

<b>PATENT ASSIGNMENT COVER SHEET</b>
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<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT

**CONVEYING PARTY DATA**

Name	Execution Date
FORGE FINANCIAL HOLDINGS INC.	03/31/2022

**RECEIVING PARTY DATA**

<b>Name:</b>	FORGE GLOBAL, INC.
<b>Street Address:</b>	415 MISSION STREET, SUITE 5510
<b>City:</b>	SAN FRANCISCO
<b>State/Country:</b>	CALIFORNIA
<b>Postal Code:</b>	94105

**PROPERTY NUMBERS Total: 11**

Property Type	Number
Application Number:	62509396
Patent Number:	10636180
Patent Number:	11158099
Application Number:	17508609
Application Number:	62663889
Patent Number:	11210736
Application Number:	17547042
Application Number:	17547070
Application Number:	17547089
PCT Number:	US1833258
PCT Number:	US1929688

**CORRESPONDENCE DATA**

**Fax Number:** (650)838-4356

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**Phone:** 6508384350

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<b>ATTORNEY DOCKET NUMBER:</b>	142169-8000
<b>NAME OF SUBMITTER:</b>	ZOE BOSTWICK
<b>SIGNATURE:</b>	/Zoe Bostwick/
<b>DATE SIGNED:</b>	04/13/2022
<b>Total Attachments: 3</b> source=Assignment from FFHI to FGI 142169#page1.tif source=Assignment from FFHI to FGI 142169#page2.tif source=Assignment from FFHI to FGI 142169#page3.tif	

## ASSIGNMENT

**Forge Financial Holdings Inc.**, a Delaware corporation, having his principal place of business at 415 Mission Street, Suite 5510, San Francisco, CA 94105, (hereinafter referred to as "Assignor"), is the sole and exclusive owner, by assignment, of the Patent Applications and Issued Patents listed in *Schedule A*; and

**Forge Global, Inc.**, a Delaware corporation, having its principal place of business at 415 Mission Street, Suite 5510, San Francisco, CA 94105, (hereinafter referred to as "Assignee"), desires to acquire the right, title and interest in, to and under said Patent Applications and Issued Patents and the inventions covered thereby;

For valuable consideration, the receipt and sufficiency of which Assignor acknowledges, Assignor hereby sells, assigns, and transfers to Assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to said applications and issued patents for all original, divisional, continuation, continuation-in-part, substitute or reissue applications and patents applied for or granted therefore in the United States of America and all other countries including those found on the attached list of *Schedule A*, and the Commissioner of Patents and Trademarks is hereby authorized and requested to issue all patents on *Schedule A* to said Assignee herein, as assignee of the entire interest therein; and the undersigned for itself and its legal representatives, heirs and assigns does hereby agree and covenant without further remuneration, to execute and deliver all divisional, continuation, continuation-in-part, reissue and other applications for Letters Patent and all assignments thereof to said Assignee or its assigns, to communicate to said Assignee or its representatives all facts known to the undersigned respecting said invention listed on *Schedule A* whenever requested, to testify in any interferences or other legal proceedings in which said application or patent may become involved, to sign all lawful papers, make all rightful oaths, and do generally everything necessary to aid Assignee, its successors, assigns and nominees to obtain patent protection for said inventions listed on *Schedule A* in the United States of America and all other countries, the expenses incident to said applications and patents listed on *Schedule A* to be borne and paid by said Assignee.

Assignor and Assignee have caused this Assignment to be executed by their duly authorized officer(s) as of March 31, 2022.

ASSIGNOR: **Forge Financial Holdings Inc.,**  
Name: Norbert Ngethe  
Signature: / Norbert Ngethe  
Title: SVP, Head of Legal

ASSIGNEE: **Forge Global, Inc.**  
Name: Norbert Ngethe  
Signature: / Norbert Ngethe  
Title: SVP, Head of Legal

## SCHEDULE A

APPLICATION NUMBER	DATE FILED	PATENT #	GRANT DATE	COUNTRY	TITLE
62509396	5/22/17			US	DYNAMIC WATERFALL CHARTS
15804852	11/6/17	10636180	4/28/20	US	DYNAMIC WATERFALL CHARTS
16859222	4/27/20	11158099	10/26/21	US	DYNAMIC WATERFALL CHARTS
17508609	10/22/21			US	DYNAMIC WATERFALL CHARTS
PCTUS1833258	5/17/18			WO	DYNAMIC WATERFALL CHARTS
62663889	4/27/18			US	GLOBAL SETTLEMENT NETWORK
16397852	4/29/19	11210736	12/28/21	US	GLOBAL LIQUIDITY AND SETTLEMENT SYSTEM
17547042	12/9/21			US	GLOBAL LIQUIDITY AND SETTLEMENT SYSTEM
17547070	12/9/21			US	GLOBAL LIQUIDITY AND SETTLEMENT SYSTEM
17547089	12/9/21			US	GLOBAL LIQUIDITY AND SETTLEMENT SYSTEM
PCTUS1929688	4/29/19			WO	GLOBAL LIQUIDITY AND SETTLEMENT SYSTEM