

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

EPAS ID: PAT7301664

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
JOHN F. BLACK	06/28/2010
MEAGAN K. SPENCER	06/29/2010
MICHAEL ZUNG	06/28/2010
CHARLES W. MCNALL	06/28/2010
EVANGELINE LUMABAS	06/29/2010
MICHAEL H. ROSENTHAL	06/28/2010
JOHN B. SIMPSON	06/28/2010
RECEIVING PARTY DATA	
Name:	AVINGER, INC.
Street Address:	400 CHESAPEAKE DRIVE
City:	REDWOOD CITY
State/Country:	CALIFORNIA
Postal Code:	94063
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	17652073
CORRESPONDENCE DATA	
Fax Number:	(650)212-7562
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	6502121700
Email:	info@shayglenn.com,lisa@shayglenn.com
Correspondent Name:	SHAY GLENN LLP
Address Line 1:	2929 CAMPUS DRIVE
Address Line 2:	SUITE 225
Address Line 4:	SAN MATEO, CALIFORNIA 94403
ATTORNEY DOCKET NUMBER:	11041-709.302
NAME OF SUBMITTER:	LISA RODRIQUEZ
SIGNATURE:	/Karen K. McSwain/
DATE SIGNED:	04/27/2022

PATENT

Total Attachments: 4

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RECORDATION FORM COVER SHEET
PATENTS ONLY

TO THE HONORABLE DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE. PLEASE RECORD THE ATTACHED ORIGINAL DOCUMENTS OR COPY THEREOF.

<p>1. Name of conveying party(ies):</p> <p>(1) John F. BLACK (06/28/2010) (2) Meagan K. SPENCER (06/29/2010) (3) Michael ZUNG (06/28/2010) (4) Charles W. MCNALL (06/28/2010) (5) Evangeline LUMABAS (06/29/2010) (6) Michael H. ROSENTHAL (06/28/2010) (7) John B. SIMPSON (06/28/2010)</p> <p>Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	<p>2. Name and address of receiving party(ies):</p> <p>Name: AVINGER, INC. 400 Chesapeake Drive Redwood City, CA 94063</p>
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<p>3. Nature of Conveyance:</p> <p><input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other</p>	<p>Name and address of receiving party(ies):</p> <p>Name:</p> <p>Street Address:</p> <p>City: State: Zip:</p> <p>Country:</p> <p>Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>
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4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

<p>A. Patent Application No.(s) – 17/652,073</p> <p>Title: OPTICAL COHERENCE TOMOGRAPHY FOR BIOLOGICAL IMAGING</p>	<p>B. Patent No.(s) -</p> <p>Title:</p>
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Additional numbers attached? Yes No

<p>5. Name and address of party to whom correspondence concerning document should be mailed:</p> <p>Karen K. McSwain Shay Glenn LLP 2929 Campus Drive, Suite 225 San Mateo, CA 94403</p>	<p>6. Total number of applications and patents involved: _____ 1 _____</p> <hr/> <p>7. Total fee (37 CFR 1.21(h)(1)): \$0.00</p>
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8. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

<u>Karen K. McSwain</u> Reg. No. 64,306	<u>/Karen K. McSwain/</u>	<u>April 27, 2022</u>
Name of Person Signing	Signature	Date

Total number of pages including cover sheet, attachments, and documents: 4

ASSIGNMENT OF PATENT APPLICATION**Docket Number: 11041-709.200**

This Assignment of Patent Application is between: **John F. Black** of San Mateo, CA; **Maegan K. Spencer** of Mountain View, CA; **Michael Zung** of San Carlos, CA; **Charles W. McNall** of Belmont, CA; **Evangeline Lumabas** of San Jose, CA; **Michael H. Rosenthal** of San Carlos, CA and **John B. Simpson** of Woodside, CA, (hereinafter referred to as "Inventor") and **Avinger, Inc.** a corporation of the State of Delaware, having a place of business at 400 Chesapeake Drive, Redwood City, CA, 94063, (hereinafter termed "Assignee").

WHEREAS Inventor has invented certain new and useful improvements in:

"OPTICAL COHERENCE TOMOGRAPHY FOR BIOLOGICAL IMAGING"

for which an application for the United States Patent was filed on **May 28, 2010**, Application No. **12/790,703**.

WHEREAS, Assignee is desirous of acquiring the entire right, title and interest in and to said application and the invention disclosed therein, and in and to all embodiments of the invention, heretofore conceived, made or discovered by said Inventor (all collectively hereinafter termed "said invention"), and in and to any and all patents, inventor's certificates and other forms of protection (hereinafter termed "patents") thereon granted in the United States and foreign countries.

NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by said Inventor to have been received in full from said Assignee:


1. Said Inventor does hereby sell, assign, transfer and convey unto said Assignee the entire right, title and interest (a) in and to said application and said invention; (b) in and to all rights to apply for foreign patents on said invention pursuant to the International Convention for the Protection of Industrial Property or otherwise; (c) in and to any and all applications filed and any and all patents granted on said invention in the United States or any foreign country, including each and every application filed and each and every patent granted on any application which is a divisional, substitution, continuation, or continuation-in-part of any of said applications; and (d) in and to each and every reissue or extensions of any of said patents.
2. Said Inventor hereby covenants and agrees to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and foreign countries. Such cooperation by said Inventor shall include prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any of the said applications; (c) for filing and prosecuting substitute, divisional, continuing or additional applications covering said invention; (d) for filing and prosecuting applications for reissuance of any said patents; (e) for interference or other priority proceedings involving said invention; and (f) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation reissues and reexaminations, opposition proceedings, cancellation proceedings, priority contest, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventor in providing such cooperation shall be paid for by said Assignee.
3. The terms and covenants of this assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventor, his heirs, legal representatives and assigns.
4. Said Inventor hereby warrants and represents that he has not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

ASSIGNMENT OF PATENT APPLICATION

Docket Number: 11041-709.200

IN WITNESS WHEREOF, said Inventor has executed and delivered this instrument to said Assignee:


6/28/10
Date


John F. Black

6/29/10
Date


Maegan K. Spencer

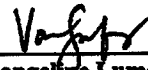
28 JUN 10
Date


Michael Zung

6/28/10
Date


Charles W. McNall

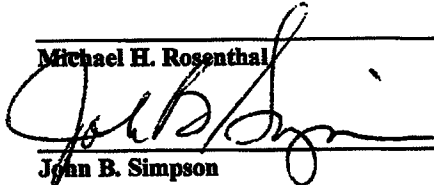
6/29/10
Date


Evangeline Lumabas

Date

Michael H. Rosenthal

June 28, 2010
Date


John B. Simpson

ASSIGNMENT OF PATENT APPLICATION

Docket Number: 11041-709.200

IN WITNESS WHEREOF, said Inventor has executed and delivered this instrument to said Assignee:

Date

John F. Black

Date

Maegan K. Spencer

Date

Michael Zung

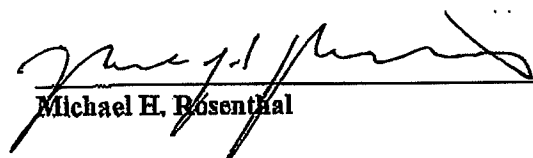
Date

Charles W. McNall

Date

Evangeline Lumabas

6.28.10
Date



Michael H. Rosenthal

Date

John B. Simpson