

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT7311013

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	CHANGE OF NAME
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
NUVATION BIO OPERATING COMPANY INC.	12/29/2021
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	NUVATION BIO OPERATING COMPANY LLC
<b>Street Address:</b>	1500 BROADWAY
<b>Internal Address:</b>	STE. 1401
<b>City:</b>	NEW YORK
<b>State/Country:</b>	NEW YORK
<b>Postal Code:</b>	10036
<b>PROPERTY NUMBERS Total: 3</b>	
<b>Property Type</b>	<b>Number</b>
<b>Application Number:</b>	62870020
<b>Application Number:</b>	16918997
<b>PCT Number:</b>	US2020040566
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(650)494-0792
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Phone:</b>	6176484782
<b>Email:</b>	mchacon@mofo.com
<b>Correspondent Name:</b>	MARK D. MCBRIAR
<b>Address Line 1:</b>	MORRISON & FOERSTER LLP
<b>Address Line 2:</b>	200 CLARENDON STREET, 21ST FLOOR
<b>Address Line 4:</b>	BOSTON, MASSACHUSETTS 02116
<b>ATTORNEY DOCKET NUMBER:</b>	19369-20016.XX
<b>NAME OF SUBMITTER:</b>	MARK D. MCBRIAR
<b>SIGNATURE:</b>	/Mark D. McBriar/
<b>DATE SIGNED:</b>	05/03/2022
<b>Total Attachments: 4</b>	
source=19369-20016.00 + .40 7 - Name_Change_NUVP_Bio_Op_Inc__to_NUVP_Bio_Op_LLC#page1.tif	
source=19369-20016.00 + .40 7 - Name_Change_NUVP_Bio_Op_Inc__to_NUVP_Bio_Op_LLC#page2.tif	

source=19369-20016.00 + .40 7 - Name\_Change\_NUVP\_Bio\_Op\_Inc\_\_to\_NUVP\_Bio\_Op\_LLC#page3.tif

source=19369-20016.00 + .40 7 - Name\_Change\_NUVP\_Bio\_Op\_Inc\_\_to\_NUVP\_Bio\_Op\_LLC#page4.tif

**PATENT**

**REEL: 059848 FRAME: 0495**

# Delaware

The First State

Page 1

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THAT THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF CONVERSION OF A DELAWARE CORPORATION UNDER THE NAME OF "NUVATION BIO OPERATING COMPANY INC." TO A DELAWARE LIMITED LIABILITY COMPANY, CHANGING ITS NAME FROM "NUVATION BIO OPERATING COMPANY INC." TO "NUVATION BIO OPERATING COMPANY LLC", FILED IN THIS OFFICE ON THE TWENTY-NINTH DAY OF DECEMBER, A.D. 2021, AT 6:50 O`CLOCK P.M.



6806179 8100V  
SR# 20214256864

You may verify this certificate online at [corp.delaware.gov/authver.shtml](http://corp.delaware.gov/authver.shtml)

A handwritten signature in black ink, appearing to read "JBULLOCK", is written over a horizontal line. Below the line, the text "Jeffrey W. Bullock, Secretary of State" is printed in a small font.

Authentication: 205120317  
Date: 12-30-21

**PATENT**  
**REEL: 059848 FRAME: 0496**

# Delaware

The First State

Page 1

*I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF  
DELAWARE DO HEREBY CERTIFY THAT THE ATTACHED IS A TRUE AND  
CORRECT COPY OF THE CERTIFICATE OF FORMATION OF "NUVATION BIO  
OPERATING COMPANY LLC" FILED IN THIS OFFICE ON THE TWENTY-  
NINTH DAY OF DECEMBER, A.D. 2021, AT 6:50 O`CLOCK P.M.*



6806179 8100V  
SR# 20214256864

You may verify this certificate online at [corp.delaware.gov/authver.shtml](http://corp.delaware.gov/authver.shtml)

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Authentication: 205120317  
Date: 12-30-21

**PATENT**  
**REEL: 059848 FRAME: 0497**

STATE OF DELAWARE  
CERTIFICATE OF CONVERSION  
FROM A CORPORATION TO A  
LIMITED LIABILITY COMPANY PURSUANT TO  
SECTION 18-214 OF THE LIMITED LIABILITY  
COMPANY ACT

- 1.) The jurisdiction where the Corporation first formed is Delaware.
- 2.) The jurisdiction immediately prior to filing this Certificate is Delaware.
- 3.) The date the corporation first formed is March 20, 2018.
- 4.) The name of the Corporation immediately prior to filing this Certificate is  
Nuvation Bio Operating Company Inc.
- 5.) The name of the Limited Liability Company as set forth in the Certificate of  
Formation is Nuvation Bio Operating Company LLC.

IN WITNESS WHEREOF, the undersigned have executed this Certificate on the  
29th day of December, A.D. 2021.

By: /s/ David Hung, M.D.  
Authorized Person

Name: David Hung, M.D.  
Print or Type

STATE OF DELAWARE  
CERTIFICATE OF FORMATION  
OF LIMITED LIABILITY COMPANY

The undersigned authorized person, desiring to form a limited liability company pursuant to the Limited Liability Company Act of the State of Delaware, hereby certifies as follows:

1. The name of the limited liability company is Nuvation Bio Operating Company LLC

2. The Registered Office of the limited liability company in the State of Delaware is located at 1209 Orange Street (street),  
in the City of Wilmington, Zip Code 19801. The  
name of the Registered Agent at such address upon whom process against this limited  
liability company may be served is The Corporation Trust Company

By: /s/ David Hung, M.D.  
Authorized Person

Name: David Hung, M.D.  
Print or Type