# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT7512929

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
EVAN SCHAUER	04/23/2018
MIROSLAV BAUDYS	04/23/2018
BRIAN C. VARNUM	04/23/2018
DUANE MORRIS	04/23/2018
CHRISTOPHER W. KAPLAN	04/23/2018
RANDAL H. ECKERT	05/07/2018

### **RECEIVING PARTY DATA**

Name:	: C3 JIAN, LLC	
Street Address:	Address: 4503 GLENCOE AVENUE	
City:	MARINA DEL REY	
State/Country:	CALIFORNIA	
Postal Code: 90292		

#### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	16604135

# **CORRESPONDENCE DATA**

Fax Number: (510)663-0920

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 510-663-1100 Email: SJoshi@wavsip.com

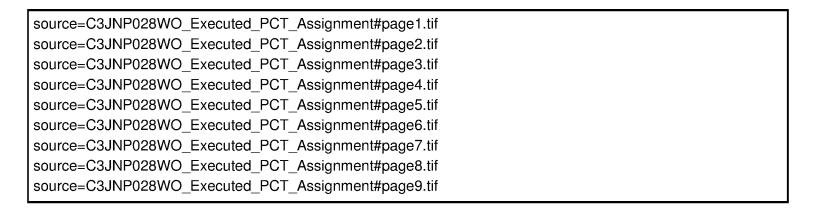
WEAVER AUSTIN VILLENEUVE & SAMPSON LLP **Correspondent Name:** 

Address Line 1: P.O. BOX 70250

Address Line 4: OAKLAND, CALIFORNIA 94612-0250

NAME OF SUBMITTER: SWAPNALI JOSHI SIGNATURE: /Swapnali Joshi/	
DATE SIGNED: 08/30/2022	

**Total Attachments: 9** 



# ASSIGNMENT OF PATENT APPLICATION

Whereas I, an undersigned named inventor, possess the right, title and interest for and in the Invention(s) as set forth in the PCT international patent application entitled: *DENTAL STRIPS FOR THE DELIVERY OF SPECIFICALLY TARGETED ANTIMICROBIAL PEPTIDES* (Atty. Docket No. C3JNP028WO), and

(1) for which I am a named inventor on a PCT international patent application that designates the U.S.:

## AND/OR

(2) the same having been filed on April 12, 2018	as PCT Application No. PCT/US2018/027357;
An	ND/OR
(3) which claims priority to U.S. [Provisional] Pa	atent Application filed on () as
in connection with which I hereby authorize and Customer Number 22434 to insert within Application No. of said application when known	the foregoing parentheses the filing date and/or

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, I, an undersigned named inventor, hereby:

- 1) Sell, assign and transfer to C3 Jian, LLC, a California corporation having a place of business at 4503 Glencoe Avenue. Marina Del Rev. CA 90292, USA ("ASSIGNEE"), the entire right, title and interest, including the right to claim priority, in the above-referenced PCT international application including any and all improvements and inventions disclosed therein, and in any U.S. and foreign applications (e.g., national phase applications) based upon, and U.S. and foreign patents based upon, the above-referenced PCT international application.
- 2) Authorize and request the Commissioner of Patents to issue any and all Letters Patents resulting from said application or any division, continuation, substitute, renewal, re-examination or reissue thereof to the ASSIGNEE.
- 3) Agree to execute all papers and documents and, entirely at the ASSIGNEE's expense, perform any acts which are reasonably necessary in connection with the prosecution of said PCT international application, as well as any derivative applications thereof, any U.S. and foreign national phase patent applications based on the above-referenced PCT international patent application, and/or the enforcement of patents resulting from such applications.
- 4) Agree that the terms, covenants and conditions of this assignment shall inure to the benefit of the ASSIGNEE, its successors, assigns and other legal representative, and shall be binding upon the named inventor, as well as the named inventor's heirs, legal representatives and assigns.

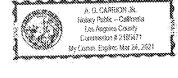
5) contr	Warrant and represent that I have not entereact, or understanding that conflicts with this a		
	Signed on the date indicated beside my sign	nature.	
1)	Signature: Typed Name: Evan Schauer	Date: 23 Apr 2018	
	NOT	ARY	
A Ca	California Civil Code sections 1189 and 1195 and California Gove alifornia notary public or other officer completing this certificate document to which this certificate is attached, and not the truth	verifies only the identity of the individual who signed	
	of California  ity of Los Angoles	SS.	
	On April 23, 2018 before me, A.G. Conally appeared Evan Schaue	Carrion Ir, Notary I	Public,
and a	factory evidence to be the person(s) whose natecknowledged to me that he/showing executed hat by his/heronic signature(s) on the instrument h the person(s) acted, executed the instrument	I the same in his/her/their authorized capacity nent the person(s), or the entity upon behalf of	y(ies),
I cert foreg	tify under PENALTY OF PERJURY under the soing paragraph is true and correct.	e laws of the State of Caldware that the	
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Signa	ature	A. G. CARRIOTE IR. Notary Public — Californio Lina Ruspelon Custrity Commission 9 2 1854 T1 Say Comm Express Mar 24, 2021	

Signed on the date indicated beside my signature.

2)	Signature: Typed Name:	Miroslav Baudys	Saur		Date:	4-23-	2018
			NOT	CARY			
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and and	acknowledged to that by his/her/th	to be the person(s) ome that he/she/the/the/eir signature(s) on the acted, executed the interest of the second of	y executed the instru	me(s) is/ased the same in ment the pers	ı his/h <del>ez/the</del> i	o the within r authorized	n instrument d capacity(ies),
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argi	nature	7 8/0/			Notary Po Los Ar Conneils	ARRON JR. Josic – California gogles Consky sion # 2185471 spines Mar 24, 2921	

Signed on the date indicated beside my signature.

3) Signature: Brian C. Varnum Date: 4-23-18
NOTARY
Per California Civil Code sections 1189 and 1195 and California Government Code section 8202:  A California notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.
State of California
County of Los Ameles
On April 23 2318 before me, A.G. Carriss, V., Notary Public personally appeared Brian C. Varnum
personally appeared Brian C. Varnum
, who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/shewhey executed the same in his/her/their authorized capacity(ies) and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.
I certify under PENALTY OF PERJURY under the laws of the State of cells that the foregoing paragraph is true and correct.
WITNESS my hand and official seal.
Signature



Signed on the date indicated beside my signature. Signature: 4) Typed Name: Per California Civil Code sections 1189 and 1195 and California Government Code section 8202: A California notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document. . Notary Public, personally appeared \_\_\_, who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are-subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(les), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(x) acted, executed the instrument. I certify under PENALTY OF PERJURY under the laws of the State of all think that the foregoing paragraph is true and correct.

WITNESS my hand and official scal

Signature



	Signed on the	date indicated beside	my signature.		
5)	Signature: Typed Name:	Christopher W. Kaplan		Date: <u>差多</u>	RL 2015
			<u>NOTARY</u>		
A C	alifornia notary public c	r other officer completing this	ornia Government Code section certificate verifies only the iden at the truthfulness, accuracy, or	ntity of the individual	
State	of Calforn	. લ્	)		
	on Apr.\ 23,	geles  2018 before me, christipher	Ss. A.G. Carrisa V. Kaplan	1	Notary Public,
and a	acknowledged to that by his/h <del>ar/th</del>	me that he/sho/they e	hose name(s) is/are so xecuted the same in hi instrument the person	is/h <del>er/the</del> ir auth	within instrument orized capacity(ies),
	-	LTY OF PERJURY vis true and correct.	under the laws of the S	tate of A	that the
WIT	NESS my hand	and official seal			
Sign	ature	XII			
		/		A. G. CARRION JR. Notey Public - Calibrate Les Angeles County Commission & 2185471 My Comm. Expires Mar 24, 2	

Date: 4/23/18

Signed on the date indicated beside my signature. 6) Signature: Date: Typed Name: Randal H. Eckert NOTARY Per California Civil Code sections 1189 and 1195 and California Government Code section 8202: A California notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document. State of \_\_\_\_\_\_) SS. County of \_\_\_\_\_ On \_\_\_\_\_\_, Notary Public, personally appeared , who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument. I certify under PENALTY OF PERJURY under the laws of the State of that the foregoing paragraph is true and correct. WITNESS my hand and official seal. Signature \_\_\_\_\_ RECEIVED AND HEREBY ACCEPTED BY ASSIGNEE, C3 Jian, LLC:

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Name: Title:

Signed on the date indicated beside my signature,						
6)	Signature: Typed Name:	Rahdal H. Eckert	. He	Date:	5/7/18	
			NOTARY	•		
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State Cour	of Winshi	naton	) ) ss.			
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Sign	aturo			MY APP	H NICKOLAS BORELLI IC - STATE OF WASHINGTON OINTMENT EXPIRES anuary 21, 2021	Manufactures and Comment of the Comm
REC	EIVED AND HI	EREBY ACCEPTED 1	BY ASSIGNE	E, C3 Jian, LLC:	tenesa manantahummannya manana	Sudhuq
Date:			None			
			Name: Title:			

7 of 7

Signed on the date indicated beside my signature. 6) Signature: Date: Typed Name: Randal H. Eckert NOTARY Per California Civil Code sections 1189 and 1195 and California Government Code section 8202: A California notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document. State of \_\_\_\_\_ County of \_\_\_\_\_\_\_) On \_\_\_\_\_\_ before me, \_\_\_\_\_\_, Notary Public, personally appeared , who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument. I certify under PENALTY OF PERJURY under the laws of the State of that the foregoing paragraph is true and correct. WITNESS my hand and official seal. Signature RECEIVED AND HEREBY ACCEPTED BY ASSIGNEE, C3 Jian, LLC: Sure 20, 2018 Name: Todd Patrick Title: President & CEO

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