### 507269962 05/06/2022

# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT7316883

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

### **CONVEYING PARTY DATA**

Name	Execution Date
SURYA MOGANTY	05/03/2022
RUTVIK VAIDYA	05/03/2022
GABRIEL TORRES	05/03/2022
JOHN SINICROPI	05/03/2022
YUE WU	01/08/2017

### **RECEIVING PARTY DATA**

Name:	NOHMS TECHNOLOGIES, INC
Street Address:	1200 RIDGEWAY AVENUE
Internal Address:	SUITE 110
City:	ROCHESTER
State/Country:	NEW YORK
Postal Code:	14615

### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	17489146

## **CORRESPONDENCE DATA**

Fax Number: (585)362-4701

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 585-362-4700

Email: rochesterip@bsk.com

**Correspondent Name:** BOND, SHOENECK & KING, PLLC

Address Line 1: 350 LINDEN OAKS

Address Line 2: 3RD FLOOR

Address Line 4: **ROCHESTER, NEW YORK 14625** 

ATTORNEY DOCKET NUMBER:	436P032A
NAME OF SUBMITTER:	JOSEPH M. NOTO
SIGNATURE:	/Joseph M. Noto/
DATE SIGNED:	05/06/2022

# Total Attachments: 10 source=436P032A Assignment#page1.tif source=436P032A Assignment#page2.tif source=436P032A Assignment#page3.tif source=436P032A Assignment#page4.tif source=436P032A Assignment#page5.tif source=436P032A Assignment#page6.tif source=436P032A Assignment#page7.tif source=436P032A Assignment#page8.tif source=436P032A Assignment#page8.tif source=436P032A Assignment#page9.tif source=436P032A Assignment#page9.tif

### ASSIGNMENT

WHEREAS, Surya Moganty, residing at 201 Aspen Look Dr., Henrietta, New York 14467, Rutvik Vaidya, residing at 223 Greystone Lane, Apartment 24, Rochester, New York 14618, Gabriel Torres residing at 1600 East Ave Apt. 1107, Rochester, New York 14610, Yue Wu, residing at 68 Oak Mills Crossing, West Henrietta, New York 14586, and John Sinicropi, residing at 251 Eaton Road, Rochester, New York 14617 have invented certain new and useful improvements in LITHIUM ION BATTERY ELECTROLYTE ADDITIVE for which an application for Letters Patent in the United States was filed as U.S. Provisional No. 17/489,146, on September 29, 2021;

AND WHEREAS, NOHMS Technologies, Inc., with its principal place of business at 1200 Ridgeway Avenue, Suite 110, Rochester, New York 14615, desires to acquire the entire right, title and interest in and to the said improvements and the said Application:

NOW, THEREFORE, for good and valuable consideration, including salary or payment for the making of inventions, or employee benefits, the receipt of which is hereby acknowledged, we, the said inventors, do hereby acknowledge that we have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said NOHMS Technologies, Inc., its successors, legal representatives and assigns, the entire right, title and interest throughout the world in, to and under the said improvements, and the said application and all divisions, renewals and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all rights of priority under International Conventions and applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States. and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and we hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said NOHMS Technologies. Inc., its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND I HEREBY covenant and agree that we will communicate to the said **NOHMS Technologies**, **Inc.**, its successors, legal representatives and assigns, any facts known to us respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the said **NOHMS Technologies**, **Inc.**, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said improvements in all countries.

14015913.1 4/29/2022

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 3 day of, 2022
Surva Meganty
STATE OF MEN SS:
This day of 2023 before me personally came the above-named Surya Moganty, to me personally known as the individual who executed the same of his/her own free will for the purposes therein set forth.
Notary Public

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 3 day of
Rutvik Vaidya
STATE OF MG YOM SS:  COUNTY OF MG YOM SS:  This day of, 202 before me personally came the above-named Rutvik Vaidya, to me personally known as the individual who executed the same of his/her own free will for the purposes therein set forth.
Zan Drie
Notary Public

LOUIS J MICCA
NOTARY PUBLIC-STATE OF NEW YORK
NO. 02MI4947196
Qualified in Montoe County
My Commission Expires February 13, 2023

	ereunto set my hand and seal this 3 day of
STATE OF MONIMUE SS:  COUNTY OF MONIMUE SS:  This 3 day of Muy above-named Gabriel Torres, to me personally k of his/her own free will for the purposes therein so	, 20 <u>21</u> before me personally came the nown as the individual who executed the same et forth.
	Notary Public  LOUIS J MICCA  NOTARY PUBLIC-STATE OF NEW YORK  No. 02Mi4947196  Qualified in Monroe County  My Commission Expires February 13, 2023

			Yue Wu	
TATE OF				
OUNTY Ö	F	SS:		
	This	day of	, 20	, before me personally came t
bove-name	d Yue Wu	, to me personally	known as the in-	, before me personally came to dividual who executed the same
is/her own:	free will fo	or the purposes the	rein set forth.	

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 3 day of John Sinicropi
STATE OF LOW YALK COUNTY OF MANNES SS:  This 3 day of 202, 202 before me personally came the above-named John Sinicropi, to me personally known as the individual who executed the same of his/her own free will for the purposes therein set forth.
Motary Public  Notary Public

LOUIS J MICCA
NOTARY PUBLIC-STATE OF NEW YORK
No. 02MI4947196
Qualified in Montoe County
My Commission Expires February 13, 2023

### PROPRIETARY INFORMATION AND INVENTIONS AGREEMENT

Effective as of the first date of my employment, the following confirms an agreement between NOHMs. Technologies, Inc., a Delaware corporation (the "Company") and the individual identified on the signature page to this Agreement. This Agreement is a miserial part of the consideration for my employment by the Company. To exchange for the foregoing, and for other good and valuable consideration, the receipt and sufficiency of which is broken wedged, the parties agree as follows:

1. NO CONVLICTS. I have not made and agree not to make any agreement, or all or written, that is in conflict with this Agreement or my employment with the Company. I will not violate any agreement with or the rights of any third party. When acting within the scope of my employment (or otherwise on behalf of the Company), I will not use or disclose my own or any third party's confidential information or intellectual property (collectively, "Restricted Materials"), except as expressly authorized by the Company in writing. Further, I have not reagined anything submining any confidential information of a prior employer or other filted party, whether or not created by me.

### 2. INVENTIONS.

- 2. Definitions. "Intellectual Property Rights" means any and all patent rights, copyright rights, mask work rights, trade secret rights, and general database rights and all other intellectual and industrial property rights of any anti-dentificant the world (including any application therefor). "Investign" means any idea, concept, discovery, invention, development, technology, work of authorship, trade accret, software, firmware, thoi, process, technique, brown-how, data, plan, device, apparatus, specification, design, circuit, layous, mask work, algorithm program, code, documentation or other material or information, tangible or intengelyle, whether or not rings be patented, copyrighted or otherwise protected (including all versions, modifications, ephanicaments and derivative works thereof).
- b. Assignment. To the fullest exemt under applicable law, the Company shall own all right, pile and intensit in and to all inventions (including all intellectual Property Rights therein or related thereto) that are made conceived or reduced to practice, in whole or in part, by me during the error of my employment with the Company and which arise out of research or other activity conducted by, for or under the direction of the Company (whether or not conducted at the Company's facilities, during working hours or using Company assets), or which are useful with or relate directly or indirectly to any "Company Intensice and property Right that is sold, leaved, used or under consideration or development by the Company). I will promptly disclose and provide all of the foregoing inventions (the "Application or accomplish the foregoing horeby make and agree to make all assignments to the Company necessary to accomplish the foregoing overship. Assigned inventions shall not include any invention () that I develop entirely on or over time. (i) without use of any Company assets and (iii) which is not useful with and does not relate to any Company Interest.
- c. Assersances. I will further assist the Company, at its expense, to evidence, record and perfect such assignments, and to perfect, obtain, maintain, enforce and defend any rights specified to be so owned or essigned. I hereby irreviously designate and appoint the Company as my agent and afterney-in-fact to set for and in my behalf to execute and file any document and to do all other lawfully permitted acts to further the purposes of the foregoing with the same legal force and effect as if executed by me.
- 6. Other laveations. If I wish to clarify that something coasts by me prior to my employment that relates to the Company's actual or proposed business is not within the scope of this Agreement, I have listed it on Appendix A. If (i) I are or disclose any Restricted Materials when acting within the scope of my employment (or otherwise on behalf of the Company), or (ii) any Assigned Invention cannot be fully made, used, reproduced or otherwise exploited without using or violating any Restricted Materials. I hereby gram and agree to grant to the Company a perpetual, irrevocable, worldwide, royalty-free, non-exclusive, sublicensable right and license to explinit and exercise all such Restricted Materials and Intellectual Property Rights therein. I will not use or disclose any Restricted Materials for which I am not fully authorized to gram the foregoing license.
- e. Moral Rights. To the extent allowed by applicable law, the terms of this Section 2 include all rights of paternity, integrity, disclosure and withdrawal and any other rights that may be known as or referred to as moral.

rights, artist's rights, that moral or the like (collectively, "Moral Rights"). To the extent I retain any such Moral Rights under applicable law, I hereby ratify and consent to any action that may be taken with respect to such Moral Rights by or authorized by the Company and agree not to assert any Moral Rights with respect thereto. I will confirm any such ratification, consent or agreement from time to time as requested by the Company.

- A PROPRIETARY INFORMATION: I agree that all Assigned inventions and all other financial, business, legal and technical information including, without limitation, the identity of and information relating to the Company's employees, Affiliates and Business Partners (as such terms are defined below), which I develop, learn or obtain during my employment or that are received by or for the Company in confidence, constitute "Inquistant in Gallisticis". I will hold in strict confidence and not disclose or, except within the scope of my employment, as any Proprietary Information. Proprietary Information will not include information that I can document is or becomes readily publicly available without restriction intrough no fault of mine. Upon termination of my employment, I will promptly return to the Company all items containing or embodying Proprietary Information (including all copies), except that I may keep my personal copies of (a) my compensation records, (b) materials distributed to shareholders generally and (c) this Agraement. I also recognize and agree that I have no expectation of privacy with respect to the Company's networks, telecommunications systems or information processing Systems (including, without limitation, street computer flies, electronic neith messages and voice messages), and that my activity and any flies or messages on or using any of those systems may be monitored at any time without notice.
- 4. BESTRICTED ACTIVITIES. For the purposes of this Section 4, the term Company includes the Company and all other persons or another that control, are controlled by or are under common control with the Company ("Affiliates").
- s. Definitions. "Any Capacity" includes, without limitation, to (1) be an owner, founder, shareholder, partner, member, advisor, director, consultant, contractor, agent, employee, affiliate or co-venturet, (ii) otherwise invest, engage or participate in, (iii) be compensated by or (iv) prepare to be or do any of the foregoing or assist any third party to do so; provided, Any Capacity will not include being a holder of less than one percent (1%) of the outstanding equity of a public company. "Business Partner" means any past, present or prospective customer, vendor, supplier, distribution or other housess partner of the Campany with which I have contact (or knowledge of) during my employment. Casse means to recruit, employ, retain or otherwise solicit, induce or influence (or o stempt to do so). Solicit means to (1) service, take orders from or solicit the business or partninge of any Business Partner for myself or any other person or entity, (ii) divert, entite or otherwise take away from the Company the business or partninge of any Business Partner, or to attempt to do so, or (iii) to solicit, include or more accordance any Business Partner to terminate or reduce its relationship with the Company.
- b. Acknowledgments. I acknowledge and agree that (i) the Company's horizess is highly competitive, secrecy of the Proprietary Information is of the utmost importance to the Company and I will learn and use Proprietary Information in performing my work for the Company and (ii) my position may require me to establish goodwill with Business Partners and employees on behalf of the Company and such goodwill is extremely important to the Company's success.
- c. As an Employee. During my employment with the Company, I will not directly or indirectly (i) Cause any person to leave their employment with the Company (other than terminating subordinate employees in the course of my duties for the Company). (ii) Solicit any Business Partner, or (iii) sot in Any Capacity in or with respect to any commercial activity which competes or it reasonably likely to compete with any business that the Company conducts, or demonstrably enticipates conducting, at any time during my employment (a "Company Business").
- d. After Termination. For the period of one year immediately following termination of my employment with the Company (for any or no reason, whether voluntary or involuntary), I will not directly or indirectly. (I) Cause any person to leave their employment with the Company, or (ii) Solicit any Dusiness Permit or (iii) and in Any Capacity in or with respect to any Companing Business located within the State of New York, the rest of the United States, or any where else in the world.
- c. Enforcement. I understand that the restrictions are forth in this Section 4 are intended to protect the Company's interest in its Proprietary Information and established relationships and goodwill with employees and

Business Fariners, and I agree that such restrictions are reasonable and appropriate for this purpose. If at any time any of the provisions of this Section 4 are deemed invalid or unenforceable or are prohibited by the laws of the state or place where they are to be emissed into, performed or enforced, by reason of being vague or unreasonable as to duration or geographic scope or scope of activities restricted, or for any other reason, such provisions shall be considered divisible and shall become and be immediately amended to include only such restrictions and to such extent as shall be deemed to be reasonable and enforceable by the court or other body paving jurisdiction over this Agreement. The Company and I agree that the provisions of this Section 4, as so amended shall be valid and binding as though any invalid or unenforceable provision had not been included.

- 5. EMPLOYMENT AT WILL. I agree that this Agreement is not an employment contract for any particular term. I have the right to resign and the Company has the right to terminate my employment at will, at any time, for any or no reason, with or without cause. This Agreement does not purpor to set forth all of the terms and conditions of my employment, and as an employee of the Company, I have obligations to the Company which are not described in this Agreement. However, the terms of my similar form that I may have proviously signed. This Agreement can only be changed by a subsequent written agreement signed by the President of the Company (or authorized designee).
- 6. SURVIVAL: I agree that my obligations under Sections 2, 3 and 4 of this Agreement shall continue in effect after termination of my employment, regardless of the reason, and whether such termination is voluntary or involuntary, and that the Company is entitled to communicate my obligations under this Agreement to any of my potential or future employers. My obligations under Sections 2, 3 and 4 also shall be binding upon my heirs, executors, assigns and administrators, and shall inure to the benefit of the Company, its Affiliates, successors and assigns. This Agreement may be freely assigned by the Company to any third party.
- GOVERNING LAW; REMEDIES. Any dispute in the meaning, effect or validity of this Agreement shall be resolved in accordance with the laws of the New York without regard to the conflict of laws provisions thereof. I acknowledge and agree that the consideration given for this Agreement is valid and sufficient. I further acknowledge and agree that the enforceability of this Agreement and the validity of its consideration shall not be affected by any change(s) in my working conditions, including but not limited to promotion, demotion, reduction in compensation, increase in compensation, change in compensation structure, change in title, change in focation of employment, and change of Company name, structure or ownership. The failure of either party to enforce its rights under this Agreement at any time for any period shall not be construed as a waiver of such rights. Unless expressly provided otherwise, each right and remedy in this Agreement is in addition to any other right or remedy, at law or in equity, and the exercise of one right or remedy will see he deemed a waiver of any other right or remedy. I further agree that if one or more provisions of this Agreement are held to be illegal or menforceable under applicable law, such illegal or unenforceable portion shall be limited or excluded from this Agreement to the minimum extent required so that this Agreement shall otherwise remain in full force and effect and enforceable. Laiso indensiond that any breach or threatened breach of this Agreement will cause irreparable harm to the Company for which damages would not be a adequate remedy, and, therefore, the Company will be entitled m injunctive relief with respect thereto (without the necessity of positing any bond) in addition to any other remedies.

[Nemainder of Page Intentionally Left Blank.]

I HAVE READ THIS AGREEMENT CAREFULLY AND I UNDERSTAND AND ACCEPT THE OBLIGATIONS WHICH IT IMPOSES UPON ME WITHOUT RESERVATION. NO PROMISES OR REPRESENTATIONS HAVE BEEN MADE TO ME TO INDUCE ME TO SIGN THIS AGREEMENT, I SIGN THIS AGREEMENT VOLUNTARILY AND FREELY, IN DUPLICATE, WITH THE UNDERSTANDING THAT ONE COUNTERPART WILL BE RETAINED BY THE COMPANY AND THE OTHER COUNTERPART WILL BE RETAINED BY ME.

Date: DILCS12017

COMPANY

Name & Title: <u>WA7thay S</u>Att. Caso

Address <u>147 Unicat Street</u>

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