# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT7454697

SUBMISSION TYPE:	NEW ASSIGNMENT	
NATURE OF CONVEYANCE:	MERGER AND CHANGE OF NAME	
<b>EFFECTIVE DATE:</b> 10/02/2020		

## **CONVEYING PARTY DATA**

Name	Execution Date
E*TRADE FINANCIAL CORPORATION	10/02/2020

#### **NEWLY MERGED ENTITY DATA**

Name	Execution Date
MOON-EAGLE MERGER SUB II, LLC	10/02/2020

# MERGED ENTITY'S NEW NAME (RECEIVING PARTY)

Name:	E*TRADE FINANCIAL, LLC	
Street Address:	1585 BROADWAY	
City:	NEW YORK	
State/Country:	NEW YORK	
Postal Code:	10036	

#### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	17874470

# **CORRESPONDENCE DATA**

Fax Number:

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** 3122910860

**Email:** jpadalino@lsk-iplaw.com, docket-us@lsk-iplaw.com

Correspondent Name: LEMPIA SUMMERFIELD KATZ LLC

Address Line 1: 20 SOUTH CLARK STREET

Address Line 2: SUITE 600

Address Line 4: CHICAGO, ILLINOIS 60603

ATTORNEY DOCKET NUMBER:	010202-12009E-US	
NAME OF SUBMITTER:	KYLE BRYAN	
SIGNATURE:	/Kyle Bryan/	
DATE SIGNED:	07/27/2022	

PATENT 507407769 REEL: 060643 FRAME: 0703

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I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF MERGER, WHICH MERGES:

"E\*TRADE FINANCIAL CORPORATION", A DELAWARE CORPORATION, WITH AND INTO "E\*TRADE FINANCIAL, LLC" UNDER THE NAME OF "E\*TRADE FINANCIAL, LLC", A LIMITED LIABILITY COMPANY ORGANIZED AND EXISTING UNDER THE LAWS OF THE STATE OF DELAWARE, AS RECEIVED AND FILED IN THIS OFFICE ON THE SECOND DAY OF OCTOBER, A.D. 2020, AT 7:51 O'CLOCK A.M.



7867325 8100M SR# 20207606627 Authentication: 203780470 Date: 10-02-20

State of Delaware Secretary of State Division of Corporations Delivered 07:51 AM 10/02/2020 FILED 07:51 AM 10/02/2020 SR 20207606627 - File Number 7867325

# CERTIFICATE OF MERGER

## **MERGING**

# E\*TRADE FINANCIAL CORPORATION

#### WITH AND INTO

## MOON-EAGLE MERGER SUB II, LLC

Pursuant to the provisions of Section 264 of the Delaware General Corporation Law and the provisions of Section 18-209 of the Delaware Limited Liability Company Act

# October 2, 2020

Moon-Eagle Merger Sub II, LLC, a Delaware limited liability company ("Second Merger Sub"), which desires to merge with E\*TRADE Financial Corporation, a Delaware corporation (the "Corporation," and, together with Second Merger Sub, the "Constituent Companies"), hereby certifies that:

FIRST: The name and state of formation or incorporation, as applicable, of each of the Constituent Companies to the merger are as follows:

Name	State of Formation / Incorporation
Moon-Eagle Merger Sub II, LLC	Delaware
E*TRADE Financial Corporation	Delaware

SECOND: The Agreement and Plan of Merger (the "Merger Agreement"), dated as of February 20, 2020, by and among the Corporation, Morgan Stanley, a Delaware corporation, and Moon-Eagle Merger Sub, Inc., a Delaware corporation, has been approved, adopted, certified, executed and acknowledged by each of the Constituent Companies in accordance with Sections 18-204 and 18-209 of the Delaware Limited Liability Company Act, in the case of Second Merger Sub, and Sections 103 and 264 of the Delaware General Corporation Law, in the case of the Corporation.

THIRD: Second Merger Sub shall be the surviving entity of the merger, and the certificate of formation of the surviving company shall be amended such that the name of the surviving company is changed to "E\*TRADE Financial, LLC".

FOURTH: The merger shall be effective as of the time of the filing of this Certificate of Merger with the Secretary of State of the State of Delaware (the "Effective Time").

FIFTH: The executed Merger Agreement is on file at the place of business of Second Merger Sub at 1585 Broadway, New York, New York 10036.

SIXTH: A copy of the Merger Agreement will be furnished by the surviving company, on request and without cost, to any stockholder of the Corporation or member of Second Merger Sub.

[Signature Page Follows]

IN WITNESS WHEREOF, the undersigned has executed this Certificate of Merger as of the date first set forth above.

MOON-EAGLE MERGER SUB II, LLC

By:

Name: Sebastiano Visentini

Sliverting

Title: President

[Signature Page to Certificate of Merger]

PATENT REEL: 060643 FRAME: 0708

**RECORDED: 07/27/2022**