

PATENT ASSIGNMENT COVER SHEET

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EPAS ID: PAT7233404

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
THOMAS JAMES KIPPS	03/15/2017
JIAN YU	03/15/2017
BING CUI	03/16/2017
LIGUANG CHEN	03/15/2017
GEORGE F. WIDHOPF II	03/15/2017
CHARLES PRUSSAK	03/15/2017
RECEIVING PARTY DATA	
Name:	THE REGENTS OF THE UNIVERSITY OF CALIFORNIA
Street Address:	1111 FRANKLIN STREET, TWELFTH FLOOR
City:	OAKLAND
State/Country:	CALIFORNIA
Postal Code:	94607-5200
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	17696776
CORRESPONDENCE DATA	
Fax Number:	(858)314-1501
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
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Correspondent Name:	MINTZ, LEVIN, COHN, FERRIS, GLOVSKY AND POPEO, P.C
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Address Line 4:	SAN DIEGO, CALIFORNIA 92130
ATTORNEY DOCKET NUMBER:	048537-557C03US
NAME OF SUBMITTER:	CORY A. MASSEY
SIGNATURE:	/Cory A. Massey/
DATE SIGNED:	03/18/2022

Total Attachments: 4

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CONFIRMATORY ASSIGNMENT

UC Case No. 2012-143

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS,

Thomas James Kipps, Jian Yu, Bing Cui, Liguang Chen, George F. Widhopf II, Charles Prussak

hereby sell, assign and transfer to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin Street, Twelfth Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

ANTIBODIES AND VACCINES FOR USE IN TREATING BCR1 CANCERS AND INHIBITING METASTASIS

- for which United States patent application No. 61/693,239 was filed on August 24, 2012 in the United States Patent Office;
- for which United States patent application No. 61/709,955 was filed on October 2, 2012 in the United States Patent Office;
- for which United States patent application No. 61/709,803 was filed on October 4, 2012 in the United States Patent Office;
- for which International patent application No. PCT/US2013/032572 was filed on March 15, 2013 in the U.S. Receiving Office of the Patent Cooperation Treaty; and
- for which United States patent application No. 14/422,519 was filed on February 19, 2015 in the United States Patent Office as a national phase patent application of International patent application No. PCT/US2013/032572.

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

WHEREAS, ASSIGNORS, originally assigned their rights, title and interest in the invention to ASSIGNEE on March 3, 2014 (a copy of the original assignment is attached to this assignment);

WHEREAS, the original assignment executed March 3, 2014 erroneously lists the earliest provisional filing date of August 24, 2012 as the filing date of International patent application No. PCT/US2013/032572, and whereas such assignment is hereby revoked with our full knowledge and consent;

WHEREAS, the previous agent of the Assignee, recorded the original assignment with the United States Patent and Trademark Office on June 3, 2015 at Reel 035773, Frame 0012;

ASSIGNORS hereby agree that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

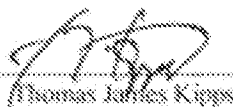
ASSIGNORS further agree that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, post grant review, inter partes review, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNORS request the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

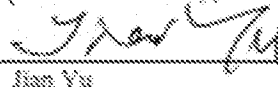
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Signature of Inventors

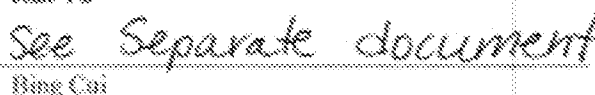
15 day of March, 2017


Thomas James Kipps

15 day of March, 2017


Jian Yu

15 day of March, 2017


Bing Cui

PATENT

REEL: 059315 FRAME: 0261

Confirmatory Assignment
[Signature Page]

15th day of March, 2017

15th day of March, 2017

15th day of March, 2017



Ligwang Chen



George F. Widhoeff II



Charles Prussak

CONFIRMATORY ASSIGNMENT

UC. Case No. 2012-143

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ANTIBODIES AND VACCINES FOR USE IN TREATING ROR1 CANCERS AND INHIBITING METASTASIS

- for which United States patent application No. 61/693,230 was filed on August 24, 2012 in the United States Patent Office;
- for which United States patent application No. 61/709,055 was filed on October 2, 2012 in the United States Patent Office;
- for which United States patent application No. 61/709,803 was filed on October 4, 2012 in the United States Patent Office;
- for which International patent application No. PCT/US2013/032572 was filed on March 15, 2013 in the U.S. Receiving Office of the Patent Cooperation Treaty; and
- for which United States patent application No. 14/422,519 was filed on February 19, 2015 in the United States Patent Office as a national phase patent application of International patent application No. PCT/US2013/032572.

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

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AND the ASSIGNORS request the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

Signature of Inventors

____ day of _____, 2017

Thomas James Kipps

____ day of _____, 2017

Jian Yu

16th day of March, 2017

Bing Cui
Bing Cui

PATENT

REEL: 059315 FRAME: 0263

Confirmatory Assignment
[Signature Page]

____ day of _____, 2017

Liguang Chen

____ day of _____, 2017

George F. Widhopf II

____ day of _____, 2017

Charles Prussak