

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT7374031

SUBMISSION TYPE:	NEW ASSIGNMENT	
NATURE OF CONVEYANCE:	CHANGE OF NAME	
CONVEYING PARTY DATA		
	Name	Execution Date
	ROOT, INC.	09/16/2020
RECEIVING PARTY DATA		
Name:	CARET HOLDINGS, INC.	
Street Address:	80 E. RICH STREET	
City:	COLUMBUS	
State/Country:	OHIO	
Postal Code:	43215	
PROPERTY NUMBERS Total: 1		
Property Type	Number	
Application Number:	17827226	
CORRESPONDENCE DATA		
Fax Number:		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>		
Email:	request@slwip.com	
Correspondent Name:	SCHWEGMAN LUNDBERG & WOESSNER, P.A.	
Address Line 1:	P.O. BOX 2938	
Address Line 4:	MINNEAPOLIS, MINNESOTA 55402	
ATTORNEY DOCKET NUMBER:	5294.004US2	
NAME OF SUBMITTER:	CHERYL BREYFOGLE	
SIGNATURE:	/Cheryl Breyfogle/	
DATE SIGNED:	06/09/2022	
Total Attachments: 3		
source=5294004US2ASSN2#page1.tif		
source=5294004US2ASSN2#page2.tif		
source=5294004US2ASSN2#page3.tif		

RECORDATION FORM COVER SHEET
PATENTS ONLY

Atty Ref/Docket No.: 5294.004US2

Patent and Trademark Office

To the Director of the U.S. Patent and Trademark Office: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Root, Inc.

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

3. Nature of conveyance:

☐ Assignment

☐ Merger

☐ Security Agreement ☒ Change of Name

☐ Other

Execution Date: September 16, 2020

2. Name and address of receiving party(ies):

Name: Caret Holdings, Inc.

Street Address: 80 E. Rich Street

City: Columbus State: OH Zip: 43215

Country: United States of America

Additional name(s) & address(es) attached? ☐ Yes
☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

Serial No. 17/827,226

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Joseph P. Mehrle

Address:

Schwegman Lundberg & Woessner, P.A.

P.O. Box 2938

Minneapolis, Minnesota 55402

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 0.00

☐ Enclosed

☐ Authorized to be charged to deposit account
19-0743

8. Please charge any additional fees or credit any over payments to our Deposit Account No.: 19-0743

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Joseph P. Mehrle/Reg. No. 45,535

Name of Person Signing

/ [Signature]

Signature

/ Jun 7, 2022

Date

Total number of pages including cover sheet: 3

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks

Mail Stop Assignment Recordation Services

P.O. Box 1450

Alexandria, VA 22313-1450

PATENT
REEL: 060148 FRAME: 0703

Delaware

The First State

Page 1

*I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF
DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT
COPY OF THE CERTIFICATE OF AMENDMENT OF "ROOT, INC.", CHANGING
ITS NAME FROM "ROOT, INC." TO "CARET HOLDINGS, INC.", FILED IN
THIS OFFICE ON THE SIXTEENTH DAY OF SEPTEMBER, A.D. 2020, AT
8:28 O`CLOCK A.M.*



Jeffrey W. Bullock, Secretary of State

5689094 8100
SR# 20207293965

You may verify this certificate online at corp.delaware.gov/authver.shtml

Authentication: 203671080
Date: 09-16-20

PATENT
REEL: 060148 FRAME: 0704

**CERTIFICATE OF AMENDMENT
TO THE SIXTH AMENDED AND RESTATED
CERTIFICATE OF INCORPORATION
OF ROOT, INC.**

Root, Inc. (the "**Corporation**"), organized and existing under and by virtue of the General Corporation Law of the State of Delaware ("**DGCL**"), does hereby certify as follows:

FIRST: The name of this corporation prior to any changes effected by this Certificate of Amendment was Root, Inc., and the Corporation was originally incorporated pursuant to the DGCL on February 6, 2015, under the name WYNU, Inc.

SECOND: The Board of Directors of the Corporation, acting in accordance with the provisions of Sections 141 and 242 of the DGCL, adopted resolutions to amend the Sixth Amended and Restated Certificate of Incorporation of the Corporation (the "**Restated Certificate**") as follows:

Article FIRST of the Restated Certificate is hereby amended and restated to read in its entirety as follows:

"The name of this corporation is Caret Holdings, Inc. (the "Corporation")."

THIRD: Thereafter, pursuant to a resolution by the Board of Directors of the Corporation, this Certificate of Amendment was submitted to, and approved by, the stockholders of the Corporation in accordance with the provisions of Sections 228 and 242 of the DGCL, and the stockholders of Root Stockholdings, Inc., a Delaware corporation, in accordance with the provisions of Section 251(g) of the DGCL.

IN WITNESS WHEREOF, this Certificate of Amendment to the Sixth Amended and Restated Certificate of Incorporation of Root, Inc. has been executed by a duly authorized officer of the Corporation on this 16th day of September, 2020.

By: /s/ Alexander E. Timm

Alexander E. Timm, CEO

State of Delaware
Secretary of State
Division of Corporations
Delivered 08:28 AM 09/16/2020
FILED 08:28 AM 09/16/2020
SR 20207293965 - File Number 5689094