

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
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EPAS ID: PAT7284354

<b>SUBMISSION TYPE:</b>	CORRECTIVE ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	Corrective Assignment to correct the DATE OF PAUL A. YATES SIGNATURE previously recorded on Reel 058839 Frame 0682. Assignor(s) hereby confirms the THE ASSIGNMENT.
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
PAUL ANDREW YATES	12/21/2010
KENNETH TRAN	04/01/2011
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	UNIVERSITY OF VIRGINIA
<b>Street Address:</b>	VARSITY HALL, 136 HOSPITAL DRIVE
<b>Internal Address:</b>	P.O. BOX 400301
<b>City:</b>	CHARLOTTESVILLE
<b>State/Country:</b>	VIRGINIA
<b>Postal Code:</b>	22904-4301
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
<b>Application Number:</b>	15962183
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Email:</b>	request@slwip.com
<b>Correspondent Name:</b>	SCHWEGMAN LUNDBERG & WOESSNER, P.A.
<b>Address Line 1:</b>	P.O. BOX 2938
<b>Address Line 4:</b>	MINNEAPOLIS, MINNESOTA 55402
<b>ATTORNEY DOCKET NUMBER:</b>	1036.200US3
<b>NAME OF SUBMITTER:</b>	BRENDA K. KOWALKE
<b>SIGNATURE:</b>	/ Brenda K. Kowalke /
<b>DATE SIGNED:</b>	04/18/2022
<b>Total Attachments: 8</b>	
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PATENTS ONLY

Atty Ref/Docket No.: 1036.200US3

Patent and Trademark Office

To the Director of the U.S. Patent and Trademark Office: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Paul Andrew Yates, Kenneth Tran

Additional name(s) of conveying party(ies) attached?

[ ] Yes [X] No

3. Nature of conveyance:

[X] Assignment

[ ] Merger

[ ] Security Agreement [ ] Change of Name

[X] Other Corrective Assignment to correct the date of

Paul A. Yates signature that was recorded incorrectly at

Reel 058839 Frame 0682

Execution Date: December 21, 2010, April 1, 2011

2. Name and address of receiving party(ies):

Name: University of Virginia

Street Address: Varsity Hall, 136 Hospital Drive  
P.O. Box 400301

City: Charlottesville State: VA Zip: 22904-4301

Country: United States of America

Additional name(s) & address(es) attached? [ ] Yes

[X] No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

Serial No. 15/962,183

B. Patent No.(s)

Additional numbers attached? [ ] Yes [X] No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Timothy J. Christman

Address:

Schwegman Lundberg & Woessner, P.A.

P.O. Box 2938

Minneapolis, Minnesota 55402

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 0.00

[ ] Enclosed

[ ] Authorized to be charged to deposit account  
19-0743

8. Please charge any additional fees or credit any over payments to our Deposit Account No.: 19-0743

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Timothy J. Christman/Reg. No. 59,481

Name of Person Signing

Signature

Apr 18, 2022

Date

Total number of pages including cover sheet: 8

Mail documents to be recorded with required cover sheet information to:

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P.O. Box 1450

Alexandria, VA 22313-1450

PATENT  
REEL: 059725 FRAME: 0320

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Your assignment has been received by the USPTO.  
The coversheet of the assignment is displayed below:

**PATENT ASSIGNMENT COVER SHEET**

Electronic Version v1.1

Stylesheet Version v1.2

<b>SUBMISSION TYPE:</b>	CORRECTIVE ASSIGNMENT												
<b>NATURE OF CONVEYANCE:</b>	Corrective Assignment to correct the DATE OF PAUL A. YATES SIGNATURE THAT WAS previously recorded on Reel 046874 Frame 0715. Assignor(s) hereby confirms the THE ASSIGNMENT.												
<b>CONVEYING PARTY DATA</b>													
<table><thead><tr><th>Name</th><th>Execution Date</th></tr></thead><tbody><tr><td>PAUL ANDREW YATES</td><td>12/12/2010</td></tr><tr><td>KENNETH TRAN</td><td>04/01/2011</td></tr></tbody></table>	Name	Execution Date	PAUL ANDREW YATES	12/12/2010	KENNETH TRAN	04/01/2011							
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**PATENT****REEL: 059725 FRAME: 0321**

**Fax Number:****Email:** request@slwip.com*Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.***Correspondent Name:** SCHWEGMAN LUNDBERG & WOESSNER, P.A.**Address Line 1:** P.O. BOX 2938**Address Line 4:** MINNEAPOLIS, MINNESOTA 55402**ATTORNEY DOCKET  
NUMBER:**

1036.200US3

**NAME OF SUBMITTER:**

BRENDA K. KOWALKE

**Signature:**

/ Brenda K. Kowalke /

**Date:**

01/25/2022

**Total Attachments: 6**

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**RECEIPT INFORMATION****EPAS ID:** PAT7138682**Receipt Date:** 01/25/2022[Return to home page](#)[| .HOME](#) | [INDEX](#) | [SEARCH](#) | [eBUSINESS](#) | [CONTACT US](#) | [PRIVACY STATEMENT](#)

**PATENT ASSIGNMENT COVER SHEET**

Electronic Version v1.1

Stylesheet Version v1.2

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT										
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<b>Name:</b>	UNIVERSITY OF VIRGINIA										
<b>Street Address:</b>	VARSITY HALL, 136 HOSPITAL DRIVE										
<b>City:</b>	CHARLOTTESVILLE										
<b>State/Country:</b>	VIRGINIA										
<b>Postal Code:</b>	22903										
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Property Type	Number										
<b>Application Number:</b>	15962183										
<b>CORRESPONDENCE DATA</b>											
<p><b>Fax Number:</b> (434)924-2493 <b>Phone:</b> 434-924-2173 <b>Email:</b> kmoore@uvapf.org <i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i> <b>Correspondent Name:</b> UNIVERSITY OF VIRGINIA PATENT FOUNDATION <b>Address Line 1:</b> 722 PRESTON AVENUE, SUITE 107 <b>Address Line 4:</b> CHARLOTTESVILLE, VIRGINIA 22903</p>											
<b>ATTORNEY DOCKET NUMBER:</b>	01687-06										
<b>NAME OF SUBMITTER:</b>	ROBERT J DECKER										
<b>Signature:</b>	/ROBERT J DECKER/										
<b>Date:</b>	09/14/2018										

**PATENT**

**Total Attachments: 3**

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**RECEIPT INFORMATION****EPAS ID:** PAT5141146**Receipt Date:** 09/14/2018

## ASSIGNMENT

WHEREAS, we, **Paul A. Yates and Kenneth Tran**, (the "Assignors"), have made an invention entitled

**"HAND-HELD PORTABLE FUNDUS CAMERA FOR SCREENING PHOTOGRAPHY"**

described in U. S. Patent Application Serial No. 61/240,027 filed on September 4, 2009; U.S. Patent Application Serial No. 61/372,270 filed on August 10, 2010; International Patent Application Serial No. PCT/US2010/047909 filed on September 3, 2010 and all subsequent patent applications claiming priority thereto; and

WHEREAS, the **University of Virginia**, One Boars Head Pointe, P. O. Box 400301, Charlottesville, Virginia 22904-4301 (the "Assignee"), is desirous of acquiring the entire right, title and interest in and to the aforesaid invention, including any tangible materials embodied in or encompassed by the invention and any trade secrets pertaining to the invention, and any improvements thereon, (the "Invention") and in and to said applications for Letters Patent thereon in the United States, its territories and possessions ("United States") and all foreign countries, including rights to claim priority, to any provisional applications, and in and to any Letters Patent of the United States or any foreign country which may be granted therefor, including any and all reissues, divisions, continuations, continuations-in-part, renewals, substitutes or extensions thereof (the "Rights");

NOW, THEREFORE, for and in consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, Assignors have sold, assigned, transferred and conveyed, and does hereby sell, assign, transfer and convey to Assignee, its successors and assigns, the entire right, title and interest in and to the aforesaid Invention and Rights, except that Assignee hereby grants back to the Assignors a royalty free non-transferable license to make and use the Invention under the Rights for educational and research purposes, only. The aforesaid assignment includes the right in and to all income, royalties, damages and payments now or hereafter due or payable with respect to any Letters Patent which may be granted, and in and to all causes of action (either in law or in equity), and the right to sue, counterclaim, and recover for past, present and future infringement of the rights assigned or to be assigned under this Assignment, as fully and entirely as the same would have been held and enjoyed by Assignor if this sale and assignment had not been made;

AND Assignors hereby authorize and request the appropriate governmental officials to issue any and all such United States or foreign Letters Patent under said invention, or resulting from any of said applications thereof, to the Assignee, as the assignee of the entire right, title and interest in and to the same;

AND Assignors hereby represent, warrant and covenant that they have the full right to convey the entire interest herein assigned, that they have not executed and will not



execute any instrument or assignment in conflict herewith, and that the rights assigned herein are not otherwise encumbered by any grant, license or right;

AND Assignors further covenant and agree that Assignors will at any time upon request make, execute and deliver without further compensation, any and all other instruments in writing, including further applications, papers, affidavits, power of attorney, assignments, and other documents, and do all lawful acts and things, which, in the opinion of counsel for said Assignee, its successors and assigns, may in any country be required or necessary more effectively to secure to and vest in said Assignee, its successors and assigns the entire right, title and interest in and to said Invention and Rights hereby sold, assigned, transferred and conveyed, and that Assignors will sign any applications for reissue, division, continuation, continuation-in-part, renewal, substitute or extension of said application for Letters Patent or any resulting Letters Patent;


AND Assignors further covenant and agree that Assignors will at any time upon request communicate to the Assignee, its successors, assigns or other legal representatives any facts relating to the aforesaid invention known to it, and will testify as to the same in any interference, litigation, mediation, arbitration or other proceeding when requested to do so.

IN WITNESS WHEREOF, Assignors have hereunto set their hands and seals.

Signature of Inventor / Date of Execution:

Inventor's Name:

Inventor's Resident Address:

  
Paul A. Yates, U.S. Citizen  
2505 Hillwood Place  
Charlottesville, VA 22901

PLACE OF EXECUTION:

CHARLOTTESVILLE, VIRGINIA

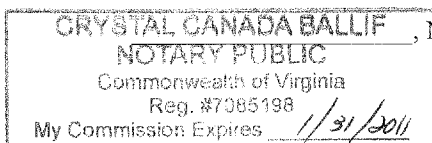
CITY OF CHARLOTTESVILLE )

) SS:

COMMONWEALTH OF VIRGINIA )

On this 21st day of November, 2010, before me, a Notary Public in and for the Commonwealth of Virginia, personally appeared Paul A. Yates, known to me (or proven by evidence of satisfactory identification) to be the person described in and who executed the foregoing instrument and acknowledged the same to be of their free act and deed for the uses and purposes therein set forth.

 [signature, please print name below]



Notary Public, my commission expires on 11/31/2011



Signature of Inventor / Date of Execution:

Inventor's Name:

Kenneth Tran, U.S. Citizen

Inventor's Resident Address:

101 Cresap Road

Charlottesville, VA 22903

PLACE OF EXECUTION:

CHARLOTTESVILLE, VIRGINIA

CITY OF CHARLOTTESVILLE )

) SS:

COMMONWEALTH OF VIRGINIA )

On this 15<sup>th</sup> day of April, <sup>2011</sup>~~2010~~, before me, a Notary Public in and for the Commonwealth of Virginia, personally appeared Kenneth Tran, known to me (or proven by evidence of satisfactory identification) to be the person described in and who executed the foregoing instrument and acknowledged the same to be of their free act and deed for the uses and purposes therein set forth.



[signature, please print name below]

