATION, a Texas corporation, HERITAGE NUMISMATIC AUCTIONS, INC., a Delaware corporation, U.S. TANGIBLE INVESTMENT CORPORATION, a Delaware corporation, HERITAGE AUCTIONS, INC., a Texas corporation, CURRENCY AUCTIONS OF AMERICA, INC., a Texas corporation, HERITAGE ART & COLLECTIBLES, INC., a Texas corporation, THE IVY PRESS INCORPORATED, a Texas corporation, INVESTORS RARE COIN EXCHANGE, INC., a Texas corporation, HERITAGE VINTAGE SPORTS AUCTIONS, INC., a Texas corporation, HERITAGE VINTAGE SPORTS COLLECTIBLES, INC., a Texas corporation, HERITAGE AUCTIONEERS & GALLERIES, INC., a Texas corporation, HERITAGE COLLECTIBLES, INC., a Texas corporation, HERITAGE LUXURY PROPERTY AUCTIONS, INC., a Texas corporation, and HERITAGE AUCTIONS APPRAISAL SERVICES, INC., a Texas corporation, each having a mailing address at 3500 Maple Ave., 17th Floor, Dallas TX, 75219 (each individually, a “Grantor” and collectively, the “Grantors”), and Wells Fargo Bank, National Association (“Wells Fargo”), and having a business location at the address set forth below next to its signature.

Recitals

A. The Grantors and Wells Fargo are parties to a Credit Agreement (as amended, supplemented or restated from time to time, the “Credit Agreement”) dated as of February 28, 2022 and a Security Agreement: Business Assets (as amended, supplemented or restated from time to time, the “Security Agreement”) dated as of February 28, 2022.

B. As a condition to extending credit to or for the account of the Grantors, Wells Fargo has required the execution and delivery of this Agreement by each Grantor to evidence the security interest granted to Wells Fargo in any patents and trademarks not expressly covered by other security agreements.

ACCORDINGLY, in consideration of the agreements of Wells Fargo set forth in the Credit Agreement, the Grantors hereby agree as follows

1. Definitions. Terms defined in the Security Agreement and not otherwise defined herein shall have the meanings given them in the Security Agreement. In addition, the following terms have the meanings set forth below:

“Affiliate” means James Halperin, R. Steven Ivy and any other person controlled by, controlling or under common control with any Grantor, including without limitation any subsidiary of a Grantor. For purposes of this definition, “control,” when used with respect to any specified person, means the power to direct the management and policies of such person, directly or indirectly, whether through the ownership of voting securities, by contract or otherwise.

“Patents” means all right, title and interest in and to patents or applications for patents, fees or royalties with respect to each, and including without limitation the right to sue for past infringement and damages therefor, and licenses thereunder, all as presently existing or hereafter arising or acquired of any Grantor, including without limitation the patents listed on Exhibit A.

“Security Interest” has the meaning given in Section 2.

“Trademarks” means all right, title and interest in and to: (i) trademarks, service marks, collective membership marks, registrations and applications for registration for each, and the respective goodwill associated with each, (ii) licenses, fees or royalties with respect to each, (iii) the right to sue for past, present and future infringement, dilution and damages therefor, and (iv) licenses thereunder, all as presently existing or hereafter arising or acquired of any Grantor, including, without limitation, the marks listed on Exhibit B.

2. Security Interest. Each Grantor hereby irrevocably pledges and assigns to, and grants Wells Fargo a security interest (the “Security Interest”) with power of sale to the extent permitted by law, in the Patents and in the Trademarks to secure payment of the Indebtedness. As set forth in the Security Agreement, the Security Interest is coupled with a security interest in substantially all of the personal property of each Grantor. This Agreement grants only the Security Interest herein described, is not intended to and does not affect any present transfer of title of any trademark registration or application and makes no assignment and grants no right to assign or perform any other action with respect to any intent to use trademark application, unless such action is permitted under 15 U.S.C. § 1060.

3. Obligations Secured. The obligations secured hereby are the payment and performance of: (a) all present and future Indebtedness of Grantors to Wells Fargo, specifically including, without limitation, any Reimbursement Obligations; provided however that the obligations secured hereby shall not include any Indebtedness of Grantors to Wells Fargo (i) to the extent that the terms of the agreement(s) giving rise to such Indebtedness expressly state that such Indebtedness is unsecured or not secured by this Agreement, or otherwise expressly disclaim the security interest created hereby as security for such Indebtedness (excluding, for the avoidance of doubt, Reimbursement Obligations), or (ii) that is secured by any real property; and (b) all obligations of Grantors and rights of Wells Fargo under this Agreement. For the avoidance of doubt, and notwithstanding anything herein or in any other agreement between Debtor and Wells Fargo to the contrary, the statement herein that Reimbursement Obligations are specifically included in the Indebtedness secured hereby, shall be sufficient to satisfy a requirement in any Reimbursement Agreement executed by Debtor and delivered to Wells Fargo stating that for such letter of credit or acceptance or similar product obligations to be secured, they must be specifically described.

4. Representations, Warranties and Agreements. Each Grantor represents, warrants and agrees as follows:

(a) **Existence; Authority.** Each Grantor is a corporation or limited liability company duly organized, validly existing and in good standing under the laws of its state of incorporation, and this Agreement has been duly and validly authorized by all necessary corporate action on the part of each Grantor.

(b) **Patents.** Exhibit A accurately lists all Patents owned or controlled by any Grantor as of the date hereof, or to which any Grantor has a right as of the date hereof to have assigned to it, and accurately reflects the existence and status of applications and letters patent pertaining to the Patents as of the date hereof. If after the date hereof, any Grantor owns, controls or has a right to have assigned to it any Patents not listed on Exhibit A, or if Exhibit A ceases to accurately reflect the existence and status of applications and letters patent pertaining to the Patents, then such Grantor shall promptly provide written notice to Wells Fargo with a replacement Exhibit A, which upon acceptance by Wells Fargo shall become part of this Agreement.

(c) **Trademarks.** Exhibit B accurately lists all Trademarks owned or controlled by any Grantor as of the date hereof and accurately reflects the existence and status of Trademarks and all applications and registrations pertaining thereto as of the date hereof; provided, however, that Exhibit B need not list common law marks (i.e., Trademarks for which there are no applications or registrations) which are not material to Grantors' or any Affiliate's business(es). If after the date hereof, any Grantor owns or controls any Trademarks not listed on Exhibit B (other than common law marks which are not material to Grantors' or any Affiliate's business(es)), or if Exhibit B ceases to accurately reflect the existence and status of applications and registrations pertaining to the Trademarks, then such Grantor shall promptly provide written notice to Wells Fargo with a replacement Exhibit B, which upon acceptance by Wells Fargo shall become part of this Agreement.

(d) **Affiliates.** As of the date hereof, no Affiliate owns, controls, or has a right to have assigned to it any items that would, if such item were owned by any Grantor, constitute Patents or Trademarks. If after the date hereof any Affiliate owns, controls, or has a right to have assigned to it any such items, then Grantors shall promptly either: (i) cause such Affiliate to assign all of its rights in such item(s) to Grantors; or (ii) notify Wells Fargo of such item(s) and cause such Affiliate to execute and deliver to Wells Fargo a patent and trademark security agreement substantially in the form of this Agreement.

(e) **Title.** The Grantor(s) specified in Exhibits A and B own each Patent and each Trademark listed on Exhibits A and B, free and clear of all Liens except liens permitted by the Credit Agreement ("Permitted Liens"). Each Grantor (i) will have, at the time such Grantor acquires any rights in Patents or Trademarks hereafter arising, absolute title to each such Patent or Trademark free and clear of all Liens except Permitted Liens, and (ii) will keep all Patents and Trademarks free and clear of all Liens except Permitted Liens.

(f) **No Sale.** Except as permitted in the Credit Agreement, no Grantor will assign, transfer, encumber or otherwise dispose of the Patents or Trademarks, or any interest therein, without Wells Fargo's prior written consent.

(g) **Defense.** Grantors will at their own expense and using commercially reasonable efforts, to the extent reasonably advisable and as determined by Grantors in their sole discretion, protect and defend the Patents and Trademarks against all claims or demands of all Persons other than those holding Permitted Liens.

(h) **Maintenance.** Grantors will at their own expense maintain the Patents and the Trademarks to the extent reasonably advisable in their business and as determined in their sole discretion including, but not limited to, filing all applications to obtain letters patent or trademark registrations and all affidavits, maintenance fees, annuities, and renewals possible with respect to letters patent, trademark registrations and applications therefor. Each Grantor covenants that it will not abandon nor fail to pay any maintenance fee or annuity due and payable on any Patent or Trademark, nor fail to file any required affidavit or renewal in support thereof, without first providing Wells Fargo: (i) sufficient written notice, of at least 30 days, to allow Wells Fargo to timely pay any such maintenance fees or annuities which may become due on any Patents or Trademarks, or to file any affidavit or renewal with respect thereto, and (ii) a separate written power of attorney or other authorization to pay such maintenance fees or annuities, or to file such affidavit or renewal, should such be necessary or desirable.

(i) **Wells Fargo's Right to Take Action.** If any Grantor fails to perform or observe any of its covenants or agreements set forth in this Section 4, and if such failure continues for a period of ten (10) calendar days after Wells Fargo gives such Grantor written notice thereof (or, in the case of the agreements contained in subsection (h), immediately upon the occurrence of such failure, without notice or lapse of time), or if any Grantor notifies Wells Fargo that it intends to abandon a Patent or Trademark, Wells Fargo may (but need not) perform or observe such covenant or agreement or take steps to prevent such intended abandonment on behalf and in the name, place and stead of such Grantor (or, at Wells Fargo's option, in Wells Fargo's own name) and may (but need not) take any and all other actions which Wells Fargo may reasonably deem necessary to cure or correct such failure or prevent such intended abandonment.

(j) **Costs and Expenses.** Except to the extent that the effect of such payment would be to render any loan or forbearance of money usurious or otherwise illegal under any applicable law, Grantors shall pay Wells Fargo on demand the amount of all moneys expended and all costs and expenses (including reasonable attorneys' fees and disbursements) incurred by Wells Fargo in connection with or as a result of Wells Fargo's taking action under subsection (i) or exercising its rights under Section 7, together with interest thereon from the date expended or incurred by Wells Fargo at the Default Rate.

(k) **Power of Attorney.** To facilitate Wells Fargo's taking action under subsection (i) and exercising its rights under Section 7, each Grantor hereby irrevocably

appoints (which appointment is coupled with an interest) Wells Fargo, or its delegate, as the attorney-in-fact of each Grantor with the right (but not the duty) from time to time to create, prepare, complete, execute, deliver, endorse or file, in the name and on behalf of any Grantor, any and all instruments, documents, applications, financing statements, and other agreements and writings required to be obtained, executed, delivered or endorsed by any Grantor under this Section 4, or, necessary for Wells Fargo, after an Event of Default, to enforce or use the Patents or Trademarks or to grant or issue any exclusive or non-exclusive license under the Patents or Trademarks to any third party, or to sell, assign, transfer, pledge, encumber or otherwise transfer title in or dispose of the Patents or Trademarks to any third party. Each Grantor hereby ratifies all that such attorney shall lawfully do or cause to be done by virtue hereof. The power of attorney granted herein shall terminate upon the termination of the Credit Agreement as provided therein and the payment and performance of all Indebtedness.

5. Grantors' Use of the Patents and Trademarks. Grantors shall be permitted to control and manage the Patents and Trademarks, including the right to exclude others from making, using or selling items covered by the Patents and Trademarks and any licenses thereunder, in the same manner and with the same effect as if this Agreement had not been entered into, so long as no Event of Default occurs and remains uncured.

6. Events of Default. Each of the following occurrences shall constitute an event of default under this Agreement (herein called "Event of Default"): (a) an Event of Default, as defined in the Credit Agreement, shall occur; or (b) any Grantor shall fail promptly to observe or perform any covenant or agreement herein binding on it; or (c) any of the representations or warranties contained in Section 4 shall prove to have been incorrect in any material respect when made.

7. Remedies. Upon the occurrence of an Event of Default and at any time thereafter, Wells Fargo may, at its option, take any or all of the following actions:

(a) Wells Fargo may exercise any or all remedies available under the Credit Agreement.

(b) Wells Fargo may sell, assign, transfer, pledge, encumber or otherwise dispose of the Patents and Trademarks.

(c) Wells Fargo may enforce the Patents and Trademarks and any licenses thereunder, and if Wells Fargo shall commence any suit for such enforcement, Grantors shall, at the reasonable request of Wells Fargo, do any and all lawful acts and execute any and all proper documents required by Wells Fargo in aid of such enforcement.

8. Miscellaneous. This Agreement can be waived, modified, amended, terminated or discharged, and the Security Interest can be released, only explicitly in a writing signed by Wells Fargo. A waiver signed by Wells Fargo shall be effective only in the specific instance and for the specific purpose given. Mere delay or failure to act shall not preclude the exercise or enforcement of any of Wells Fargo's rights or remedies. All rights and remedies of Wells Fargo shall be cumulative and may be exercised singularly or concurrently, at Wells

Fargo's option, and the exercise or enforcement of any one such right or remedy shall neither be a condition to nor bar the exercise or enforcement of any other. All notices to be given to Grantors under this Agreement shall be given in the manner and with the effect provided in the Credit Agreement. Wells Fargo shall not be obligated to preserve any rights Grantors may have against prior parties, to realize on the Patents and Trademarks at all or in any particular manner or order, or to apply any cash proceeds of Patents and Trademarks in any particular order of application. This Agreement shall be binding upon and inure to the benefit of Grantors and Wells Fargo and their respective participants, successors and assigns and shall take effect when signed by each Grantor and delivered to Wells Fargo, and Grantors waives notice of Wells Fargo's acceptance hereof. Wells Fargo may execute this Agreement if appropriate for the purpose of filing, but the failure of Wells Fargo to execute this Agreement shall not affect or impair the validity or effectiveness of this Agreement. A carbon, photographic or other reproduction of this Agreement or of any financing statement signed by each Grantor shall have the same force and effect as the original for all purposes of a financing statement. This Agreement shall be governed by the internal law of the State of Texas without regard to conflicts of law provisions. If any provision or application of this Agreement is held unlawful or unenforceable in any respect, such illegality or unenforceability shall not affect other provisions or applications which can be given effect and this Agreement shall be construed as if the unlawful or unenforceable provision or application had never been contained herein or prescribed hereby. All representations and warranties contained in this Agreement shall survive the execution, delivery and performance of this Agreement and the creation and payment of the Indebtedness.

[SIGNATURES APPEAR ON FOLLOWING PAGE]



THE PARTIES WAIVE ANY RIGHT TO TRIAL BY JURY IN ANY  
ACTION OR PROCEEDING BASED ON OR PERTAINING TO THIS AGREEMENT.

IN WITNESS WHEREOF, the parties have executed this Patent and Trademark  
Security Agreement as of the date written above.

Wells Fargo Bank, National Association  
4975 Preston Park Blvd  
Plano, Texas 75093

WELLS FARGO BANK, NATIONAL  
ASSOCIATION

By *Ashley A. Nichols*  
Name: Ashley A. Nichols  
Title: Vice President

STATE OF TEXAS     §  
COUNTY OF Collin     §

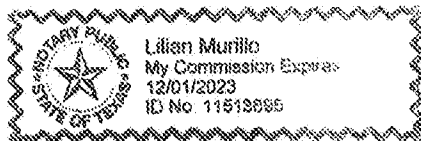
This instrument was ACKNOWLEDGED before me on February 11, 2022, by Ashley A. Nichols, the Vice  
President of Wells Fargo Bank, National Association, a national banking association, on behalf of said national  
banking association.

[S E A L]

*Lilian Murillo*  
Notary Public, State of Texas

My commission expires:  
12/01/2023

Printed Name of Notary Public Lilian Murillo  
Notary ID No. 11513695



HERITAGE CAPITAL CORPORATION

By: 

Name: Michael R. Haynes

Title: Chief Financial Officer

HERITAGE COLLECTIBLES, INC.

By: 

Name: Michael R. Haynes

Title: Chief Financial Officer

HERITAGE AUCTIONEERS & GALLERIES,  
INC.

By: 

Name: Michael R. Haynes

Title: Chief Financial Officer

U.S. TANGIBLE INVESTMENT  
CORPORATION

By: 

Name: Michael R. Haynes

Title: Chief Financial Officer

HERITAGE NUMISMATIC AUCTIONS, INC.

By: 

Name: Michael R. Haynes

Title: Chief Financial Officer

HERITAGE LUXURY PROPERTY  
AUCTIONS, INC.

By: 

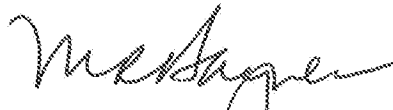
Name: Michael R. Haynes

Title: Chief Financial Officer

CURRENCY AUCTIONS OF AMERICA,  
INC.

By:   
Name: Michael R. Haynes  
Title: Chief Financial Officer

HERITAGE AUCTIONS, INC.

By:   
Name: Michael R. Haynes  
Title: Chief Financial Officer

HERITAGE ART & COLLECTIBLES, INC.

By:   
Name: Michael R. Haynes  
Title: Chief Financial Officer

THE IVY PRESS INCORPORATED

By:   
Name: Michael R. Haynes  
Title: Chief Financial Officer

INVESTORS RARE COIN EXCHANGE INC.

By:   
Name: Michael R. Haynes  
Title: Chief Financial Officer

HERITAGE AUCTIONS APPRAISAL  
SERVICES, INC.

By: MR Haynes

Name: Michael R. Haynes

Title: Chief Financial Officer

HERITAGE VINTAGE SPORTS  
COLLECTIBLES, INC.

By: MR Haynes

Name: Michael R. Haynes

Title: Chief Financial Officer

HERITAGE VINTAGE SPORTS AUCTIONS,  
INC.

By: MR Haynes

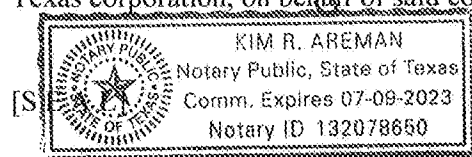
Name: Michael R. Haynes

Title: Chief Financial Officer

STATE OF TEXAS §

COUNTY OF Dallas §

This instrument was ACKNOWLEDGED before me on February 14<sup>th</sup>, 2022, by  
Michael R. Haynes the Chief Financial Officer of Heritage Capital Corporation, a  
Texas corporation, on behalf of said corporation.



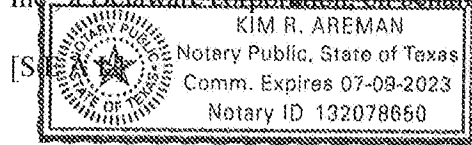
Kim R. Areman  
Notary Public, State of Texas

My commission expires:  
07/09/2023

Printed Name of Notary Public Kim R. Areman  
Notary ID No. 132078650

STATE OF TEXAS           §  
   §  
COUNTY OF Dallas       §

This instrument was ACKNOWLEDGED before me on February 14<sup>th</sup>, 2022, by  
Michael R. Hayes, the Chief Financial Officer of Heritage Numismatic Auctions,  
Inc., a Delaware corporation, on behalf of said corporation.



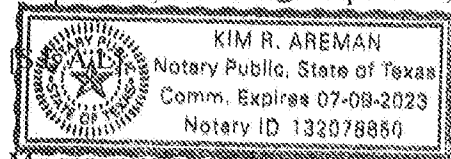
Kim R. Areman  
Notary Public, State of Texas

My commission expires:  
07/09/2023

Printed Name of Notary Public Kim R. Areman  
Notary ID No. 132078650

STATE OF TEXAS           §  
   §  
COUNTY OF Dallas       §

This instrument was ACKNOWLEDGED before me on February 14<sup>th</sup>, 2022, by  
Michael R. Hayes, the Chief Financial Officer of U.S. Tangible Investment  
Corporation, a Delaware corporation, on behalf of said corporation.



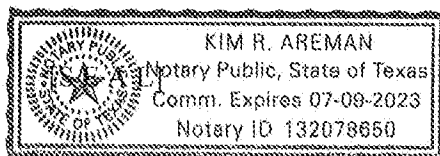
Kim R. Areman  
Notary Public, State of Texas

My commission expires:  
07/09/2023

Printed Name of Notary Public Kim R. Areman  
Notary ID No. 132078650

STATE OF TEXAS           §  
   §  
COUNTY OF Dallas       §

This instrument was ACKNOWLEDGED before me on February 14<sup>th</sup>, 2022, by  
Michael R. Hayes, the Chief Financial Officer of Heritage Auctions, Inc., a Texas  
corporation, on behalf of said corporation.



Kim R. Areman  
Notary Public, State of Texas

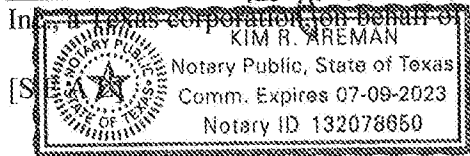
My commission expires:  
07/09/2023

Printed Name of Notary Public Kim R. Areman

Notary ID No. 132078650

STATE OF TEXAS §  
COUNTY OF Dallas §

This instrument was ACKNOWLEDGED before me on February 14<sup>th</sup>, 2022, by  
Michael R. Haynes, the Chief Financial Officer of Currency Auctions of America,  
Inc., a Texas corporation, on behalf of said corporation.



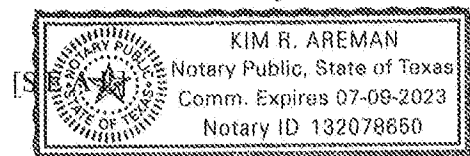
Kim R. Areman  
Notary Public, State of Texas

My commission expires:  
07/09/2023

Printed Name of Notary Public Kim R. Areman  
Notary ID No. 132078650

STATE OF TEXAS §  
COUNTY OF Dallas §

This instrument was ACKNOWLEDGED before me on February 14<sup>th</sup>, 2022, by  
Michael R. Haynes, the Chief Financial Officer of Heritage Art & Collectibles, Inc., a  
Texas corporation, on behalf of said corporation.



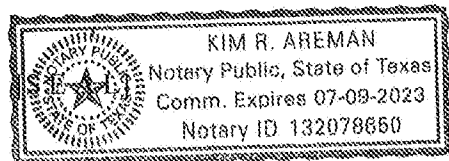
Kim R. Areman  
Notary Public, State of Texas

My commission expires:  
07/09/2023

Printed Name of Notary Public Kim R. Areman  
Notary ID No. 132078650

STATE OF TEXAS §  
COUNTY OF Dallas §

This instrument was ACKNOWLEDGED before me on February 14<sup>th</sup>, 2022, by  
Michael R. Haynes, the Chief Financial Officer of The Ivy Press Incorporated, a  
Texas corporation, on behalf of said corporation.



Kim R. Areman  
Notary Public, State of Texas

My commission expires:

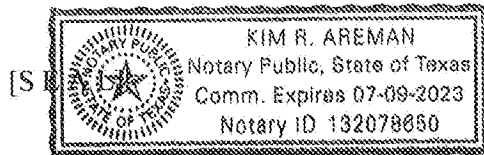
ACTIVE 61443748v5

07/09/2023

Printed Name of Notary Public Kim R. Areman  
Notary ID No. 132078650

STATE OF TEXAS §  
COUNTY OF Dallas §

This instrument was ACKNOWLEDGED before me on February 14<sup>th</sup>, 2022, by  
Michael R. Hayes, the Chief Financial Officer of Investors Rare Coin Exchange,  
Inc., a Texas corporation, on behalf of said corporation.



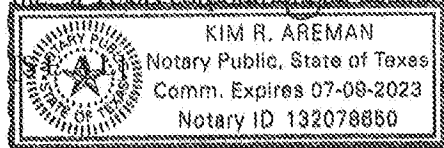
Kim R. Areman  
Notary Public, State of Texas

My commission expires:  
07/09/2023

Printed Name of Notary Public Kim R. Areman  
Notary ID No. 132078650

STATE OF TEXAS §  
COUNTY OF Dallas §

This instrument was ACKNOWLEDGED before me on February 14<sup>th</sup>, 2022, by  
Michael R. Hayes, the Chief Financial Officer of Heritage Vintage Sports Auctions,  
Inc., a Texas corporation, on behalf of said corporation.



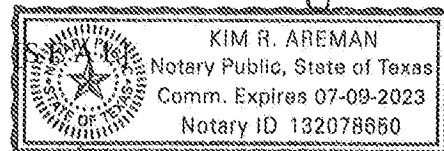
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Notary Public, State of Texas

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07/09/2023

Printed Name of Notary Public Kim R. Areman  
Notary ID No. 132078650

STATE OF TEXAS §  
COUNTY OF Dallas §

This instrument was ACKNOWLEDGED before me on February 14<sup>th</sup>, 2022, by  
Michael R. Hayes, the Chief Financial Officer of Heritage Vintage Sports  
Collectibles, Inc., a Texas corporation, on behalf of said corporation.



Kim R. Areman  
Notary Public, State of Texas

My commission expires:

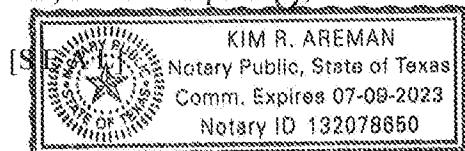
07/09/2023

Printed Name of Notary Public Kim R. Areman  
Notary ID No. 132078650

STATE OF TEXAS §

COUNTY OF Dallas §

This instrument was ACKNOWLEDGED before me on February 14<sup>th</sup>, 2022, by  
Michael R. Hughes, the Chief Financial Officer of Heritage Auctioneers & Galleries,  
Inc., a Texas corporation, on behalf of said corporation.



Kim R. Areman  
Notary Public, State of Texas

My commission expires:

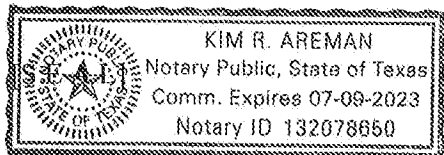
07/09/2023

Printed Name of Notary Public Kim R. Areman  
Notary ID No. 132078650

STATE OF TEXAS §

COUNTY OF Dallas §

This instrument was ACKNOWLEDGED before me on February 14<sup>th</sup>, 2022, by  
Michael R. Hughes, the Chief Financial Officer of Heritage Collectibles, Inc., a  
Texas corporation, on behalf of said corporation.



Kim R. Areman  
Notary Public, State of Texas

My commission expires:

07/09/2023

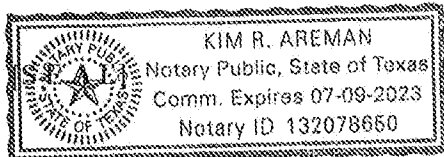
Printed Name of Notary Public Kim R. Areman  
Notary ID No. 132078650

STATE OF TEXAS §

COUNTY OF Dallas §

This instrument was ACKNOWLEDGED before me on February 14<sup>th</sup>, 2022, by  
Michael R. Hughes, the Chief Financial Officer of Heritage Luxury Property  
Auctions, Inc., a Texas corporation, on behalf of said corporation.





My commission expires:

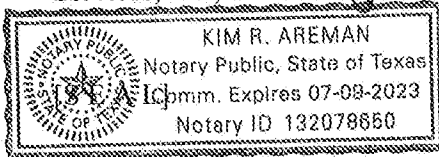
07/09/2023

STATE OF TEXAS

COUNTY OF Dallas

§  
§  
§

This instrument was ACKNOWLEDGED before me on February 14<sup>th</sup>, 2022, by  
Michael R. Areman, the Chief Financial Officer of Heritage Auction Appraisal  
Services, Inc., a Texas corporation, on behalf of said corporation.



My commission expires:

07/09/2023

Kim R. Areman  
Notary Public, State of Texas

Printed Name of Notary Public Kim R. Areman  
Notary ID No. 132078650

Kim R. Areman  
Notary Public, State of Texas

Printed Name of Notary Public Kim R. Areman  
Notary ID No. 132078650

EXHIBIT A

<b>Country</b>	<b>Applicants</b>	<b>Patent App. No.</b>	<b>Publication No.</b>	<b>Date of Patent</b>
United States	Heritage Capital Corporation	14/746,441	US 10,019,754 B1	July 10, 2018
United States		12/784,575	US 9,064,282 B1	July 23, 2015

EXHIBIT B

UNITED STATES ISSUED TRADEMARKS, SERVICE MARKS

AND COLLECTIVE MEMBERSHIP MARKS

MARK	OWNER	SERIAL NO.	REGISTRATION NO.
HERITAGE	Heritage Capital Corporation	75/856674	2486881
HERITAGE	Heritage Capital Corporation	76/369910	2746296
HERITAGE LIVE!	Heritage Capital Corporation	77/585170	3668676
MYCOLLECTION	Heritage Capital Corporation	76/189960	2786944
MYHERITAGE	Heritage Numismatic Auctions, Inc.	76/189959	2725159
MYWANT LIST	Heritage Numismatic Auctions, Inc.	76/189958	2678826
PLATINUM NIGHT	Heritage Numismatic Auctions, Inc.	76/680166	3500543
SIGNATURE	Heritage Numismatic Auctions, Inc.	76/584839	3281227
THE WORLD'S LARGEST COLLECTIBLES AUCTIONEER	Heritage Auctions, Inc.	76/591732	2988325
Heritage Auction Galleries HA.com	Heritage Collectibles, Inc.	77/907194	3912991
HERITAGE	Heritage Capital Corporation	85/227962	4095545
HERITAGE...AMERICA'S AUCTION COMPANY	Heritage Capital Corporation	85/227985	4415193
HERITAGE...AMERICA'S AUCTION HOUSE	Heritage Capital Corporation	85/228005	4415194
HERITAGE MAGAZINE FOR INTELLIGENT	Heritage Capital Corporation	85/673269	4304726

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COLLECTOR			
INTELLIGENT COLLECTOR	Heritage Capital Corporation	85/674401	4304751
HERITAGE AUCTIONS	Heritage Capital Corporation	014510952 (European Union)	014510952
HERITAGE AUCTIONS	Heritage Capital Corporation	UK00914510952 (United Kingdom)	UK00914510952
HERITAGE AUCTIONS	Heritage Capital Corporation	87/166322	5309325
GUARDIAN	Heritage Capital Corporation	90/887941	

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