

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT7411737

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	CHANGE OF NAME
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
AURRION, INC.	05/13/2022
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	OPENLIGHT PHOTONICS, INC.
<b>Street Address:</b>	6868 CORTONA DRIVE
<b>Internal Address:</b>	SUITE C
<b>City:</b>	GOLETA
<b>State/Country:</b>	CALIFORNIA
<b>Postal Code:</b>	93117
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
Application Number:	17001931
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b> <i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Phone:</b>	6123736900
<b>Email:</b>	uspto@slwip.com
<b>Correspondent Name:</b>	SCHWEGMAN LUNDBERG & WOESSNER
<b>Address Line 1:</b>	P.O. BOX 2938
<b>Address Line 4:</b>	MINNEAPOLIS, MINNESOTA 55402
<b>ATTORNEY DOCKET NUMBER:</b>	4213.123US1
<b>NAME OF SUBMITTER:</b>	MICHELLE RED BEAR
<b>SIGNATURE:</b>	/Michelle Red Bear/
<b>DATE SIGNED:</b>	06/30/2022
<b>Total Attachments: 2</b> source=4213123US1RecordationCS#page1.tif source=4213123US1RecordationCS#page2.tif	

RECORDATION FORM COVER SHEET  
PATENTS ONLY

Atty Ref/Docket No.: 4213.123US1

Patent and Trademark Office

To the Director of the U.S. Patent and Trademark Office: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Aurion, Inc.

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

3. Nature of conveyance:

☐ Assignment ☐ Merger  
☐ Security Agreement ☒ Change of Name  
☐ Other

Execution Date: May 13, 2022

2. Name and address of receiving party(ies):

Name: OpenLight Photonics, Inc.

Street Address: 6868 Cortona Drive  
Suite C

City: Goleta State: CA Zip: 93117  
Country: United States of America

Additional name(s) & address(es) attached? ☐ Yes  
☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

Serial No. 17/001,931

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Sabine M. Volkmer Ward

Address:

Schwegman Lundberg & Woessner, P.A.  
P.O. Box 2938  
Minneapolis, Minnesota 55402

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 0.00

☐ Enclosed

☐ Authorized to be charged to deposit account  
19-0743

8. Please charge any additional fees or credit any over payments to our Deposit Account No.: 19-0743

**DO NOT USE THIS SPACE**

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Sabine M. Volkmer Ward/Reg. No. 66,559 / Sabine Volkmer Ward

/ Jun 30, 2022

Name of Person Signing

Signature

Date

Total number of pages including cover sheet: 2

Mail documents to be recorded with required cover sheet information to:

**Commissioner of Patents and Trademarks**  
**Mail Stop Assignment Recordation Services**  
**P.O. Box 1450**  
**Alexandria, VA 22313-1450**

**PATENT**  
**REEL: 060375 FRAME: 0465**

**CERTIFICATE OF AMENDMENT**

**TO THE**

**EIGHTH AMENDED AND RESTATED CERTIFICATE OF INCORPORATION**

**OF**

**AURRION, INC.**

State of Delaware  
Secretary of State  
Division of Corporations  
Delivered 07:58 PM 05/13/2022  
FILED 07:58 PM 05/13/2022  
SR 20221999902 - File Number 4914756

Pursuant to Section 242 of the Delaware General Corporation Law (the “**DGCL**”), Aurion, Inc., a Delaware corporation (the “**Corporation**”), by its undersigned representative hereunto duly authorized, hereby adopts the following Certificate of Amendment (this “**Amendment**”) to its Eighth Amended and Restated Certificate of Incorporation, filed with the Secretary of State of the State of Delaware on April 1, 2022 (the “**Restated Certificate**”).

**FIRST:** The name of the Corporation prior to any changes effected by this Amendment was Aurion, Inc.

**SECOND:** The Restated Certificate is hereby amended as follows:

The section titled I of the Restated Certificate shall be deleted in its entirety and replaced with the following:

“**I.** The name of the corporation is OpenLight Photonics, Inc. (the “Corporation”).”

**THIRD:** The foregoing Amendment was duly adopted in accordance with Section 242 of the DGCL.

**FOURTH:** Other than the changes effected by this Amendment, the terms of the Restated Certificate shall remain in full force and effect.

**FIFTH:** This Amendment shall be effective upon its filing with the Secretary of State of the State of Delaware.

**IN WITNESS WHEREOF,** the Corporation has caused this certificate to be duly executed this 13th day of May, 2022.

By: /s/ Thomas Mader

Name: Thomas Mader

Title: Authorized Signatory