

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

EPAS ID: PAT7447696

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
MINH TRAN	10/27/2017
RANDEL WOODGRIFT	11/10/2017
JAMES M. CORBETT	11/05/2017
PHILLIP A. THOMPSON	10/31/2017
DAVID P. LALOR JR.	02/26/2018
RECEIVING PARTY DATA	
Name:	VERTOS MEDICAL, INC.
Street Address:	95 ENTERPRISE, SUITE 325
City:	ALISO VIEJO
State/Country:	CALIFORNIA
Postal Code:	92656
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	17724409
CORRESPONDENCE DATA	
Fax Number:	(202)842-7899
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	(202) 842-7800
Email:	rlopez@cooley.com, zIPPatentDocketingMailboxUS@cooley.com
Correspondent Name:	COOLEY LLP
Address Line 1:	ATTN: IP DOCKETING DEPARTMENT
Address Line 2:	1299 PENNSYLVANIA AVENUE NW, SUITE 700
Address Line 4:	WASHINGTON, D.C. 20004
ATTORNEY DOCKET NUMBER:	VRTS-030/03US 332008-2144
NAME OF SUBMITTER:	JOANNA LIEBES HUBBERTS
SIGNATURE:	/Joanna Liebes Hubberts/
DATE SIGNED:	07/22/2022
Total Attachments: 16	

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ASSIGNMENT

Minh TRAN, Westminster, California; **Randel WOODGRIFT**, Los Gatos, California; **James M. CORBETT**, San Juan Capistrano, California; **Phillip A. THOMPSON**, Aliso Viejo, California; and **David P. LALOR, Jr.**, Aliso Viejo, California, (each referred to as "Assignor") have made an invention(s) (the "Invention(s)") set forth in an application for patent entitled **PERCUTANEOUS LATERAL RECESS RESECTION METHODS AND INSTRUMENTS**, and which is a:

- (1) ☐ provisional application
 - (a) ☐ to be filed herewith; or
 - (b) ☐ bearing Application No. , and filed on ;
- (2) ☒ non-provisional application
 - (a) ☐ to be filed herewith; or
 - (b) ☒ bearing Application No. **15/698,584**, and filed on **September 7, 2017**; and/or
- (3) ☐ PCT application
 - (a) ☐ bearing Application No. , and filed on .

WHEREAS, **Vertos Medical, Inc.**, a corporation of Delaware having its principal place of business at **95 Enterprise, Suite 325, Aliso Viejo, California 92656**, its successors, legal representatives and assigns, (the "Assignee"), is desirous of acquiring the entire right, title, and interest in: the Invention(s); the application(s) for patent identified above; the right to file applications for patent of the United States or other countries on the Invention(s); any application(s) for patent of the United States or other countries claiming priority to, and/or the benefit of, these applications; any provisional or other right to recover any and all past, present, and future damages, including royalties, for any and all past, present, and future infringements of these application(s); and any and all patent(s) of the United States or other countries that may be granted therefor or thereon.

NOW, THEREFORE, for good and sufficient consideration, the receipt of which is hereby acknowledged, and to the extent that the Assignor has not done so already via a prior agreement with the Assignee or a predecessor in interest of the Assignee, or if the Assignor has already done so via a prior agreement with the Assignee or a predecessor in interest of the Assignee then in confirmation of any obligation to do so in said prior agreement, the Assignor has sold, assigned, transferred, and set over, and by these presents does sell, assign, transfer, and set over,

unto the Assignee, its successors, legal representatives, and assigns, the Assignor's entire right, title, and interest in:

- (a) the Invention(s);
- (b) the application(s) for patent identified above;
- (c) the right to file applications for patent of the United States or other countries on the Invention(s), including all rights under the Hague Convention, the Paris Convention for the Protection of Industrial Property, and under the Patent Cooperation Treaty, and all rights of claiming priority in any country of the world;
- (d) any application(s) for patent of the United States or other countries claiming the Invention(s);
- (e) any application(s) for patent of the United States or other countries claiming priority to, and/or the benefit of, at least one of the application(s) for patent identified above or any application(s) for patent claiming the Invention(s), including any priority application(s), substitute application(s), division(s), continuation(s), and continuation(s)-in-part;
- (f) any provisional or other right to recover any and all past, present, and future damages, including royalties, for any and all past, present, and future infringements of any application for patent identified in the preceding paragraphs (b)-(e) and of any and all patent(s) granted based thereon in the United States and in all other countries; and
- (g) any patent(s) of the United States or other countries that may be granted for or on any application for patent identified in the preceding paragraphs (b)-(e), including any reissue(s), reexamination(s), revival(s), renewal(s) and extension(s) of said patent(s).


The above-granted rights, titles, and interests are to be held and enjoyed by the Assignee, for its own use and behalf and the use and behalf of its successors, legal representatives, and assigns, as fully and entirely as the same would have been held and enjoyed by the Assignor had this sale and assignment not been made.

The Assignor hereby represents to the Assignee, its successors, legal representatives, and assigns, that, at the time of execution and delivery of these presents, or if applicable, at such time said prior agreement was executed, the Assignor is a lawful owner of an undivided interest in the entire right, title, and interest in and to the Invention(s), that the Invention(s) are unencumbered, except, if applicable, by obligation to assign in accordance with said prior agreement, and that the Assignor has good and full right and lawful authority to sell and convey the same in the manner set forth herein, and that Assignor will not make or enter into any assignment, sale, agreement or encumbrance which would conflict with these presents.

The Assignor hereby covenants and agrees to and with the Assignee, its successors, legal representatives, and assigns, that the Assignor will sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done in connection with any and all proceedings for the procurement, maintenance, enforcement and defense of the Invention(s), said application(s), and said patent(s), including interference and derivation proceedings, and any post-grant proceedings (e.g., opposition proceedings, post-grant reviews, *Inter partes* reviews, supplemental examinations, etc.) without charge to the Assignee, its successors, legal representatives, and assigns, but at the cost and expense of the Assignee, its successors, legal representatives, and assigns.

The Assignor hereby requests the Commissioner of Patents to issue said patents of the United States to the Assignee for the sole use and behalf of the Assignee, its successors, legal representatives, and assigns.

Date: 10/27/17 By: 
Minh TRAN

WITNESSED BY: 
DATE: 10/27/17
NAME: KIMHONG TRAN

Date: _____ By: _____
Randel WOODGRIFT

WITNESSED BY: _____
DATE: _____
NAME: _____

Date: _____ By: _____
James M. CORBETT

WITNESSED BY: _____
DATE: _____
NAME: _____

Date: _____ By: _____
Phillip A. THOMPSON

WITNESSED BY: _____
DATE: _____
NAME: _____

Date: _____ By: _____
David P. LALOR, Jr.

WITNESSED BY: _____
DATE: _____
NAME: _____

For and on behalf of ASSIGNEE

Date: Feb 26, 2018 By: [Signature]
Name: David P. Lalor Jr
Title: VP of Operations
Company: Vertos Medical, Inc.

WITNESSED BY: [Signature]
DATE: 2/26/18
NAME: CHRISTA L. RUIZ

ASSIGNMENT

Minh TRAN, Westminster, California; **Randel WOODGRIFT**, Los Gatos, California; **James M. CORBETT**, San Juan Capistrano, California; **Phillip A. THOMPSON**, Aliso Viejo, California; and **David P. LALOR, Jr.**, Aliso Viejo, California, (each referred to as "Assignor") have made an invention(s) (the "Invention(s)") set forth in an application for patent entitled **PERCUTANEOUS LATERAL RECESS RESECTION METHODS AND INSTRUMENTS**, and which is a:

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 - (a) ☐ to be filed herewith; or
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- (2) ☒ non-provisional application
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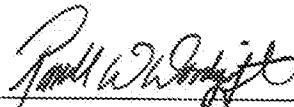
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Date: _____ By: _____
Minh TRAN

WITNESSED BY: _____
DATE: _____
NAME: _____

Date: 11-10-17 By: 
Randel WOODGRIFT

WITNESSED BY: 
DATE: 11/10/17
NAME: Randel Woodgrift

Date: _____ By: _____
James M. CORBETT

WITNESSED BY: _____
DATE: _____
NAME: _____


Date: _____ By: _____
Phillip A. THOMPSON


WITNESSED BY: _____
DATE: _____
NAME: _____

Date: _____ By: _____
David P. LALOR, Jr.

WITNESSED BY: _____
DATE: _____
NAME: _____

For and on behalf of ASSIGNEE

Date: Feb 26, 2018 By: 
Name: DAVID P LALOR JR
Title: V.P. of operations
Company: Vertos Medical, Inc.

WITNESSED BY: 
DATE: 2/26/18
NAME: CHRISTA L. RUIZ

ASSIGNMENT

Minh TRAN, Westminster, California; **Randel WOODGRIFT**, Los Gatos, California; **James M. CORBETT**, San Juan Capistrano, California; **Phillip A. THOMPSON**, Aliso Viejo, California; and **David P. LALOR, Jr.**, Aliso Viejo, California, (each referred to as "Assignor") have made an invention(s) (the "Invention(s)") set forth in an application for patent entitled **PERCUTANEOUS LATERAL RECESS RESECTION METHODS AND INSTRUMENTS**, and which is a:

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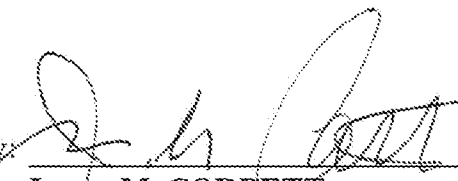
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Date: _____ By: _____
Minh TRAN

WITNESSED BY: _____
DATE: _____
NAME: _____

Date: _____ By: _____
Randel WOODGRIFT

WITNESSED BY: _____
DATE: _____
NAME: _____

Date: Nov 5, 2017 By: 
James M. CORBETT

WITNESSED BY: 
DATE: Nov 5, 2017
NAME: Pamela Patterson

Date: _____ By: _____

Phillip A. THOMPSON

WITNESSED BY: _____

DATE: _____

NAME: _____

Date: _____ By: _____


David P. LALOR, Jr.

WITNESSED BY: _____

DATE: _____

NAME: _____

For and on behalf of ASSIGNEE

Date: Feb 26, 2018 By: 

Name: David P. Lalor Jr.

Title: VP of operations

Company: Vertos Medical, Inc.

WITNESSED BY: 

DATE: 2/26/18

NAME: CHRISTA L. RUIZ

ASSIGNMENT

Minh TRAN, Westminster, California; Randel WOODGRIFT, Los Gatos, California; James M. CORBETT, San Juan Capistrano, California; Phillip A. THOMPSON, Aliso Viejo, California; and David P. LALOR, Jr., Aliso Viejo, California, (each referred to as "Assignor") have made an invention(s) (the "Invention(s)") set forth in an application for patent entitled **PERCUTANEOUS LATERAL RECESS RESECTION METHODS AND INSTRUMENTS**, and which is a:

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Date: _____ By: _____
Minh TRAN


WITNESSED BY: _____
DATE: _____
NAME: _____


Date: _____ By: _____
Randel WOODGRIFT

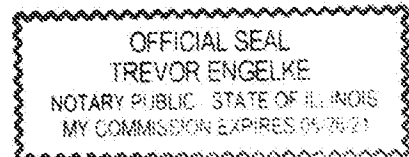
WITNESSED BY: _____
DATE: _____
NAME: _____

Date: _____ By: _____
James M. CORBETT

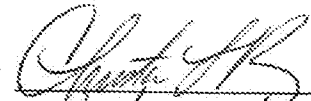
WITNESSED BY: _____
DATE: _____
NAME: _____

Date: October 31, 2017 By: 
Phillip A. THOMPSON


WITNESSED BY: 
DATE: 10-31-2017
NAME: Trevor Engelke

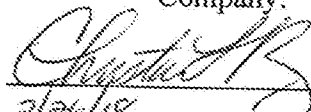


Date: Feb 26, 2018 By: 
David P. LALOR, Jr.

WITNESSED BY: 
DATE: 2/26/18
NAME: CHRISTA L. RUIZ

For and on behalf of ASSIGNEE

Date: Feb 26, 2018 By: 
Name: David P. Lalor, Jr.
Title: VP of Operations
Company: Vertos Medical, Inc.

WITNESSED BY: 
DATE: 2/26/18
NAME: CHRISTA L. RUIZ