

PATENT ASSIGNMENT COVER SHEET

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
AMY JOY FARNSTROM	12/12/2014
RECEIVING PARTY DATA	
Name:	NYSE GROUP, INC.
Street Address:	11 WALL STREET
City:	NEW YORK
State/Country:	NEW YORK
Postal Code:	10005
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	17716197
CORRESPONDENCE DATA	
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Correspondent Name:	IP GROUP OF DLA PIPER LLP (US)
Address Line 1:	ONE LIBERTY PLACE
Address Line 2:	1650 MARKET ST, SUITE 5000
Address Line 4:	PHILADELPHIA, UNITED STATES 19103
ATTORNEY DOCKET NUMBER:	NGI-14-1077DIV-C-CON
NAME OF SUBMITTER:	NANCY NUNEZ
SIGNATURE:	/NN/
DATE SIGNED:	04/08/2022
Total Attachments: 1	
source=CombinedDeclarationAssignment#page1.tif	

COMBINED DECLARATION/ASSIGNMENT

WHEREAS, I

Amy Joy Farnstrom of Oakland, CA

(hereinafter referred to as "the undersigned"), have made an invention entitled RISK MITIGATION IN AN ELECTRONIC TRADING SYSTEM, identified in US Application No. 14/570,778, (DLA Piper is authorized to insert the Serial No. when known),

the above-identified application was made or authorized to be made by the persons executing this Declaration;

I believe that I am the original inventor of the claimed invention in the application;

I hereby acknowledge that any willful false statement made in this Declaration is punishable under 18 USC §1001 by fine or imprisonment of not more than five (5) years, or both.

WHEREAS, NYSE Group, Inc., with offices at 11 Wall Street, New York, NY 10005 (hereinafter referred to as "assignee"), is desirous of acquiring the entire right, title and interest in said invention, said US application and all letters patent issuing for said invention,

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and of other good and valuable consideration, receipt of which is hereby acknowledged, the undersigned, intending to be legally bound, does hereby sell, assign and transfer to the assignee the entire right, title and interest, for the United States of America, its territories and possessions, and for all foreign countries, in said invention, including said US application, all divisions and continuations thereof, all rights to claim priority based thereon, all rights to file foreign applications on said invention, and all letters patent and reissues thereof, issuing for said invention in the United States of America and in any and all foreign countries.

It is agreed that the undersigned shall be legally bound, upon request of the assignee, or its successors or assigns or a legal representative thereof, to supply all information and evidence of which the undersigned has knowledge or possession, relating to the making and practice of said invention, to testify in any legal proceeding relating thereto, to execute all instruments proper to patent the invention in the United States of America and foreign countries in the name of the assignee, and to execute all instruments proper to carry out the intent of this instrument. If the undersigned includes more than one individual, these obligations shall apply to all of the undersigned both individually and collectively.

The rights and property herein conveyed by the undersigned are free and clear of any encumbrance.

EXECUTED on the dates set forth below.

12/12/14
Date


Amy Joy Farnstrom