# 507215187 04/05/2022

# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT7262037

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
F. HOFFMANN-LA ROCHE AG	01/10/2017

## **RECEIVING PARTY DATA**

Name:	HOFFMANN-LA ROCHE INC.	
Street Address:	OVERLOOK AT GREAT NOTCH	
Internal Address:	150 CLOVE ROAD, 8TH FLOOR, SUITE 8 - LEGAL DEPARTMENT	
City:	LITTLE FALLS	
State/Country:	NEW JERSEY	
Postal Code:	07424	

## **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	16351922

#### **CORRESPONDENCE DATA**

**Fax Number:** (650)952-9881

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

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ATTORNEY DOCKET NUMBER:	P33847-US
NAME OF SUBMITTER:	JANET TSE
SIGNATURE:	/Janet Tse/
DATE SIGNED:	04/05/2022

## **Total Attachments: 3**

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507215187 PATENT REEL: 059495 FRAME: 0560

## ASSIGNMENT AGREEMENT

F. Hoffmann-La Roche AG ("ASSIGNOR") a corporation duly organized under the laws of Switzerland and having its principal place of business at Grenzacherstrasse 124, CH-4070 Basel, Switzerland

acknowledges the previous transfer to

Hoffmann-La Roche Inc. ("ASSIGNEE") a corporation of the State of New Jersey, United States of America, having its principal place of business at Overlook at Great Notch, 150 Clove Road, 8<sup>th</sup> Floor, Suite 8 – Legal Department, Little Falls, New Jersey 07424, United States of America

for good and valuable consideration paid to ASSIGNOR by ASSIGNEE, the receipt and sufficiency of which is hereby acknowledged, of all right, title, and interest in, to, and deriving from the invention(s) disclosed in the patent application(s) set forth below for the territory of the United States of America and its possessions by operation of law, contract, or otherwise, and any Letters Patent which may hereafter be granted on the same in the United States including any application claiming priority under 35 U.S.C. §119(e), divisions, renewals, continuations in whole or in part, substitutions, conversions, reissues, reexaminations, prolongations or extensions thereof.

As far as the right, title, and interest of ASSIGNOR in, to, and deriving from the invention(s) disclosed in the patent application(s) set forth below may not have been transferred, for good and valuable consideration paid to ASSIGNOR by ASSIGNEE, the receipt and sufficiency of which is hereby acknowledged; ASSIGNOR hereby assigns, sells and transfers to ASSIGNEE, and to ASSIGNEE'S successors and assigns, for the territory of the United States of America and its possessions all of ASSIGNOR'S right, title and interest in, to, and deriving from the invention(s) described in the patent application(s) listed below, including any divisions, renewals, continuations in whole or in part, substitutions, conversions, reissues, reexaminations, prolongations or extensions thereof, or other U.S. patent applications claiming the benefit of the filing date of the following patent application(s):

Case No.

Country

Filing Date

Serial No.

P33847

WO

13 September 2016

PCT/CN2016/098892

entitled

#### COMBINED TREATMENT WITH A TLR7 AGONIST AND AN HBV CAPSID ASSEMBLY INHIBITOR

ASSIGNEE, by virtue of this Assignment, shall have the right to file and own patent applications that claim priority to and are based in whole or in part on the patent application(s) cited above with the United States Patent and Trademark Office and to own Letters Patents that issue thereon. Accordingly, ASSIGNOR hereby authorizes and requests the Commissioner of Patents and Trademarks to issue any United States Letters Patent which may issue for such patent applications, including any divisions, renewals, continuations in whole or in part, substitutions, conversions, reissues, reexaminations, prolongations or extensions thereof, to ASSIGNEE, its successors and assigns.

ASSIGNOR does hereby covenant and agree with ASSIGNEE, its successors and assigns, that ASSIGNOR will not execute any writing or do any act conflicting with this assignment, and ASSIGNOR further agrees that he will, without charge to said ASSIGNEE, but at ASSIGNEE'S expense, cooperate with ASSIGNEE in the prosecution of said Letters Patent and/or applications, execute, verify, acknowledge and deliver all such further papers, including applications for Letters Patent and for the reissue thereof, and instruments of assignment and transfer thereof, and will perform such other acts as ASSIGNEE lawfully may request, to obtain or maintain Letters Patent for said invention and improvement in any and all countries, and to vest title thereto in said ASSIGNEE, or ASSIGNEE'S successors and assigns.

PATENT REEL: 059495 FRAME: 0561 This agreement shall be governed and construed in accordance with substantive laws of Switzerland, with the exclusion of the Vienna Convention on the International Sale of Goods dated April 11, 1980. The competent courts of Basel City, Switzerland shall have exclusive jurisdiction.

**ASSIGNOR** 

F. Hoffmann-La Roche AG

Hoffmann-La Roche Inc

Basel, Switzerland, January 10, 2017

Place, Date

Antonic Natoli Holder of procuration Susanne Amann Mandatory

ASSIGNEE

Newark, NJ

MAN 23 2017

Place, Date

George W. Johnston Authorized Signatory

## Legalization

The undersigned Notary Public of the Canton of Basel-Stadt (Switzerland), Dr. Christoph Nertz, herewith legalizes the foregoing signature of

F. Hoffmann-La Roche AG, a stock company with legal domicile in Basel (Switzerland), rendered by

Mister Antonio Natoli, citizen of and residing in Basel (Switzerland), and

Miss Susanne Amann, German citizen, residing in Heitersheim (Germany),

both duly entitled to sign documents wherein property of the company is alienated.

Signers and signatures personally known.

CHRISTOPH

NOTAR

B a s e I (Switzerland), this 18th (eighteenth) day of January 2017 (two thousand and seventeen).

h. Ga

BR 89/2017

CERTII	FICATE OF ACKNOWLEDGMENT
State of New Jersey )	
) ss County of Essex )	
county, personally appeared Ge	fore me, Guadalupe Castro, Notary Public in and for said corge W. Johnston, Authorized Signatory for Hoffmann Lahimself as the signer to the attached document described as: the No. P33847.
I certify under penalty o foregoing paragraph is true and	f perjury under the laws of the State of New Jersey that the correct.
Guadalupe Castro A notary public of New Jersey By Combission Expires Reptember 18, 2011	WITNESS by hand and official seal  Alala Calai  Notary Public of New Jersey ID #: 2449928

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