

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT7512929

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
EVAN SCHAUER	04/23/2018
MIROSLAV BAUDYS	04/23/2018
BRIAN C. VARNUM	04/23/2018
DUANE MORRIS	04/23/2018
CHRISTOPHER W. KAPLAN	04/23/2018
RANDAL H. ECKERT	05/07/2018
RECEIVING PARTY DATA	
Name:	C3 JIAN, LLC
Street Address:	4503 GLENCOE AVENUE
City:	MARINA DEL REY
State/Country:	CALIFORNIA
Postal Code:	90292
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	16604135
CORRESPONDENCE DATA	
Fax Number:	(510)663-0920
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	510-663-1100
Email:	SJoshi@wavsip.com
Correspondent Name:	WEAVER AUSTIN VILLENEUVE & SAMPSON LLP
Address Line 1:	P.O. BOX 70250
Address Line 4:	OAKLAND, CALIFORNIA 94612-0250
ATTORNEY DOCKET NUMBER:	C3JNP028US
NAME OF SUBMITTER:	SWAPNALI JOSHI
SIGNATURE:	/Swapnali Joshi/
DATE SIGNED:	08/30/2022
Total Attachments: 9	

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ASSIGNMENT OF PATENT APPLICATION

Whereas I, an undersigned named inventor, possess the right, title and interest for and in the Invention(s) as set forth in the PCT international patent application entitled: *DENTAL STRIPS FOR THE DELIVERY OF SPECIFICALLY TARGETED ANTIMICROBIAL PEPTIDES* (Atty. Docket No. C3JNP028WO), and

(1) for which I am a named inventor on a PCT international patent application that designates the U.S.;

AND/OR

(2) the same having been filed on April 12, 2018 as PCT Application No. PCT/US2018/027357;

AND/OR

(3) which claims priority to U.S. [Provisional] Patent Application filed on (_____) as Application No. (_____);

in connection with which I hereby authorize and request the assignee's attorneys associated with Customer Number 22434 to insert within the foregoing parentheses the filing date and/or Application No. of said application when known.

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, I, an undersigned named inventor, hereby:

- 1) Sell, assign and transfer to **C3 Jian, LLC**, a California corporation having a place of business at 4503 Glencoe Avenue, Marina Del Rey, CA 90292, USA ("ASSIGNEE"), the entire right, title and interest, including the right to claim priority, in the above-referenced PCT international application including any and all improvements and inventions disclosed therein, and in any U.S. and foreign applications (e.g., national phase applications) based upon, and U.S. and foreign patents based upon, the above-referenced PCT international application.
- 2) Authorize and request the Commissioner of Patents to issue any and all Letters Patents resulting from said application or any division, continuation, substitute, renewal, re-examination or reissue thereof to the ASSIGNEE.
- 3) Agree to execute all papers and documents and, entirely at the ASSIGNEE's expense, perform any acts which are reasonably necessary in connection with the prosecution of said PCT international application, as well as any derivative applications thereof, any U.S. and foreign national phase patent applications based on the above-referenced PCT international patent application, and/or the enforcement of patents resulting from such applications.
- 4) Agree that the terms, covenants and conditions of this assignment shall inure to the benefit of the ASSIGNEE, its successors, assigns and other legal representative, and shall be binding upon the named inventor, as well as the named inventor's heirs, legal representatives and assigns.

5) Warrant and represent that I have not entered, and will not enter into any assignment, contract, or understanding that conflicts with this assignment.

Signed on the date indicated beside my signature.

1) Signature: [Signature] Date: 23 Apr 2018
Typed Name: Evan Schauer

NOTARY

Per California Civil Code sections 1169 and 1195 and California Government Code section 8202:

A California notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California)
County of Los Angeles) SS.

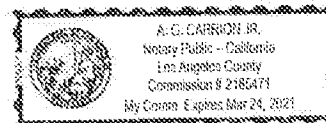
On April 23, 2018 before me, A.G. Carrion Jr, Notary Public,
personally appeared Evan Schauer

_____, who proved to me on the basis of
satisfactory evidence to be the person(s) whose name(s) is/~~are~~ subscribed to the within instrument
and acknowledged to me that he/~~she~~/they executed the same in his/~~her~~/their authorized capacity(~~ies~~),
and that by his/~~her~~/their signature(~~s~~) on the instrument the person(s), or the entity upon behalf of
which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the
foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature [Signature]



Signed on the date indicated beside my signature.

2)

Signature:

Miroslav Baudys

Typed Name:

Miroslav Baudys

Date:

4-23-2018

NOTARY

Per California Civil Code sections 1189 and 1195 and California Government Code section 8202:

A California notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of

California

County of

Los Angeles

SS.

On April 23, 2018 before me, A.G. Carrion Jr., Notary Public,
personally appeared Miroslav Baudys

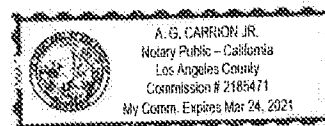
_____, who proved to me on the basis of
satisfactory evidence to be the person(s) whose name(s) is/~~are~~ subscribed to the within instrument
and acknowledged to me that he/~~she~~/they executed the same in his/~~her~~/their authorized capacity(~~ies~~),
and that by his/~~her~~/their signature(~~s~~) on the instrument the person(~~s~~), or the entity upon behalf of
which the person(~~s~~) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the
foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature

[Signature]



Signed on the date indicated beside my signature.

3)

Signature:

Typed Name:

Brian C. Varnum
Brian C. Varnum

Date:

4-23-18

NOTARY

Per California Civil Code sections 1189 and 1195 and California Government Code section 8202:

A California notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of

California

County of

Los Angeles

SS.

On April 23, 2018 before me, A.G. Carrion Jr., Notary Public,
personally appeared Brian C. Varnum

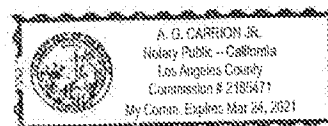
_____, who proved to me on the basis of
satisfactory evidence to be the person(s) whose name(s) is/~~are~~ subscribed to the within instrument
and acknowledged to me that he/~~she/they~~ executed the same in his/~~her/their~~ authorized capacity(~~ies~~),
and that by his/~~her/their~~ signature(s) on the instrument the person(s), or the entity upon behalf of
which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the
foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature

A.G. Carrion Jr.



Signed on the date indicated beside my signature.

4) Signature: *Duane Morris*
Typed Name: Duane Morris

Date: 4-23-2018

NOTARY

Per California Civil Code sections 1189 and 1195 and California Government Code section 8202:

A California notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California

County of Los Angeles

ss.

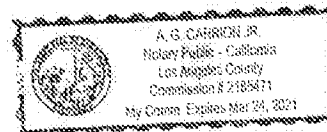
On April 23, 2018 before me, A.G. Carrion, Jr., Notary Public,
personally appeared Duane Morris

_____, who proved to me on the basis of
satisfactory evidence to be the person(s) whose name(s) is/~~are~~ subscribed to the within instrument
and acknowledged to me that he/~~she/they~~ executed the same in his/~~her/their~~ authorized capacity(~~ies~~),
and that by his/~~her/their~~ signature(~~s~~) on the instrument the person(~~s~~), or the entity upon behalf of
which the person(~~s~~) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the
foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature _____



Signed on the date indicated beside my signature.

5) Signature: [Signature]
Typed Name: Christopher W. Kaplan

Date: 23 APR 2018

NOTARY

Per California Civil Code sections 1189 and 1195 and California Government Code section 8202:

A California notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California

County of Los Angeles

SS.

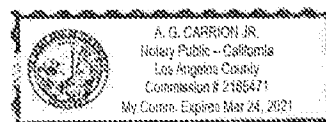
On Apr. 23, 2018 before me, A. G. Carrion Jr., Notary Public,
personally appeared Christopher W. Kaplan

_____, who proved to me on the basis of
satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument
and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies),
and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of
which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the
foregoing paragraph is true and correct.

WITNESS my hand and official seal

Signature [Signature]



Signed on the date indicated beside my signature.

6) Signature: _____ Date: _____
Typed Name: Randal H. Eckert

NOTARY

Per California Civil Code sections 1189 and 1195 and California Government Code section 8202:

A California notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of _____)
County of _____) SS,

On _____ before me, _____, Notary Public,
personally appeared _____

_____, who proved to me on the basis of
satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument
and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies),
and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of
which the person(s) acted, executed the instrument.

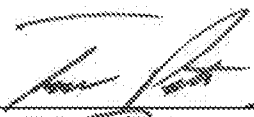
I certify under PENALTY OF PERJURY under the laws of the State of _____ that the
foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature _____

RECEIVED AND HEREBY ACCEPTED BY ASSIGNEE, C3 Jian, LLC:

Date: 4/23/18


Name: Tade Patrak
Title: President & CEO

Signed on the date indicated beside my signature.

6)

Signature:

Typed Name:

Randal H. Eckert
Randal H. Eckert

Date:

5/7/18

NOTARY

Per California Civil Code sections 1129 and 1195 and California Government Code section 8202:

A California notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of

Washington

County of

King

ss.

On 05/07/2018 before me, Joseph Nicholas Borelli Notary Public,
personally appeared Randal H. Eckert

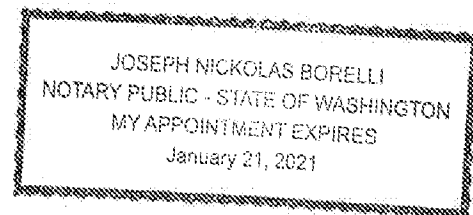
_____, who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of WA that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature

[Signature]



RECEIVED AND HEREBY ACCEPTED BY ASSIGNEE, C3 Jian, LLC:

Date: _____

Name: _____

Title: _____

Signed on the date indicated beside my signature.

6) Signature: _____ Date: _____
Typed Name: Randal H. Eckert

NOTARY

Per California Civil Code sections 1189 and 1195 and California Government Code section 8202:

A California notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of _____)
County of _____) SS.

On _____ before me, _____, Notary Public,
personally appeared _____

_____, who proved to me on the basis of
satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument
and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies),
and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of
which the person(s) acted, executed the instrument.

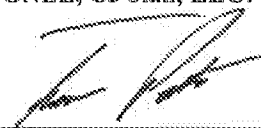
I certify under PENALTY OF PERJURY under the laws of the State of _____ that the
foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature _____

RECEIVED AND HEREBY ACCEPTED BY ASSIGNEE, C3 Jian, LLC:

Date: June 20, 2018


Name: Todd Patrick
Title: President & CEO