

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT7419178

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
PETER SEUBERT	01/14/2020
PHILIP JAMES DOLAN III	01/09/2020
YUE LIU	01/25/2020
ROBIN BARBOUR	01/09/2020
RECEIVING PARTY DATA	
Name:	PROTHENA BIOSCIENCES INC
Street Address:	331 OYSTER POINT BLVD
City:	SOUTH SAN FRANCISCO
State/Country:	CALIFORNIA
Postal Code:	94080
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	16933792
CORRESPONDENCE DATA	
Fax Number:	(877)769-7945
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	+1 (612) 638-5739
Email:	deal@fr.com, apsi@fr.com
Correspondent Name:	GARETT M. PADILLA
Address Line 1:	FISH & RICHARDSON P.C.
Address Line 2:	P.O.BOX 1022
Address Line 4:	MINNEAPOLIS, MINNESOTA 55440-1022
ATTORNEY DOCKET NUMBER:	50887-0022002
NAME OF SUBMITTER:	MICHELLE L DEAL
SIGNATURE:	/Michelle L Deal/
DATE SIGNED:	07/07/2022
Total Attachments: 10	
source=50887-0022US1_Assignment#page1.tif	
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ASSIGNMENT

WHEREAS, Peter Seubert, a citizen of United States residing at 801 Castro St., San Francisco, CA 94114; Philip James Dolan III, a citizen of United States residing at 622 Edgewater Blvd. #303, Foster City, CA 94404 USA; Yue Liu, a citizen of United States and Canada residing at 815 Peary Lane, Foster City, CA 94404; and Robin Barbour, a citizen of United States residing at 250 Normandy Lane, Walnut Creek, CA 94598 USA; hereinafter referred to as "Assignors," are an inventors of the invention described and set forth in the below-identified patent application:

Title of Invention: Tau Immunotherapy

US Application No.: 16/092,439

Filing Date: October 9, 2018

WHEREAS, Prothena Biosciences Inc, a corporation duly organized under and pursuant to the laws of the state of Delaware, and having a principal place of business at 331 Oyster Point Blvd, South San Francisco, CA 94080, hereinafter referred to as "Assignee," is desirous of acquiring Assignors' right, title and interest in and to the above-mentioned invention and application, the right to file applications on said invention, and the right, title and interest in and to any applications, including provisional applications, on said invention for Letters Patent of the United States or other countries, and any applications claiming priority to said applications, and in and to any Letters Patent or Patents of any country, to be obtained therefor and thereon;

NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, transferred and set over, and by these presents does assign, transfer and set over unto Assignee, and Assignee's successors, legal representatives, and assigns, all of Assignors' right, title and interest in and to the above-mentioned invention and application, the right to file applications on said invention, and the right, title and interest in and to any such applications, including provisional applications, and any applications claiming priority from said applications for Letters Patent of the United States or other countries (including patent, utility model and industrial designs), and in and to any Letters Patent or Patents of the United States of America and all foreign countries that have been or may be granted therefor and thereon, and in and to any and all divisions, renewals, substitutions, conversions, continuations, and continuations-in-part of said applications, and reissues, prolongations and extensions of said Letters Patent or Patents, and all rights under the Paris Convention for the Protection of Industrial Property, including the right to claim priority, the same to be held and enjoyed by Assignee, for its own use and behalf and the use and behalf of Assignee's successors, legal representatives, and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted as fully and entirely as the same would have been held and enjoyed by Assignors had this Assignment not been made;

AND for the same consideration, Assignors hereby covenant and agree to and with Assignee, its successors, legal representatives, and assigns, that Assignors shall, whenever counsel of Assignee, or the counsel of its successors, legal representatives, and assigns, advises that any proceeding in connection with said invention or said applications for Letters Patent or Patents, or

proceeding in connection with said invention or said applications for Letters Patent or Patents, or any proceeding in connection with Letters Patent or Patents for said invention in any country, including interference proceedings, is lawful and desirable, or that any application claiming priority to said application, division, continuation, continuation-in-part, substitution or conversion of any applications for Letters Patent or Patents, or any reissue, prolongation or extension of any Letters Patent or Patents to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent or Patents for said invention, without charge to Assignee, its successors, legal representatives, and assigns, but at the cost and expense of Assignee, its successors, legal representatives, and assigns;

AND Assignors hereby request the Commissioner of Patents to issue any and all said Letters Patent or Patents of the United States to Assignee as the assignee of said invention and the Letters Patent or Patents issued and to be issued thereon for the use and behalf of Assignee, its successors, legal representatives, and assigns.

IN TESTIMONY WHEREOF, Assignors have signed their names on the date indicated.

Dated: 1/14/2020

Peter Seubert
Peter Seubert

Dated: 1-4-2020

Philip James Dolan III
Philip James Dolan III

Dated: _____

Yue Liu

Dated: _____

Robin Barbour

EXECUTION IN THE PRESENCE OF A NOTARY PREFERRED

including interference proceedings, is lawful and desirable, or that any application claiming priority to said application, division, continuation, continuation-in-part, substitution or conversion of any applications for Letters Patent or Patents, or any reissue, prolongation or extension of any Letters Patent or Patents to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent or Patents for said invention, without charge to Assignee, its successors, legal representatives, and assigns, but at the cost and expense of Assignee, its successors, legal representatives, and assigns;

AND Assignors hereby request the Commissioner of Patents to issue any and all said Letters Patent or Patents of the United States to Assignee as the assignee of said invention and the Letters Patent or Patents issued and to be issued thereon for the use and behalf of Assignee, its successors, legal representatives, and assigns.

IN TESTIMONY WHEREOF, Assignors have signed their names on the date indicated.

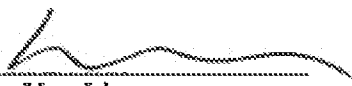
Dated: _____

Peter Seubert

Dated: _____

Philip James Dolan III

Dated: 01/25/2020



Yue Liu

Dated: _____

Robin Barbour

SEE AT
ACT 10
01/25/2020
m

EXECUTION IN THE PRESENCE OF A NOTARY PREFERRED

any proceeding in connection with Letters Patent or Patents for said invention in any country, including interference proceedings, is lawful and desirable, or that any application claiming priority to said application, division, continuation, continuation-in-part, substitution or conversion of any applications for Letters Patent or Patents, or any reissue, prolongation or extension of any Letters Patent or Patents to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent or Patents for said invention, without charge to Assignee, its successors, legal representatives, and assigns, but at the cost and expense of Assignee, its successors, legal representatives, and assigns;

AND Assignors hereby request the Commissioner of Patents to issue any and all said Letters Patent or Patents of the United States to Assignee as the assignee of said invention and the Letters Patent or Patents issued and to be issued thereon for the use and behalf of Assignee, its successors, legal representatives, and assigns.

IN TESTIMONY WHEREOF, Assignors have signed their names on the date indicated.

Dated: _____

Peter Seubert

Dated: _____

Philip James Dolan III

Dated: _____

Yue Liu

Dated: Jan 9, 2020

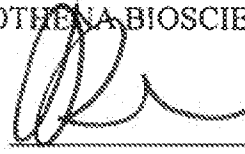
Robin Barbour
Robin Barbour

EXECUTION IN THE PRESENCE OF A NOTARY PREFERRED

IN TESTIMONY WHEREOF, a duly authorized representative of Assignee has signed
on the date indicated.

Date: Jan 27, 2020

PROTHENA BIOSCIENCES INC

By: 

Printed Name:

Title:

Karim Walker

CAO

EXECUTION IN THE PRESENCE OF A NOTARY PREFERRED

CALIFORNIA CERTIFICATE OF ACKNOWLEDGMENT

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California)

County of San Mateo)

On January 14, 2020 before me, Chris Branco, Notary Public,
(here insert name and title of the officer)

personally appeared Peter Seibert

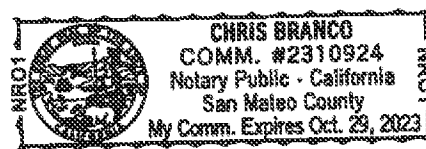
who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature

Chris Branco



(Seal)

Optional Information

Although the information in this section is not required by law, it could prevent fraudulent removal and reattachment of this acknowledgment to an unauthorized document and may prove useful to persons relying on the attached document.

Description of Attached Document

The preceding Certificate of Acknowledgment is attached to a document titled/for the purpose of 161092, 439 - Assignment

containing 3 pages, and dated _____

The signer(s) capacity or authority is/are as:

- ☒ Individual(s)
☐ Attorney-in-Fact
☐ Corporate Officer(s) _____
(Title)

- ☐ Guardian/Conservator
☐ Partner - Limited/General
☐ Trustee(s)
☐ Other: _____

representing: _____
(Name(s) of Person(s) or Entity(ies) Signer is Representing)

Optional Information

Method of Signer Identification

Proved to me on the basis of satisfactory evidence:
☐ form(s) of identification ☐ credible witness(es)

Notarial event is detailed in notary journal on:
 Page # _____ Entry # _____

Notary contact: _____

Other

- ☐ Additional Signer(s) ☐ Signer(s) Thumbprint(s)
☐ _____

CALIFORNIA CERTIFICATE OF ACKNOWLEDGMENT

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California)

County of San Mateo)

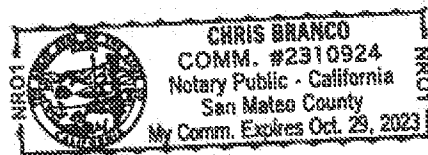
On January 9, 2020 before me, Chris Branco, Notary Public
(here insert name and title of the officer)

personally appeared Philip James Denah III

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.



Signature Chris Branco

(Seal)

Optional Information

Although the information in this section is not required by law, it could prevent fraudulent removal and reattachment of this acknowledgment to an unauthorized document and may prove useful to persons relying on the attached document.

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containing 3 pages, and dated _____

The signer(s) capacity or authority is/are as:

- ☒ Individual(s)
☐ Attorney-in-Fact
☐ Corporate Officer(s) _____
(Title)
☐ Guardian/Conservator
☐ Partner - Limited/General
☐ Trustee(s)
☐ Other: _____

representing: _____
(Name of Person or Entity Being Signed or Representing)

Additional Information

Method of Signer Identification

Proved to me on the basis of satisfactory evidence:
☐ form(s) of identification ☐ credible witness(es)

Notarial event is detailed in notary journal on:
 Page # _____ Entry # _____

Notary contact: _____

Other

☐ Additional Signer(s) ☐ Signer(s) Thumbprint(s)
☐ _____

CALIFORNIA ALL-PURPOSE CERTIFICATE OF ACKNOWLEDGMENT

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California }

County of San Mateo }

On 01/25/2020 before me, Lalit Kalra, Notary Public
(Here insert name and title of the officer)

personally appeared XUE LIU
who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

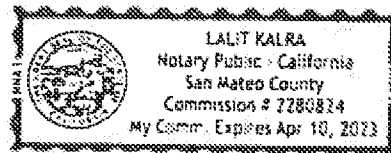
I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Lalit Kalra

Notary Public Signature

(Notary Public Seal)



ADDITIONAL OPTIONAL INFORMATION

DESCRIPTION OF THE ATTACHED DOCUMENT

ASSIGNMENT
(Title or description of attached document)

(Title or description of attached document continued)

Number of Pages Document Date

CAPACITY CLAIMED BY THE SIGNER

- ☐ Individual (s)
☐ Corporate Officer

(Title)

- ☐ Partner(s)
☐ Attorney-in-Fact
☐ Trustee(s)
☐ Other

INSTRUCTIONS FOR COMPLETING THIS FORM

This form complies with current California statutes regarding notary wording and, if needed, should be completed and attached to the document. Acknowledgments from other states may be completed for documents being sent to that state so long as the wording does not require the California notary to violate California notary law.

- State and County information must be the State and County where the document signer(s) personally appeared before the notary public for acknowledgment.
- Date of notarization must be the date that the signer(s) personally appeared which must also be the same date the acknowledgment is completed.
- The notary public must print his or her name as it appears within his or her commission followed by a comma and then your title (notary public).
- Print the name(s) of document signer(s) who personally appear at the time of notarization.
- Indicate the correct singular or plural forms by crossing off incorrect forms (i.e. he/she/they, -is /are) or circling the correct forms. Failure to correctly indicate this information may lead to rejection of document recording.
- The notary seal impression must be clear and photographically reproducible. Impression must not cover text or lines. If seal impression smudges, re-seal if a sufficient area permits, otherwise complete a different acknowledgment form.
- Signature of the notary public must match the signature on file with the office of the county clerk.
 - ❖ Additional information is not required but could help to ensure this acknowledgment is not misused or attached to a different document.
 - ❖ Indicate title or type of attached document, number of pages and date.
 - ❖ Indicate the capacity claimed by the signer. If the claimed capacity is a corporate officer, indicate the title (i.e. CEO, CFO, Secretary).
- Securely attach this document to the signed document with a staple.

CALIFORNIA CERTIFICATE OF ACKNOWLEDGMENT

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California)

County of San Mateo)

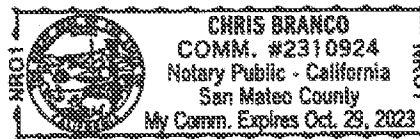
On January 9, 2020 before me, Chris Branco, Notary Public
(here insert name and title of the officer)

personally appeared Robin Barbour

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.



Signature Chris Branco

(Seal)

Optional Information

Although the information in this section is not required by law, it could prevent fraudulent removal and reattachment of this acknowledgment to an unauthorized document and may prove useful to persons relying on the attached document.

Description of Attached Document

The preceding Certificate of Acknowledgment is attached to a document titled/for the purpose of 161092,439 - Assignment

containing 3 pages, and dated _____

The signer(s) capacity or authority is/are as:

- ☒ Individual(s)
☐ Attorney-in-Fact
☐ Corporate Officer(s) _____
(Type)
- ☐ Guardian/Conservator
☐ Partner - Limited/General
☐ Trustee(s)
☐ Other: _____

representing: _____
(Name(s) of Person(s) or Entity(ies) Signer is Representing)

Optional Information	
Method of Signer Identification	
Proved to me on the basis of satisfactory evidence: <input type="radio"/> form(s) of identification <input type="radio"/> credible witness(es)	
Notarial event is detailed in notary journal on: Page # _____ Entry # _____	
Notary contact: _____	
Other	
<input type="checkbox"/> Additional Signer(s)	<input type="checkbox"/> Signer(s) Thumbprint(s)
<input type="checkbox"/> _____ <input type="checkbox"/> _____	

CALIFORNIA CERTIFICATE OF ACKNOWLEDGMENT

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State of California)

County of San Mateo)

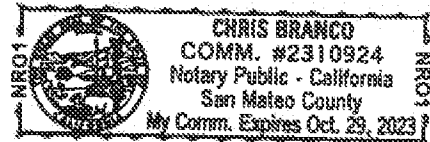
On January 27, 2020 before me, Chris Branco, Notary Public
(here insert name and title of the officer)

personally appeared Karin Walker

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.



Signature

Chris Branco

(Seal)

Optional Information

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containing 3 pages, and dated _____

The signer(s) capacity or authority is/are as:

☐ Individual(s)

☐ Attorney-in-Fact

☒ Corporate Officer(s) Chief Accounting Officer

☐ Guardian/Conservator

☐ Partner - Limited/General

☐ Trustee(s)

☐ Other _____

representing: _____

Name(s) of Person(s) or Entity(ies) Signer is Representing

Additional Information

Method of Signer Identification

Proved to me on the basis of satisfactory evidence:

☐ form(s) of identification ☐ credible witness(es)

Notarial event is detailed in notary journal on:

Page # _____ Entry # _____

Notary contact: _____

Other

☐ Additional Signer(s)

☐ Signer(s) Thumbprint(s)

☐ _____