507323248 06/07/2022

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT7370172

| SUBMISSION TYPE: | | NEW ASSIGNMENT | | | |
|--|------------------------------|---|----------------|-----------------|--|
| NATURE OF CONVEYANCE: | | | CHANGE OF NAME | | |
| NATURE OF CONVETANCE. | | | | | |
| CONVEYING PARTY D | ATA | | | | |
| | | Name | Name | | |
| BOX MARKET LLC | | | | 07/17/2018 | |
| | | | | | |
| RECEIVING PARTY DA | TA | | | | |
| Name: | BOX OPTIONS MARKET LLC | | | | |
| Street Address: | 101 ARCH STREET, SUITE 610 | | | | |
| City: | BOSTON | | | | |
| State/Country: | MASSACHUSETTS | | | | |
| Postal Code: | 02110 | | | | |
| | | | | | |
| PROPERTY NUMBERS | Total: 1 | | | | |
| Property Type | | Number | | | |
| Property Type | | number | | | |
| Property Type Patent Number: | | 7941364 | | | |
| Patent Number: | | | | | |
| Patent Number: | | | | | |
| Patent Number: CORRESPONDENCE D Fax Number: | ΑΤΑ | 7941364 | unsuccessful | it will be sent | |
| Patent Number: CORRESPONDENCE D Fax Number: Correspondence will be using a fax number, if p | ATA e sent to | | | | |
| Patent Number: CORRESPONDENCE D Fax Number: <i>Correspondence will be</i> <i>using a fax number, if p</i> Email: | ATA e sent to provided | 7941364 <i>the e-mail address first; if that is a</i> <i>; if that is unsuccessful, it will be s</i> flasota@boxoptions.com | | | |
| Patent Number: CORRESPONDENCE D Fax Number: Correspondence will be using a fax number, if p Email: Correspondent Name: | ATA e sent to provided | 7941364 <i>the e-mail address first; if that is a</i> <i>; if that is unsuccessful, it will be s</i> flasota@boxoptions.com _ISA RUGGIERO | | | |
| Patent Number: CORRESPONDENCE D Fax Number: <i>Correspondence will be</i> <i>using a fax number, if p</i> Email: Correspondent Name: Address Line 1: | ATA e sent to provided | 7941364 <i>the e-mail address first; if that is a</i> <i>; if that is unsuccessful, it will be s</i> flasota@boxoptions.com _ISA RUGGIERO 101 ARCH STREET, SUITE 610 | sent via US M | | |
| Patent Number: CORRESPONDENCE D Fax Number: Correspondence will be using a fax number, if p Email: Correspondent Name: | ATA e sent to provided | 7941364 <i>the e-mail address first; if that is a</i> <i>; if that is unsuccessful, it will be s</i> flasota@boxoptions.com _ISA RUGGIERO | sent via US M | | |
| Patent Number: CORRESPONDENCE D Fax Number: Correspondence will be using a fax number, if p Email: Correspondent Name: Address Line 1: Address Line 4: | ATA e sent to provided | 7941364 <i>the e-mail address first; if that is a</i> <i>; if that is unsuccessful, it will be s</i> flasota@boxoptions.com _ISA RUGGIERO 101 ARCH STREET, SUITE 610 | sent via US M | | |
| Patent Number: CORRESPONDENCE D Fax Number: Correspondence will be using a fax number, if p Email: Correspondent Name: Address Line 1: Address Line 4: | ATA e sent to provided | 7941364 <i>the e-mail address first; if that is a</i> <i>; if that is unsuccessful, it will be s</i> flasota@boxoptions.com _ISA RUGGIERO 101 ARCH STREET, SUITE 610 BOSTON, MASSACHUSETTS 02110 | sent via US M | | |
| Patent Number: CORRESPONDENCE D Fax Number: Correspondence will be using a fax number, if p Email: Correspondent Name: Address Line 1: | ATA e sent to provided | 7941364 <i>the e-mail address first; if that is a</i> <i>; if that is unsuccessful, it will be s</i> flasota@boxoptions.com _ISA RUGGIERO 101 ARCH STREET, SUITE 610 BOSTON, MASSACHUSETTS 02110 LISA RUGGIERO | sent via US M | | |
| Patent Number: CORRESPONDENCE D Fax Number: Correspondence will bu using a fax number, if p Email: Correspondent Name: Address Line 1: Address Line 4: NAME OF SUBMITTER: SIGNATURE: | ATA e sent to provided | 7941364 the e-mail address first; if that is a ; if that is unsuccessful, it will be s lasota@boxoptions.com _ISA RUGGIERO 101 ARCH STREET, SUITE 610 BOSTON, MASSACHUSETTS 02110 LISA RUGGIERO /Lisa Ruggiero/ | sent via US M | | |
| Patent Number: CORRESPONDENCE D Fax Number: Correspondence will be using a fax number, if p Email: Correspondent Name: Address Line 1: Address Line 4: NAME OF SUBMITTER: SIGNATURE: DATE SIGNED: Total Attachments: 2 | ATA e sent to provided | 7941364 the e-mail address first; if that is a ; if that is unsuccessful, it will be s lasota@boxoptions.com _ISA RUGGIERO 101 ARCH STREET, SUITE 610 BOSTON, MASSACHUSETTS 02110 LISA RUGGIERO /Lisa Ruggiero/ | sent via US M | | |



June 2, 2022

Attention: United States Patent Office

Re: Name Change from BOX Market LLC to BOX Options Market LLC

Dear Sir or Madam,

We refer to Patent number 7941364 (May 10, 2011), Price Improvement Processor for Electronic Trading of Financial Instruments, assigned to **BOX Market LLC** executed on May 10, 2012.

This letter is intended to provide notice of a name change from **BOX Market LLC** to **BOX Options Market LLC**, effective July 17, 2018. The patent referenced above remains fully in force and effect.

If you have any questions about this name change please contact me at 617-235-2307 or lruggiero@boxoptions.com.

Sincerely,

Lisa Ruggiero General Counsel BOX Options Market LLC

888888

REEL: 060127 FRAME: 0058



The First State

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THAT THE SAID "BOX MARKET LLC", FILED A CERTIFICATE OF AMENDMENT, CHANGING ITS NAME TO "BOX OPTIONS MARKET LLC" ON THE SEVENTEENTH DAY OF JULY, A.D. 2018, AT 8:09 O'CLOCK P.M.



4864741 8320 SR# 20186323167

You may verify this certificate online at corp.delaware.gov/authver.shtml

Jeffrey W. Bullock, Secretary of State

Authentication: 203304394 Date: 08-23-18

PATENT REEL: 060127 FRAME: 0059

Page 1

RECORDED: 06/07/2022