

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT7401526

SUBMISSION TYPE:	NEW ASSIGNMENT	
NATURE OF CONVEYANCE:	ASSIGNMENT	
CONVEYING PARTY DATA		
	Name	Execution Date
	PLEXXIKON INC.	03/31/2022
RECEIVING PARTY DATA		
Name:	DAIICHI SANKYO, INC.	
Street Address:	211 MT. AIRY ROAD	
City:	BASKING RIDGE	
State/Country:	NEW JERSEY	
Postal Code:	07920	
PROPERTY NUMBERS Total: 1		
	Property Type	Number
	Patent Number:	9550768
CORRESPONDENCE DATA		
Fax Number:		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>		
Email:	SVIPdocketing@sheppardmullin.com, JLaluces@sheppardmullin.com	
Correspondent Name:	SHEPPARD MULLIN RICHTER & HAMPTON LLP	
Address Line 1:	650 TOWN CENTER DRIVE, 10TH FLOOR	
Address Line 4:	COSTA MESA, CALIFORNIA 92626	
ATTORNEY DOCKET NUMBER:	37JF-191951-US	
NAME OF SUBMITTER:	LORNA L. TANNER	
SIGNATURE:	/Lorna L. Tanner/	
DATE SIGNED:	06/26/2022	
Total Attachments: 10		
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INTELLECTUAL PROPERTY ASSIGNMENT AGREEMENT

THIS INTELLECTUAL PROPERTY ASSIGNMENT AGREEMENT (this “**Assignment**”) is made and entered into as of March 31, 2022 (the “**Effective Date**”), by and between Daiichi Sankyo, Inc., a U.S. corporation headquartered at 211 Mt Airy Rd, Basking Ridge, NJ 07920 (the “**Assignee**”), on the one hand, and Plexxikon Inc., a company organized and existing under the laws of the State of California, having a place of business at 329 Oyster Point, South San Francisco, California (the “**Assignor**”), on the other hand. Assignors and Assignee are collectively referred to herein as the “**Parties**” and individually as a “**Party**.”

WHEREAS, Assignor desires to transfer and Assignee desires to accept rights to all of Assignor’s Assigned IP (defined below) worldwide relating to pexidartinib that has issued, been registered or granted or that is the subject of an application for registration, issuance or grant and that has not expired or lapsed or been abandoned or withdrawn that is set forth on Schedule A (the “**Assigned IP**”), together with all goodwill associated therewith; and

WHEREAS, in connection with this transaction, Assignor desires to sell, assign, transfer, convey and deliver all of their right, title and interest in, to and under the Assigned IP to Assignee and Assignee desires to acquire all such right, title and interest in, to and under such Assigned IP.

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, and subject to the terms and conditions herein, each of the Parties, intending to be legally bound, hereby agree as follows:

ASSIGNMENT

Section 1. Assignment. Assignor hereby sells, assigns, transfers, conveys and delivers to Assignee, and its successors and assigns, and Assignee hereby purchases, acquires, and accepts from the Assignor, all of such Assignor’s right, title and interest in, to and under the Assigned IP, together with all goodwill associated therewith, and all rights to sue and recover and retain damages for past, present and future infringement, misappropriation, dilution or other violation of the foregoing.

Section 2. Compensation. In return for such assignment of the Assigned IP, Assignee agrees to pay to the Assignor the following sum of forty-three million dollars (\$43,000,000) within sixty (60) days of execution of this Assignment.

Section 3. Further Assurances; Recordation. Assignor hereby agrees to sign all necessary papers and do all lawful acts reasonably requisite to effect the terms of this Assignment, without further compensation but at the expense of Assignee or its successors or assigns. Assignor hereby authorizes the Commissioner for Trademarks in the United States Patent and Trademark Office, the United States Copyright Office and any other Governmental Entity or Internet authority or registrar to record and register this Assignment upon request by Assignee, its successors or assigns.

Section 4. Succession and Assignment. This Assignment and all of the provisions hereof shall be binding upon, inure to the benefit of, and be enforceable by, the Parties and their respective legal representatives, successors and permitted assigns; provided, however, that no assignment by any Party shall relieve such Party of any of its obligations hereunder.

Section 5. Governing Law. This Assignment shall be governed by and construed in accordance with the internal laws of the State of New Jersey applicable to agreements made and to be performed entirely within such state, without regard to the conflicts of law principles of such state.

Section 6. Headings. The section headings contained in this Assignment are inserted for convenience only and shall not affect in any way the meaning or interpretation of this Assignment.

Section 7. Counterparts and Facsimile Signature. This Assignment may be executed by facsimile and in one or more counterparts, and by the different Parties in separate counterparts, each of which when executed shall be deemed to be an original but all of which taken together shall constitute one and the same agreement, and which shall become effective when one or more counterparts have been signed by each of the Parties and delivered (by facsimile or otherwise) to the other Parties.

(Signature page follows)

IN WITNESS WHEREOF, the Parties have duly executed this Assignment as of the date first above written.

ASSIGNEE:

DAIICHI SANKYO, INC

DocuSigned by:

Mila Tartakovsky

By: _____

...B6B9A7269741435...

Name: Mila Tartakovsky

Title: Treasurer

ASSIGNOR:

PLEXXIKON, INC

DocuSigned by:

Chao Zhang

By: _____

...83AE2D26F83D4BB...

Name: Chao Zhang

Title: Chief Executive Officer

Schedule AAssigned IP

Case Type	Client File#	Country Name	Application #	Date Filed	Status	Patent #	Grant Date
NCE	039363-4102	Argentina	P 070105161	11/21/2007	Issued	AR06387881	11/16/2017
NCE	039363-4141-AT	Austria	07864681.7	11/20/2007	Issued	2086972	11/20/2013
NCE	039363-4138	Australia	2007323644	11/20/2007	Issued	2007323644	01/16/2014
NCE	039363-4141-BE	Belgium	07864681.7	11/20/2007	Issued	2086972	11/20/2013
NCE	039363-4141-BG	Bulgaria	07864681.7	11/20/2007	Issued	2086972	11/20/2013
NCE	039363-4116	Brazil	PI0721137-6	11/20/2007	Issued	PI0721137-6	08/11/2020
NCE	039363-4139	Canada	2,670,362	11/20/2007	Issued	2670362	07/17/2018
NCE	039363-4141-CH	Switzerland	07864681.7	11/20/2007	Issued	2086972	11/20/2013
NCE	039363-4103	Chile	2007-3326	11/20/2007	Issued	50.587	12/16/2014
NCE	039363-4117	China	200780050245.3	11/20/2007	Issued	ZL200780050245.3	11/13/2013
NCE	039363-4117DIV	China	2013104700592	11/20/2007	Issued	ZL2013104700592	06/23/2017
NCE	039363-4118	Colombia	09-052.610	11/20/2007	Issued	17789	03/19/2014
NCE	039363-4119	Costa Rica	10817	11/20/2007	Issued	3203	07/24/2015
NCE	039363-4141-CY	Cyprus	07864681.7	11/20/2007	Issued	2086972	11/20/2013
NCE	039363-4141-CZ	Czechia	07864681.7	11/20/2007	Issued	2086972	11/20/2013
NCE	039363-4141-DE	Germany	602007033964.2	11/20/2007	Issued	2086972	11/20/2013
NCE	039363-4141-DK	Denmark	07864681.7	11/20/2007	Issued	2086972	11/20/2013
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NCE	039363-4141-FI	Finland	07864681.7	11/20/2007	Issued	2086972	11/20/2013
NCE	039363-4141-FR	France	07864681.7	11/20/2007	Issued	2086972	11/20/2013
NCE	039363-4141-GB	United Kingdom	07864681.7	11/20/2007	Issued	2086972	11/20/2013
NCE	039363-4105	Gulf Cooperation Council	2007/9539	11/21/2007	Issued	GC0008301	11/01/2018
NCE	039363-4141-GR	Greece	07864681.7	11/20/2007	Issued	2086972	11/20/2013
NCE	039363-4106	Guatemala	A-2007-0101	11/14/2007	Issued	5780	08/18/2014
NCE	039363-4142	Hong Kong	10101543.8	11/20/2007	Issued	1133649	07/11/2014
NCE	039363-4159	Hong Kong	14104179.9	04/30/2014	Issued	1191007	06/08/2018
NCE	039363-4141-HU	Hungary	07864681.7	11/20/2007	Issued	2086972	11/20/2013
NCE	039363-4123	Indonesia	W00200901321	11/20/2007	Issued	IDP000035709	03/20/2014

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NCE	039363-4134	Republic of Korea	10-2009-7012836	11/20/2007	Issued	10-1506044	03/19/2015
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NCE	039363-4141-LU	Luxembourg	07864681.7	11/20/2007	Issued	2086972	11/20/2013
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NCE	039363-4126	Mexico	MX/a/2009/005428	11/20/2007	Issued	306452	01/07/2013
NCE	039363-4125	Malaysia	PI20092040	11/20/2007	Issued	MY-158447-A	10/14/2016
NCE	039363-4128	Nicaragua	2009-0093-I	11/20/2007	Issued	2205 RPI	04/09/2013
NCE	039363-4141-NL	Netherlands	07864681.7	11/20/2007	Issued	2086972	11/20/2013
NCE	039363-4129	Norway	20091989	11/20/2007	Issued	342175	04/09/2018
NCE	039363-4127	New Zealand	577011	11/20/2007	Issued	577011	11/05/2012
NCE	039363-4108	Panama	87562-01	11/19/2007	Issued	87710	10/29/2009
NCE	039363-4110	Peru	1602-2007	11/16/2007	Issued	6466	05/30/2012
NCE	039363-4130	Philippines	1-2009-501009	11/20/2007	Issued	1-2009-501009	06/20/2014
NCE	039363-4111	Pakistan	1328/2007	11/14/2007	Issued	143156	11/08/2019
NCE	039363-4111	Pakistan	16/2018	11/14/2007	Issued	143166	10/31/2019
NCE	039363-4141-PL	Poland	07864681.7	11/20/2007	Issued	2086972	11/20/2013
NCE	039363-4141-PT	Portugal	07864681.7	11/20/2007	Issued	2086972	11/20/2013
NCE	039363-4109	Paraguay	40028/2007	11/21/2007	Issued	4358	07/02/2019
NCE	039363-4141-RO	Romania	07864681.7	11/20/2007	Issued	2086972	11/20/2013
NCE	039363-4131	Russian Federation	2009117475	11/20/2007	Issued	2452738	06/10/2012
NCE	039363-4141-SE	Sweden	07864681.7	11/20/2007	Issued	2086972	11/20/2013
NCE	039363-4154	Singapore	201108659-2	11/20/2007	Issued	176511	12/20/2016
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NCE	039363-4141-SK	Slovakia	07864681.7	11/20/2007	Issued	2086972	11/20/2013
NCE	039363-4104	El Salvador	2007002813	11/22/2007	Published		
NCE	039363-4112	Thailand	0701005851	11/16/2007	Published		
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NCE	039363-4113	Taiwan	096144344	11/22/2007	Issued	I432431	04/01/2014
NCE	039363-4135	Ukraine	a200905046	11/20/2007	Issued	97816	03/26/2012

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NCE	039363-4161CON6	United States of America	17/477,240	09/16/2021	Pending		
NCE	039363-4153	United States of America	12/958,379	12/01/2010	Issued	8461169	06/11/2013
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NCE	039363-4156	United States of America	13/776,547	02/25/2013	Issued	8722702	05/13/2014
NCE	039363-4158	United States of America	14/250,331	04/10/2014	Issued	9169250	10/27/2015
NCE	039363-4160	United States of America	14/733,830	06/08/2015	Issued	9487515	11/08/2016
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NCE	039363-4136	Vietnam	1-2009-01308	11/20/2007	Issued	18585	02/26/2018
NCE	039363-4133	South Africa	2009/03458	11/20/2007	Issued	2009/03458	11/28/2018
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Polymorphs	039363-8300USDiv2	United States of America	16/219,730	12/13/2018	Issued	10584122	03/10/2020
Polymorphs	8300US7	United States of America	17/535,304	11/24/2021	Pending		
Polymorphs	039363-8300VN	Vietnam	1-2017-04776	05/05/2016	Issued	27971	03/10/2021
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Synthetic Process	039363-8550BR	Brazil	BR112017023540-4	05/05/2016	Published		

Synthetic Process	039363-8550CA	Canada	2,984,899	05/05/2016	Issued	2984899	06/15/2021
Synthetic Process	039363-8550-CH	Switzerland	16723224.8	05/05/2016	Issued	3292122	01/15/2020
Synthetic Process	039363-8550CN	China	201680025474.9	05/05/2016	Published		
Synthetic Process	039363-8550CNdiv	China	202011386092.3	05/05/2016	Published		
Synthetic Process	039363-8550-DE	Germany	16723224.8	05/05/2016	Issued	60 2016 028 271.2	01/15/2020
Synthetic Process	039363-8550-DE2	Germany	19189460.9	05/05/2016	Unfiled		
Synthetic Process	039363-8550EP	European Patent Office	16723224.8	05/05/2016	Issued	3292122	01/15/2020
Synthetic Process	039363-8550EP-DIV	European Patent Office	19189460.9	05/05/2016	Allowed		
Synthetic Process	039363-8550-ES	Spain	16723224.8	05/05/2016	Issued	3292122	01/15/2020
Synthetic Process	039363-8550-ES2	Spain	19189460.9	05/05/2016	Unfiled		
Synthetic Process	039363-8550-FR	France	16723224.8	05/05/2016	Issued	3292122	01/15/2020
Synthetic Process	039363-8550-FR2	France	19189460.9	05/05/2016	Unfiled		
Synthetic Process	039363-8550-GB	United Kingdom	16723224.8	05/05/2016	Issued	3292122	01/15/2020
Synthetic Process	039363-8550-GB2	United Kingdom	19189460.9	05/05/2016	Unfiled		
Synthetic Process	039363-8550HK	Hong Kong	18107742.6	05/05/2016	Issued	1248675	09/25/2020
Synthetic Process	039363-8550-HK2	Hong Kong	42020008563.7	05/05/2016	Published		
Synthetic Process	039363-8550HU	Hungary	E16723224	05/05/2016	Issued	E047657	01/15/2020
Synthetic Process	039363-8550-IE	Ireland	16723224.8	05/05/2016	Issued	3292122	01/15/2020
Synthetic Process	039363-8550IL	Israel	255305	05/05/2016	Issued	255305	09/01/2020
Synthetic Process	039363-8550IN	India	201717042887	05/05/2016	Issued	350310	10/28/2020
Synthetic Process	039363-8550-IT	Italy	16723224.8	05/05/2016	Issued	502020000017785	01/15/2020
Synthetic Process	039363-8550-IT2	Italy	19189460.9	05/05/2016	Unfiled		
Synthetic Process	039363-8550JP	Japan	2017-557337	05/05/2016	Issued	6530826	05/24/2019
Synthetic Process	039363-8550JP	Japan	2019-093379	05/05/2016	Issued	6986045	11/30/2021
Synthetic Process	039363-8550JP3	Japan	2021-131707	05/05/2016	Published		

Synthetic Process	039363-8550KR	Republic of Korea	10-2017-7034517	05/05/2016	Pending		
Synthetic Process	039363-8550TW	Taiwan	105114056	05/05/2016	Issued	1660956	06/01/2019
Synthetic Process	039363-8550US	United States of America	15/147,709	05/05/2016	Issued	9745298	08/29/2017
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Formulation	8950USDiv2	United States of America	16/510,757	07/12/2019	Issued	10961240	03/30/2021
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