

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

EPAS ID: PAT7293241

|                                                                                                                                                                                                 |                                   |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------|
| <b>SUBMISSION TYPE:</b>                                                                                                                                                                         | NEW ASSIGNMENT                    |
| <b>NATURE OF CONVEYANCE:</b>                                                                                                                                                                    | CONFIRMATORY ASSIGNMENT           |
| <b>CONVEYING PARTY DATA</b>                                                                                                                                                                     |                                   |
| <b>Name</b>                                                                                                                                                                                     | <b>Execution Date</b>             |
| NATIONAL ICT AUSTRALIA LTD                                                                                                                                                                      | 01/17/2013                        |
| <b>RECEIVING PARTY DATA</b>                                                                                                                                                                     |                                   |
| <b>Name:</b>                                                                                                                                                                                    | NICTA IPR PTY LTD                 |
| <b>Street Address:</b>                                                                                                                                                                          | LEVEL 5, 13 GARDEN STREET         |
| <b>City:</b>                                                                                                                                                                                    | EVELEIGH, NSW                     |
| <b>State/Country:</b>                                                                                                                                                                           | AUSTRALIA                         |
| <b>Postal Code:</b>                                                                                                                                                                             | 2015                              |
| <b>PROPERTY NUMBERS Total: 1</b>                                                                                                                                                                |                                   |
| <b>Property Type</b>                                                                                                                                                                            | <b>Number</b>                     |
| Application Number:                                                                                                                                                                             | 17478793                          |
| <b>CORRESPONDENCE DATA</b>                                                                                                                                                                      |                                   |
| <b>Fax Number:</b>                                                                                                                                                                              | (877)769-7945                     |
| <i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i> |                                   |
| <b>Phone:</b>                                                                                                                                                                                   | 612-335-5070                      |
| <b>Email:</b>                                                                                                                                                                                   | apsi@fr.com                       |
| <b>Correspondent Name:</b>                                                                                                                                                                      | FISH & RICHARDSON P.C.            |
| <b>Address Line 1:</b>                                                                                                                                                                          | P.O. BOX 1022                     |
| <b>Address Line 4:</b>                                                                                                                                                                          | MINNEAPOLIS, MINNESOTA 55440-1022 |
| <b>ATTORNEY DOCKET NUMBER:</b>                                                                                                                                                                  | 51785-0005005                     |
| <b>NAME OF SUBMITTER:</b>                                                                                                                                                                       | JOHN GUSTAV-WRATHALL              |
| <b>SIGNATURE:</b>                                                                                                                                                                               | /John Gustav-Wrathall/            |
| <b>DATE SIGNED:</b>                                                                                                                                                                             | 04/22/2022                        |
| <b>Total Attachments: 28</b>                                                                                                                                                                    |                                   |
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Appl. No.: 14/117,149  
Filed: November 12, 2013  
Title: Method and Apparatus for Controlling of Neural Stimulus  
Applicants: Saluda Medical Pty Limited  
Docket No.: S32-03250

**CONFIRMATION OF ASSIGNMENT**

I, John Louis Parker, am employed as Chief Executive Officer for Saluda Medical Pty Ltd with a place of business of Level 1, 407 Pacific Highway, Artarmon, NSW, 2064 Australia.

The inventors to the above identified application executed the Assignment (recorded at Reel 31606, Frame 494) assigning "... the entire right, title, and interest for all countries in and to all inventions and improvements disclosed in the aforesaid application, and in and to the said application, all divisions, continuations, continuations-in-part, or renewals thereof, all Letters Patent which may be granted there from, and all reissues or extensions of such patents, and in and to any and all applications which have been or shall be filed in any foreign countries for Letters Patent on the said inventions and improvements, including an assignment of all rights under the provisions of the International Convention, and all Letters Patent of foreign countries which may be granted there from [pertaining to U.S. Patent Application No. 14/117,149]..." to Saluda Medical Pty Ltd.

I confirm that at the time of the execution of the Assignment, Saluda Medical Pty Ltd was the beneficiary of the following agreements and was the rightful assignee of all right, title, and interest in the above identified application:

- Transfer Document – dated January 17, 2013, by and between National ICT Australia Ltd (ACN 102 206 173) and NICTA IPR Pty Ltd (ACN 118 121 387) (**Exhibit 1**); and
- Deed of Assignment – dated January 17, 2013, by and between NICTA IPR Pty Ltd (ACN 118 121 387) and Saluda Medical Pty Ltd (ACN 145 902 272) (**Exhibit 2**).

Dated: 3/5/2016



John Louis Parker  
Chief Executive Officer  
Saluda Medical Pty Ltd

## Schedule 1 – Transfer Document for Assigned IP arising from NICTA's Implant Systems PROJECT

This Transfer Document sets out the terms on which certain Intellectual Property is to be assigned from National ICT Australia Limited (ACN 102 206 173) to NICTA IPR Pty Ltd (ACN 118 121 387).

Defined terms used in this Transfer Document have the same meaning as given in the IP Framework Deed between National ICT Australia Limited and NICTA IPR Pty Ltd dated 27 October 2006, as amended from time to time. This Transfer Document forms part of, and should be read in conjunction with, that IP Framework Deed.

### 1. DESCRIPTION OF ASSIGNED IP

All Intellectual Property of NICTA relating to the following inventions, projects and/or technology, including the Intellectual Property described in the table below, arising from NICTA's Implant Systems research project:

### Schedule 1

#### ASSIGNED INTELLECTUAL PROPERTY

- The Intellectual Property relating to the following inventions, projects and/or technology, as described in the tables below;
- All existing Intellectual Property, know-how, trade secrets arising from the Implant Systems project conducted at NICTA since 1 July 2008; and
- All yet to be developed Intellectual Property, know-how, trade secrets arising from the Research Services Agreement between NICTA and NIPR for Implant Systems technology which is executed concurrently with this Transfer Document

#### A. Patent Rights

| NICTA Ref | Suffix Code | Type              | Country | Title                                                  | Inventors   | Application No. |
|-----------|-------------|-------------------|---------|--------------------------------------------------------|-------------|-----------------|
| N08 023   | AU          | Patent - National | AU      | BONDED HERMETIC FEED THROUGH FOR AN ACTIVE IMPLANTABLE | John Parker | 2009344197      |

| NICT<br>A<br>Ref | Suffix<br>Code | Type                     | Country | Title                                                                                                                               | Inventors                            | Application<br>No.                        |
|------------------|----------------|--------------------------|---------|-------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------|-------------------------------------------|
|                  |                |                          |         | MEDICAL DEVICE                                                                                                                      |                                      |                                           |
| N08<br>025       | EP             | Patent -<br>Nationa<br>l | EPO     | Knitted Electrode<br>Assembly for an<br>Active Implantable<br>medical Device,<br>formerly "A Knitted<br>or Woven Medical<br>Device" | John Parker<br>; David<br>Robinson ; | 97920680                                  |
| N08<br>025       | AU             | Patent -<br>Nationa<br>l | AU      | Knitted Electrode<br>Assembly for an<br>Active Implantable<br>medical Device,<br>formerly "A Knitted<br>or Woven Medical<br>Device" | John Parker<br>; David<br>Robinson ; | 2009293507                                |
| N08<br>025       | US             | Patent -<br>Nationa<br>l | USA     | Knitted Electrode<br>Assembly for an<br>Active Implantable<br>medical Device,<br>formerly "A Knitted<br>or Woven Medical<br>Device" | John Parker<br>; David<br>Robinson ; | 12/549,899<br>&&<br>US2010/007<br>0008    |
| N08<br>032       | JP             | Patent -<br>Nationa<br>l | JP      | STITCHED<br>COMPONENTS<br>OF AN ACTIVE<br>IMPLANTABLE<br>MEDICAL DEVICE<br>formerly "A Sewn or<br>Embroidered Medical<br>Device"    | David<br>Robinson ;                  | 2012-504664                               |
|                  |                | Patent -<br>Nationa<br>l | EU      | STITCHED<br>COMPONENTS<br>OF AN ACTIVE<br>IMPLANTABLE<br>MEDICAL DEVICE<br>formerly "A Sewn or<br>Embroidered Medical               | David<br>Robinson ;                  | 09792071,4<br>&&<br>PCT/US2009<br>/055402 |

I certify, this is a true and correct copy of the  
original document which has been sighted  
by me on this day  
6 March 2013  
(date)  
P. U. G. (name)  
Special Representative of NISW  
(signature)

NICTA IPR IP Network SCHED 1 TEMPLATE(assignment) Implant Systems.docx

NICTA to NIPR IFFramework SCHED 1 TEMPLATE(assignment) Implant Systems.docx

| NICTA Ref | Suffix Code | Type              | Country | Title                                                                                                                            | Inventors                      | Application No.                                   |
|-----------|-------------|-------------------|---------|----------------------------------------------------------------------------------------------------------------------------------|--------------------------------|---------------------------------------------------|
| N08033    | US          | Patent - National | US      | KNITTED ELECTRODE ASSEMBLY AND INTEGRATED CONNECTOR FOR AN ACTIVE IMPLANTABLE MEDICAL DEVICE formerly "An Implantable Connector" | John PARKER ; David ROBINSON ; | 12/549,457 && US2010/0070007                      |
| N09003    | EP          | Patent - National | EPO     | "Knitted Catheter" formerly "Multi-Channel Catheter or Implantable Electrode and Lead"                                           | John Parker ; David Robinson ; | 97920706                                          |
| N09003    | AU          | Patent - National | AU      | "Knitted Catheter" formerly "Multi-Channel Catheter or Implantable Electrode and Lead"                                           | John Parker ; David Robinson ; | 2009293508                                        |
| N09003    | US          | Patent - National | USA     | "Knitted Catheter", formerly "Multi-Channel Catheter or Implantable Electrode and Lead"                                          | John Parker ; David Robinson ; | 12/549,801 && 20100069835                         |
| N09006    | EP          | Patent - National | EP      | ELECTRONICS PACKAGE FOR AN ACTIVE IMPLANTABLE MEDICAL DEVICE; formerly "A package for a Medical Device"                          | John Parker ;                  | 09792072.2 && PCT/US2009/055405 && WO 2010/117382 |
|           |             | Patent - National | AU      | ELECTRONICS PACKAGE FOR AN ACTIVE IMPLANTABLE MEDICAL DEVICE; formerly "A package                                                | John Parker ;                  | 2009344196                                        |

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6 March 2013  
P. V. G. (name)  
P. V. G. (signature)  
NICTA IPR Framework SCHED 1 TEMPLATE (assignment) Implant Systems.docx

| NICT<br>A<br>Ref                                                                                                                                                                                              | Suffix<br>Code | Type                     | Country | Title                                                                                                                                                                 | Inventors                                                                                                | Application<br>No.                                       |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------|--------------------------|---------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------|----------------------------------------------------------|
|                                                                                                                                                                                                               |                |                          |         | for a Medical Device "                                                                                                                                                |                                                                                                          |                                                          |
| N09<br>006                                                                                                                                                                                                    | US             | Patent -<br>Nationa<br>I | US      | ELECTRONICS<br>PACKAGE FOR AN<br>ACTIVE IMPLANTABLE<br>MEDICAL DEVICE;<br>formerly "A package<br>for a Medical Device "                                               | John Parker<br>;                                                                                         | 12/549,786<br>&& US<br>2010/02622<br>08                  |
| N09<br>011                                                                                                                                                                                                    | EP             | Patent -<br>Nationa<br>I | EP      | Neuro-Stimulation;<br>formerly "Active<br>Electrode Array"<br>formerly "Electrode<br>LAN Version IV"<br>formerly "Two Wire<br>Neuro-stimulator<br>System Version III" | Peter<br>SINGLE ;<br>David<br>ROBINSON ;<br>John<br>PARKER ;<br>Peter AYRE ;<br>Dean<br>KARANTONI<br>S ; | 10802728.5<br>(EPO<br>Publication<br>No<br>EP2456515)    |
| N09<br>011                                                                                                                                                                                                    | US             | Patent -<br>Nationa<br>I | USA     | Neuro-Stimulation;<br>formerly "Active<br>Electrode Array"<br>formerly "Electrode<br>LAN Version IV"<br>formerly "Two Wire<br>Neuro-stimulator<br>System Version III" | Peter<br>SINGLE ;<br>David<br>ROBINSON ;<br>John<br>PARKER ;<br>Peter AYRE ;<br>Dean<br>KARANTONI<br>S ; | 13/383,800<br>(Publication<br>No:<br>US2012/016<br>1945) |
| <p>I certify, this is a true and correct copy of the<br/>original document which has been signed<br/>by me on this day</p> <p>6 March 2013 (date)</p> <p>6 March 2013 (name)</p> <p>Signature (signature)</p> |                | Patent -<br>Nationa<br>I | AU      | Neuro-Stimulation;<br>formerly "Active<br>Electrode Array"<br>formerly "Electrode<br>LAN Version IV"<br>formerly "Two Wire<br>Neuro-stimulator<br>System Version III" | Peter<br>SINGLE ;<br>David<br>ROBINSON ;<br>John<br>PARKER ;<br>Peter AYRE ;<br>Dean<br>KARANTONI<br>S ; | 2010276380                                               |

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6 March 2013 (date)

P. Vincent  
Patent Attorney

Nat'l Office

| NICT<br>A<br>Ref | Suffix<br>Code | Type            | Country | Title                                                                                                                                                                                     | Inventors                                                  | Application<br>No.    |
|------------------|----------------|-----------------|---------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------|-----------------------|
|                  |                | I               |         | Systems")                                                                                                                                                                                 | ROBINSON ;<br>Peter<br>SINGLE ;<br>Peter AYRE ;            |                       |
| N11<br>003       | PCT            | Patent -<br>PCT | AU      | Method and<br>Apparatus for<br>Measurement of<br>Neural Response -<br>(Formerly: "Evoked<br>Response Telemetry<br>Method")                                                                | John Parker<br>; Peter<br>Single ;<br>Dean<br>Karantonis ; | PCT/AU2012<br>/000511 |
| N11<br>005       | PCT            | Patent -<br>PCT |         | Method and<br>Apparatus for<br>Measurement of<br>Neural Response - C<br>(Formerly:<br>"Determining Fibre<br>Conduction Velocity")                                                         | John Parker<br>; Dean<br>Karantonis ;                      | PCT/AU2012<br>/000512 |
| N11<br>006       | PCT            | Patent -<br>PCT | AU      | Method and<br>Apparatus for<br>Measurement of<br>Neural Response - D<br>(Formerly: "Selecting<br>a Neural Therapy<br>Location by<br>Contemporaneously<br>Measuring Local<br>Sensitivity") | John Parker<br>; Dean<br>Karantonis ;                      | PCT/AU2012<br>/000513 |
|                  |                | Patent -<br>PCT | AU      | Method and Aparatus<br>for Controlling a<br>Neural Stimulus - E<br>(Formerly:<br>"Automated<br>Feedback Control of<br>>1 Stimulus<br>Parameters")                                         | John Parker<br>; Dean<br>Karantonis ;<br>James Laird<br>;  | PCT/AU2012<br>/000516 |

I certify this is a true and correct copy of the  
original document which has been signed  
by me on this date: 22/11/13 (date)  
NICTA IPR Pty Ltd (name)  
NICTA IPR Pty Ltd (signature)  
NICTA IPR Pty Ltd (signature)

|                  |                                                                                                              |                                      |
|------------------|--------------------------------------------------------------------------------------------------------------|--------------------------------------|
|                  | I certify, this is a true and correct copy of the original document which has been signed by me on this day: | (date)                               |
| Sgt. J. W. Mccoy | J.W.MCCOY<br>Sergeant On Supreme Court of NSW                                                                | MCCOY J W<br>08-20-13<br>(signature) |
|                  | PATENT PCT                                                                                                   |                                      |

| NICT A Ref | Suffix Code | Type                 | Country   | Title                                                                                                                     | Inventors                                                  | Application No.                    |
|------------|-------------|----------------------|-----------|---------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------------------|
| N11 028    | PCT         | Patent - PCT         | AU        | Coatings for Textile Based Active Implantable Medical Devices                                                             | David ROBINSON ; Mark FRETZ ; David THOMAS ; John PARKER ; | TBA (priority claimed: 2011904904) |
| N12 006    | PROV AU     | Patent - Provisional | Australia | Method and System for Controlling Electrical Conditions of Tissue (Formerly: "The Virtual Ground Circuit Unbugged")       | Peter SINGLE ; James Laird ;                               | 2012904836                         |
| N12 010    | INV         | Invention Disclosure |           | Virtual Ground Stimulation Method for Evoked Response Measurements                                                        | Peter Single ; James Laird ;                               |                                    |
| N12 011    | INV         | Invention Disclosure |           | Use of SCS System Components to Detect and/or Respond to Cardiac Events                                                   | John Parker ;                                              |                                    |
| N12 012    | INV         | Invention Disclosure |           | Matched Filters for Evoked Response Detection                                                                             | Peter Single ; Dean Karantonis ;                           |                                    |
| N12 013    | PCT         | Patent - Provisional | AU        | Method and System for Controlling Electrical Conditions of Tissue II (Formerly: "Push-Pull with Passive Third Electrode") | Peter Single ;                                             | 2012904838                         |

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 Date: 2013 (date)  
 Name: (name)  
 Signature: (signature)  
 Selection on Supreme Court NSW

B(i) Copyright forming part of the Assigned IP

a.

| Title / Description                                                           | Date | Author(s) | Countries Registered (if applicable) |
|-------------------------------------------------------------------------------|------|-----------|--------------------------------------|
| 1. Copyright in the documents software and materials listed in part C, below. |      |           |                                      |

I certify, this is a true and correct copy of the original document which has been sighted by me on this day, 6 MARCH 2013 (date)  
P. LIGITTOPOY (name)  
Solicitor, Supreme Court NSW  
[Signature] (signature)

B(ii). Project IP other than Patent Rights and copyright – other rights

a. NIL

C. Licensed Know How

- a. Licensed Know How covers all information owned by NICTA which describes technology and results which was created by or for NICTA's Implant Systems project team and which is stored on the Implant Systems 1 Server and replicated on the back-up media associated with this agreement and included in the identified laboratory note books.

Acronyms used in this section are defined in the table below.

| Acronym | Description                                         |
|---------|-----------------------------------------------------|
| MCS I   | "Multi Channel System 1" [REDACTED]                 |
| MCS II  | "Multi Channel System 2" [REDACTED]                 |
| BWS     | "Body Worn System" [REDACTED]                       |
| BWS V3  | "Body Worn System V3" [REDACTED]                    |
| NTDS    | NTDS is simple a unique identifier with no meaning. |
| MCS Lab | "Multi Channel System Laboratory" [REDACTED]        |

|                  |                                                                   |
|------------------|-------------------------------------------------------------------|
|                  | [REDACTED]                                                        |
| MCS Analysis GUI | "Multi Channel System Analysis Graphic User Interface" [REDACTED] |
| NDBSH            | NDBSH is simple a unique identifier with no meaning.              |

b. This information includes, but is not limited to:

|     | Description | Date |
|-----|-------------|------|
| 1.  | [REDACTED]  |      |
| 2.  | [REDACTED]  |      |
| 3.  | [REDACTED]  |      |
| 4.  | [REDACTED]  |      |
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| 29. | [REDACTED]  |      |
| 30. | [REDACTED]  |      |

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6 March 2013 (date)  
P. Gattardo (name)  
Solicitor, Supreme Court NSW  
[Signature] (signature)

|    | Description | Date |
|----|-------------|------|
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| 32 | [REDACTED]  |      |
| 33 | [REDACTED]  |      |
| 34 | [REDACTED]  |      |
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| 42 | [REDACTED]  |      |
| 43 | [REDACTED]  |      |
| 44 | [REDACTED]  |      |
| 45 | [REDACTED]  |      |

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6 March 2013 (date)

P. L. HETTINGER (name)  
Solicitor, Supreme Court of NSW

[Signature] (signature)

Laboratory Books:

| Name       | Total      | Number     | Type       | Location   |
|------------|------------|------------|------------|------------|
| [REDACTED] | [REDACTED] | [REDACTED] | [REDACTED] | [REDACTED] |
| [REDACTED] | [REDACTED] | [REDACTED] | [REDACTED] | [REDACTED] |
| [REDACTED] | [REDACTED] | [REDACTED] | [REDACTED] | [REDACTED] |
| [REDACTED] | [REDACTED] | [REDACTED] | [REDACTED] | [REDACTED] |
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| [REDACTED] | [REDACTED] | [REDACTED] | [REDACTED] | [REDACTED] |
| [REDACTED] | [REDACTED] | [REDACTED] | [REDACTED] | [REDACTED] |
| [REDACTED] | [REDACTED] | [REDACTED] | [REDACTED] | [REDACTED] |

[illegible]

I certify, this is a true and correct copy of the original document which has been sighted by me on this day,

6 MARCH 2013 (date)

P. LIGITIBODI (name)

Solicitor, Supreme Court of NSW

[Signature] (signature)

**IP Framework Deed – Schedule 1**

**2. VALUATION OF ASSIGNED IP**

| Assigned IP | Valuation  | Valuation Method |
|-------------|------------|------------------|
| [REDACTED]  | [REDACTED] | [REDACTED]       |
| [REDACTED]  | [REDACTED] | [REDACTED]       |
| [REDACTED]  | [REDACTED] | [REDACTED]       |
| [REDACTED]  | [REDACTED] | [REDACTED]       |

I certify this is a true and correct copy of the original document which has been sighted by me on this day 2013 (date)  
MAR 2013  
LIGERBOD 4  
SOLICITOR General NSW (name)  
[Signature] (signature)

**3. COMMERCIALISATION RIGHTS RESERVED BY NICTA (refer clause 4.3)**

[REDACTED]

**4. RESTRICTION ON NICTA'S RESEARCH RIGHTS (refer clause 5.1)**

[REDACTED]

**5. REMUNERATION PAYABLE TO NICTA (refer clause 6)**

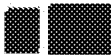
[REDACTED]

**6. TRANSFER OF KNOW-HOW (refer clause 4.10)**

[REDACTED]

**IP Framework Deed - Schedule 1**

**7. MISCELLANEOUS**



**8. EFFECTIVE DATE**

This assignment shall be effective on and from the following date:

The Commencement Date of the License Agreement from NIP to Saluda Medical Pty Ltd.

**EXECUTED AS A DEED**

Executed as a deed by  
**NATIONAL ICT AUSTRALIA LIMITED**  
in accordance with  
its constitution

(Common Seal - optional)

Signature of Director/Secretary

Signature of Director

CAR R MIDDLEHURST  
Name of Director/Secretary  
Date: 17 / 1 / 2013

Neville Steven  
Name of Director  
Date: 17 / 1 / 2013

Executed as a deed by  
**NICTA IPR PTY LIMITED**  
in accordance with  
its constitution

(Common Seal - optional)

Signature of Director/Secretary

Signature of Director

CAR R MIDDLEHURST  
Name of Director/Secretary  
Date: 17 / 1 / 2013

Neville Steven  
Name of Director  
Date: 17 / 1 / 2013

## DEED OF ASSIGNMENT

This Deed of Assignment (the "**Assignment Deed**") dated 17 January 2013, by and between:

NICTA IPR PTY LIMITED (ACN 118 121 387) of Level 5, 13 Garden Street, Eveleigh NSW 2015, Australia ("**NIPR**")

and

Saluda Medical Pty Limited (ACN 145 902 272) of Level 5, 13 Garden Street, Eveleigh NSW 2015, Australia, ("**SALUDA**").

### RECITALS

A. NIPR and SALUDA previously entered into a Licence Agreement dated 17 January 2013 (the "**Licence Agreement**"), in which the parties agreed, *inter alia*, that certain of the Licensed Intellectual Property (as defined in the Licence Agreement) (called the **Option IP** herein) could be assigned to SALUDA from NIPR upon the valid exercise of an option in accordance with the terms of clause 12 of the Licence Agreement; and

B. The conditions for the exercise of the Option have occurred or have been waived and NIPR has assigned the Option IP to SALUDA in accordance with the terms of the Licence Agreement.

C. NIPR wish to confirm and to the extent not already assigned, assign their entire right, title and interest to and in the Option IP to SALUDA.

### OPERATIVE PROVISIONS

The parties agree as follows:

- Definitions:** In this Assignment Deed including the Recitals, the definitions used in the Licence Agreement shall apply, except where the context otherwise requires.
- Assignment:** In consideration of the payment by SALUDA to NIPR of the sum of one dollar (AU)\$1 and other good and valuable consideration (receipt and sufficiency of which is acknowledged by NIPR), NIPR hereby irrevocably and absolutely confirms the assignment to SALUDA of their respective entire rights, title and interests to and in the Option IP free from Encumbrances (other than the license granted to NIPR under the License Agreement), so that all such right, title and interest throughout the world vests absolutely in Saluda. **Schedule 1** to this Assignment Deed details the Option IP.
- Licensing Agreement remains in Effect:** With the exception of the explicit effect of the terms of this Assignment Deed, the License Agreement remains in effect and otherwise unchanged and is hereby affirmed by the parties.
- Governing law:** This deed is governed by the law in force in New South Wales, Australia. Each party submits to the non-exclusive jurisdiction of the courts exercising jurisdiction in New South Wales, and any court that may hear appeals from any of those courts, for any proceedings in connection with this deed, and waives any right it might have to claim that those courts are an inconvenient forum.

I certify, this is a true and correct copy of the original document which has been sighted by me on this day,  
6 MARCH 2013 (date) - 12 pages  
Peter Northcott (name)  
Special Officer, Supreme Court of NSW (signature)

5. **Counterparts:** This deed may be executed in any number of counterparts. A counterpart may be a facsimile or a scanned and emailed document. Together all counterparts make up one deed.

\*\*\*\*\*

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6 MARCH 2013

(date)

- 12 pages

PETER LUGATT (name)  
Solicitor, Supreme Court NSW

  
(signature)

IN WITNESS WHEREOF, the parties have caused this Assignment Deed to be duly executed by their authorized representatives as of the date first set forth above.

NICTA IPR PTY LIMITED.

By: [Signature]

Title: Director

Date: 12/1/13

Accepted by

Saluda Medical Pty Limited.

By: [Signature]

Title: CTO IS.

Date: 17/1/2013.

I certify, this is a true and correct copy of the original document which has been sighted by me on this day.

6 MARCH 2013 (date)

PETER LIGHTBODY (name)  
Solicitor, Supreme Court of NSW

[Signature] (signature)

- 12 pages

**SCHEDULE 1** to the ASSIGNMENT AGREEMENT between NIPR (assignor) and SALUDA (assignee) dated 17 January 2013

**OPTION IP**

- The Intellectual Property owned or licensed by NIPR relating to the following inventions, projects and/or technology, as described in the tables below;
- All existing Intellectual Property, know-how, trade secrets arising from the Implant Systems project conducted at NICTA since 1 July 2008; and
- All yet to be developed Intellectual Property, know-how, trade secrets arising from the Research Services Agreement between NIPR and SALUDA for Implant Systems technology which is executed concurrently with this Licence Agreement

**A. Licensed Patent Rights**

I hereby certify, this is a true and correct copy of the original document which has been sighted by me on this day,

6 MARCH 2013 (date)  
PEER LIGHTBODY (name)  
Solicitor, Supreme Court of NSW

+ pages 4-12  
(following)

| NICTA Ref | Suffix Code | Type              | Country | Title                                                                                                             | Inventors (signature)             | Application No.               |
|-----------|-------------|-------------------|---------|-------------------------------------------------------------------------------------------------------------------|-----------------------------------|-------------------------------|
| N08 023   | AU          | Patent - National | AU      | BONDED HERMETIC FEED THROUGH FOR AN ACTIVE IMPLANTABLE MEDICAL DEVICE                                             | John Parker ;                     | 2009344197                    |
| N08 025   | EP          | Patent - National | EPO     | Knitted Electrode Assembly for an Active Implantable medical Device, formerly "A Knitted or Woven Medical Device" | John Parker ;<br>David Robinson ; | 97920680                      |
| N08 025   | AU          | Patent - National | AU      | Knitted Electrode Assembly for an Active Implantable medical Device, formerly "A Knitted or Woven Medical Device" | John Parker ;<br>David Robinson ; | 2009293507                    |
| N08 025   | US          | Patent - National | USA     | Knitted Electrode Assembly for an Active Implantable medical Device, formerly "A Knitted or Woven Medical Device" | John Parker ;<br>David Robinson ; | 12/549,899 && US2010/007900 8 |
| N08 032   | JP          | Patent - National | JP      | STITCHED COMPONENTS OF AN ACTIVE IMPLANTABLE MEDICAL DEVICE formerly "A Sewn or Embroidered Medical Device"       | David Robinson ;                  | 2012-504664                   |

| NICTA Ref | Suffix Code | Type              | Country | Title                                                                                                                               | Inventors                      | Application No.                  |
|-----------|-------------|-------------------|---------|-------------------------------------------------------------------------------------------------------------------------------------|--------------------------------|----------------------------------|
| N08 032   | EP          | Patent - National | EU      | STITCHED COMPONENTS OF AN ACTIVE IMPLANTABLE MEDICAL DEVICE<br>formerly "A Sewn or Embroidered Medical Device"                      | David Robinson ;               | 09792071.4 && PCT/US2009/05 5402 |
| N08 032   | AU          | Patent - National | AU      | STITCHED COMPONENTS OF AN ACTIVE IMPLANTABLE MEDICAL DEVICE<br>formerly "A Sewn or Embroidered Medical Device"                      | David Robinson ;               | 2009344195                       |
| N08 032   | US          | Patent - National | US      | STITCHED COMPONENTS OF AN ACTIVE IMPLANTABLE MEDICAL DEVICE<br>formerly "A Sewn or Embroidered Medical Device"                      | David Robinson ;               | 12/549,831                       |
| N08 033   | EP          | Patent - National | EPO     | KNITTED ELECTRODE ASSEMBLY AND INTEGRATED CONNECTOR FOR AN ACTIVE IMPLANTABLE MEDICAL DEVICE<br>formerly "An Implantable Connector" | John PARKER ; David ROBINSON ; | 9792007                          |
| N08 033   | AU          | Patent - National | AU      | KNITTED ELECTRODE ASSEMBLY AND INTEGRATED CONNECTOR FOR AN ACTIVE IMPLANTABLE MEDICAL DEVICE<br>formerly "An Implantable Connector" | John PARKER ; David ROBINSON ; | 2009293306 (name)<br>(signature) |
| N08 033   | US          | Patent - National | US      | KNITTED ELECTRODE ASSEMBLY AND INTEGRATED CONNECTOR FOR AN ACTIVE IMPLANTABLE MEDICAL DEVICE<br>formerly "An Implantable Connector" | John PARKER ; David ROBINSON ; | 12/549,457 && US2010/007000 7    |
| N09 003   | EP          | Patent - National | EPO     | "Knitted Catheter" formerly "Multi-Channel Catheter or Implantable Electrode and Lead"                                              | John Parker ; David Robinson ; | 97920706                         |

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6 March 2015  
Peter Leggett  
Secretary, NICTA IPR Pty Ltd  
(name)  
(signature)

| NICTA Ref | Suffix Code | Type              | Country | Title                                                                                                                                            | Inventors                                                                    | Application No.                                    |
|-----------|-------------|-------------------|---------|--------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------|----------------------------------------------------|
| N09 003   | AU          | Patent - National | AU      | "Knitted Catheter" formerly "Multi-Channel Catheter or Implantable Electrode and Lead"                                                           | John Parker ; David Robinson ;                                               | 2009293508                                         |
| N09 003   | US          | Patent - National | USA     | "Knitted Catheter", formerly "Multi-Channel Catheter or Implantable Electrode and Lead"                                                          | John Parker ; David Robinson ;                                               | 12/549,801 && 20100069835                          |
| N09 006   | EP          | Patent - National | EP      | ELECTRONICS PACKAGE FOR AN ACTIVE IMPLANTABLE MEDICAL DEVICE; formerly "A package for a Medical Device"                                          | John Parker ;                                                                | 09792072.2 && PCT/US2009/05 5405 && WO 2010/117382 |
| N09 006   | AU          | Patent - National | AU      | ELECTRONICS PACKAGE FOR AN ACTIVE IMPLANTABLE MEDICAL DEVICE; formerly "A package for a Medical Device"                                          | John Parker ;                                                                | 2009344196                                         |
| N09 006   | US          | Patent - National | US      | ELECTRONICS PACKAGE FOR AN ACTIVE IMPLANTABLE MEDICAL DEVICE; formerly "A package for a Medical Device"                                          | John Parker ;                                                                | 12/549,786 && US 2010/086228                       |
| N09 011   | EP          | Patent - National | EP      | Neuro-Stimulation; formerly "Active Electrode Array" formerly "Electrode LAN Version IV" formerly "Two Wire Neuro-stimulator System Version III" | Peter SINGLE ; David ROBINSON ; John PARKER ; Peter AYRE ; Dean KARANTONIS ; | 10802788.5 (EPO) Publication EP2456515 (signature) |
| N09 011   | US          | Patent - National | USA     | Neuro-Stimulation; formerly "Active Electrode Array" formerly "Electrode LAN Version IV" formerly "Two Wire Neuro-stimulator System Version III" | Peter SINGLE ; David ROBINSON ; John PARKER ; Peter AYRE ; Dean KARANTONIS ; | 13/383,600 (Publication No: US2012/016194 5)       |
| N09 011   | AU          | Patent - National | AU      | Neuro-Stimulation; formerly "Active Electrode Array" formerly "Electrode LAN Version IV" formerly "Two Wire Neuro-stimulator System Version III" | Peter SINGLE ; David ROBINSON ; John PARKER ; Peter AYRE ; Dean KARANTONIS ; | 2010276380                                         |

*Peter SINGLE*  
 Peter SINGLE (name)  
 Secretary, Court of NSW  
 (signature)

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 6 March 2013 (date)

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 by me on this day,

6 MARCH 2013 (date)

| NICTA Ref | Suffix Code | Type              | Country   | Title                                                                                                                                                          | Inventors (name)                                           | Application No.                       |
|-----------|-------------|-------------------|-----------|----------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------|---------------------------------------|
|           |             |                   |           | SP-110101, Supreme Court of NSW                                                                                                                                | (signature)                                                |                                       |
| N09 030A  | US          | Patent - National | US        | METHODS FOR FORMING FEEDTHROUGHS FOR HERMETICALLY SEALED HOUSINGS USING POWDER INJECTION MOLDING                                                               | David THOMAS ; Mark FRETZ ; John PARKER ; David ROBINSON ; | 13/512,115                            |
| N09 030B  | JP          | Patent - National | JP        | FORMING FEEDTHROUGHS FOR HERMETICALLY SEALED HOUSINGS USING POWDER INJECTION MOLDING                                                                           | David THOMAS ; Mark FRETZ ; John PARKER ; David ROBINSON ; | 2012-541213                           |
| N09 030B  | EP          | Patent - National | Europe    | FORMING FEEDTHROUGHS FOR HERMETICALLY SEALED HOUSINGS USING POWDER INJECTION MOLDING                                                                           | David THOMAS ; Mark FRETZ ; John PARKER ; David ROBINSON ; | 10833993.8 (Publication No EP2504500) |
| N09 030B  | US          | Patent - National | US        | FORMING FEEDTHROUGHS FOR HERMETICALLY SEALED HOUSINGS USING POWDER INJECTION MOLDING                                                                           | David THOMAS ; Mark FRETZ ; John PARKER ; David ROBINSON ; | 13/512,172                            |
| N10 014A  | PCT         | Patent - PCT      | Australia | Distributed Implant Systems (Formerly: "Neuro-Modulation Systems")                                                                                             | John PARKER ; David ROBINSON ; Peter SINGLE ; Peter AYRE ; | PCT/AU2011/01127                      |
| N10 014C  | US          | Patent - National | US        | Implant Recharging (Formerly: "Neuro-Modulation Systems")                                                                                                      | John PARKER ; David ROBINSON ; Peter SINGLE ; Peter AYRE ; | 13/222833                             |
| N11 003   | PCT         | Patent - PCT      | AU        | Method and Apparatus for Measurement of Neural Response - (Formerly: "Evoked Response Telemetry Method")                                                       | John Parker ; Peter Single ; Dean Karantonis ;             | PCT/AU2012/000511                     |
| N11 005   | PCT         | Patent - PCT      |           | Method and Apparatus for Measurement of Neural Response - C (Formerly: "Determining Fibre Conduction Velocity")                                                | John Parker ; Dean Karantonis ;                            | PCT/AU2012/000512                     |
| N11 006   | PCT         | Patent - PCT      | AU        | Method and Apparatus for Measurement of Neural Response - D (Formerly: "Selecting a Neural Therapy Location by Contemporaneously Measuring Local Sensitivity") | John Parker ; Dean Karantonis ;                            | PCT/AU2012/000513                     |

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by me on this day,

6 MARCH 2013 (date)

| NICTA Ref | Suffix Code | Type                 | Country   | Title                                                                                                                                  | Inventors                                                  | Application No.                    |
|-----------|-------------|----------------------|-----------|----------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------------------|
|           |             |                      |           | <i>PETER SINGLE</i><br>Sole Director, Supreme Court of NSW<br><i>P. Single</i>                                                         | (claiming)                                                 |                                    |
| N11 007   | PCT         | Patent - PCT         | AU        | Method and Apparatus for Controlling a Neural Stimulus - E (Formerly: "Automated Feedback Control of >1 Stimulus Parameters")          | John Parker ; Dean Karantonis ; James Laird ;              | PCT/AU2012/00 0516                 |
| N11 008   | PCT         | Patent - PCT         |           | Method and Apparatus for Estimating Neural Recruitment - F (Formerly: "Un-Masked Probing of Un-Recruited Fibre Population")            | John Parker ; Dean Karantonis ; James Laird ;              | PCT/AU2012/00 0517                 |
| N11 009   | PCT         | Patent - PCT         | AU        | Method and Apparatus for Measurement of Neural Response - G (Formerly: "Programs")                                                     | Peter Single ; James Laird ;                               | PCT/AU2012/00 0518                 |
| N11 010   | PCT         | Patent - PCT         | AU        | Method and Apparatus for Controlling a Neural Stimulus - H (Formerly: "Anodic Modification of Membrane Threshold")                     | John Parker ; Dean Karantonis ; James Laird ;              | PCT/AU2012/00 0514                 |
| N11 011   | PCT         | Patent - PCT         | AU        | Method and Apparatus for Application of a Neural Stimulus - I (Formerly: "Stimuli Configured to Produce Decorrelated Fibre Responses") | John Parker ; James Laird ;                                | PCT/AU2012/00 0515                 |
| N11 027   | PCT         | Patent - PCT         | AU        | A Fabric Paddle Electrode Assembly For An Active Implantable Medical Device                                                            | David ROBINSON ; Mark FRETZ ; David THOMAS ; John PARKER ; | PCT/AU2012/00 1441                 |
| N11 028   | PCT         | Patent - PCT         | AU        | Coatings for Textile Based Active Implantable Medical Devices                                                                          | David ROBINSON ; Mark FRETZ ; David THOMAS ; John PARKER ; | TBA (priority claimed: 2011904904) |
| N12 006   | PROVA U     | Patent - Provisional | Australia | Method and System for Controlling Electrical Conditions of Tissue (Formerly: "The Virtual Ground Circuit Unbugged")                    | Peter SINGLE ; James Laird ;                               | 2012904836                         |
| N12 010   | INV         | Invention Disclosure |           | Virtual Ground Stimulation Method for Evoked Response Measurements                                                                     | Peter Single ; James Laird ;                               |                                    |

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6 MARCH 2013 (date)

| NICTA Ref | Suffix Code | Type                 | Country | Inventors                                                                                                                 | Application No.                     |
|-----------|-------------|----------------------|---------|---------------------------------------------------------------------------------------------------------------------------|-------------------------------------|
|           |             |                      |         | (signature)                                                                                                               |                                     |
| N12 011   | INV         | Invention Disclosure |         | Use of SCS System Components to Detect and/or Respond to Cardiac Events                                                   | John Parker ;                       |
| N12 012   | INV         | Invention Disclosure |         | Matched Filters for Evoked Response Detection                                                                             | Peter Single ;<br>Dean Karantonis ; |
| N12 017   | PROVA U     | Patent - Provisional | AU      | Method and System for Controlling Electrical Conditions of Tissue II (Formerly: "Push-Pull with Passive Third Electrode") | Peter Single ;<br>2012904838        |

**B(i). Copyright forming part of the Project IP**

a.

| Title / Description                                                           | Date | Author(s) | Countries Registered (if applicable) |
|-------------------------------------------------------------------------------|------|-----------|--------------------------------------|
| 1. Copyright in the documents software and materials listed in part C, below. |      |           |                                      |

**B(ii). Project IP other than Patent Rights and copyright – other rights**

a. NIL

**C. Licensed Know How**

- a. Licensed Know How covers all information owned by NIPR which describes technology and results which was created by or for NICTA's Implant Systems project team and which is stored on the Implant Systems 1 Server and replicated on the back-up media associated with this agreement and included in the identified laboratory note books.

Acronyms used in this section are defined in the table below.

| Acronym | Description                         |
|---------|-------------------------------------|
| MCS I   | "Multi Channel System 1" [REDACTED] |

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|                  |                                                                   |
|------------------|-------------------------------------------------------------------|
| MCS II           | "Multi Channel System II" [REDACTED]                              |
| BWS              | "Body Worn System" [REDACTED]                                     |
| BWS V3           | "Body Worn System V3" [REDACTED]                                  |
| NTDS             | NTDS is simple a unique identifier with no meaning.               |
| MCS Lab          | "Multi Channel System Laboratory" [REDACTED]                      |
| MCS Analysis GUI | "Multi Channel System Analysis Graphic User Interface" [REDACTED] |
| NDBSH            | NDBSH is simple a unique identifier with no meaning.              |

b. This information includes, but is not limited to:

| Description    | Date |
|----------------|------|
| 1. [REDACTED]  |      |
| 2. [REDACTED]  |      |
| 3. [REDACTED]  |      |
| 4. [REDACTED]  |      |
| 5. [REDACTED]  |      |
| 6. [REDACTED]  |      |
| 7. [REDACTED]  |      |
| 8. [REDACTED]  |      |
| 9. [REDACTED]  |      |
| 10. [REDACTED] |      |
| 11. [REDACTED] |      |
| 12. [REDACTED] |      |
| 13. [REDACTED] |      |
| 14. [REDACTED] |      |
| 15. [REDACTED] |      |
| 16. [REDACTED] |      |
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| 19. [REDACTED] |      |

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6 MARCH 2013 (date)

|    | Description | (name) Date                  |
|----|-------------|------------------------------|
| 20 | [REDACTED]  | PETER UETTERBODY (signature) |
| 21 | [REDACTED]  |                              |
| 22 | [REDACTED]  |                              |
| 23 | [REDACTED]  |                              |
| 24 | [REDACTED]  |                              |
| 25 | [REDACTED]  |                              |
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| 39 | [REDACTED]  |                              |
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| 42 | [REDACTED]  |                              |
| 43 | [REDACTED]  |                              |
| 44 | [REDACTED]  |                              |
| 45 | [REDACTED]  |                              |

Laboratory Books:

| Name       | Total      | Number     | Type       | Location   |
|------------|------------|------------|------------|------------|
| [REDACTED] | [REDACTED] | [REDACTED] | [REDACTED] | [REDACTED] |
| [REDACTED] | [REDACTED] | [REDACTED] | [REDACTED] | [REDACTED] |
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| [REDACTED] | [REDACTED] | [REDACTED] | [REDACTED] | [REDACTED] |
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| [REDACTED] | [REDACTED] | [REDACTED] | [REDACTED] | [REDACTED] |
| [REDACTED] | [REDACTED] | [REDACTED] | [REDACTED] | [REDACTED] |

| Type        | Location               | (Name) |
|-------------|------------------------|--------|
| Section 108 | Supreme Court Building |        |

(signature)