

PATENT ASSIGNMENT COVER SHEET

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
VARUN BALAN	09/20/2022
RECEIVING PARTY DATA	
Name:	ROCKY MOUNTAIN INNOVATIONS INSIGHTS LLC
Street Address:	1777 WEWATTA STREET, SUITE 1313
City:	DENVER
State/Country:	COLORADO
Postal Code:	80202
PROPERTY NUMBERS Total: 3	
Property Type	Number
Application Number:	16524981
Application Number:	17898563
PCT Number:	US2019043924
CORRESPONDENCE DATA	
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<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
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ATTORNEY DOCKET NUMBER:	H6220-102, -103
NAME OF SUBMITTER:	SIMA SINGADIA KULKARNI, USPTO REG. 43732
SIGNATURE:	/Sima Singadia Kulkarni/
DATE SIGNED:	09/21/2022
Total Attachments: 3	
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ASSIGNMENT

WHEREAS, I, Varun BALAN (hereinafter referred to as ASSIGNOR), is the inventor of certain patent applications set forth in the schedule attached hereto as **Schedule A** and also of all of the inventions described in said patent applications; and

WHEREAS, **ROCKY MOUNTAIN INNOVATIONS INSIGHTS LLC**, with its principal place of business located at 1777 Wewatta Street, Suite 1313, Denver, CO 80202 (hereinafter referred to as ASSIGNEE), is desirous of acquiring the entire right, title and interest of ASSIGNOR in and to the patent applications, the related know-how, inventions or improvements; and

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby freely acknowledged, and intending to be legally bound, ASSIGNOR has sold, assigned, transferred and set over, and by these presents does hereby sell, assign, transfer and set over, to ASSIGNEE, ASSIGNOR'S entire right, title and interest in and to said patent applications, related know-how, inventions or improvements and any patent applications claiming priority thereto and any and all continuations, continuations-in-part, divisions, and renewals of and substitutes for said applications, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in the United States and its possessions and territories and in all other jurisdictions, and any reissue or reissues, reexaminations, or extension or extensions of the Letters Patent, the same to be held and enjoyed by ASSIGNEE, its successors, assigns, nominees or legal representatives, to the full end of the term or terms for which the Letters Patent respectively may be granted, reissued or extended, as fully and entirely as the same would have been held and enjoyed by ASSIGNOR had this assignment, sale and transfer not been made;

AND, ASSIGNOR hereby authorizes and requests the Commissioner of Patents and Trademarks of the United States, and the appropriate offices or authorities in foreign jurisdictions, to issue to ASSIGNEE, ASSIGNOR'S entire right, title and interest, in and to any and all Letters Patent for the inventions or improvements, including any and all Letters Patent of the United States or foreign jurisdictions, which may be issued and granted on or as a result of the aforesaid, in accordance with the terms of this assignment;

AND, ASSIGNOR authorizes and empowers the said ASSIGNEE, its successors, assigns and legal representatives to invoke and claim for any application for patent or other form of protection for said inventions filed by him, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it, and to invoke and claim such right of priority without further written or oral authorization from ASSIGNOR;

AND, ASSIGNOR hereby consents that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file or like document which may be required in any country for any purpose and more particularly in proof of the right of the said ASSIGNEE, its successors, assigns, and legal representatives, to claim the aforesaid benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it;

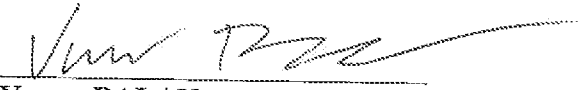
AND, the undersigned ASSIGNOR hereby covenants that he has full right to convey the entire interest herein assigned, and that he has not executed and will not execute any agreement in conflict herewith, and further covenants and agrees that he will, each time request is made and without undue delay, execute and deliver all such papers as may be necessary or desirable to perfect the title to said inventions or improvements, said applications and said Letters Patent in said ASSIGNEE, its successors, assigns, nominees, or legal representatives, and agrees to communicate to said ASSIGNEE or to its nominee all known facts respecting said inventions or improvements, said applications and said Letters Patent, to testify in any legal proceedings, to sign all lawful papers, to execute all disclaimers and divisional, continuing, and reissue applications, to make all rightful oaths, and generally to do everything possible to aid said ASSIGNEE, its successors, assigns, nominees and legal representatives to obtain and enforce for its own benefit proper patent protection for said inventions or improvements in the United States and its possessions and territories and in all other countries.

IN WITNESS WHEREOF, ASSIGNOR hereunto sets his hand and seal, intending to be legally bound.

ASSIGNOR:

Inventor 1

Dated: 9/20/22


Varun BALAN

Witnessed by:

Name of Witness: Katie Burt

Signature of Witness Katie Burt

Date 09/20/22

AGREED TO AND ACCEPTED:

ASSIGNEE:

ROCKY MOUNTAIN INNOVATIONS INSIGHTS LLC

Date: 9/20/22

Signature: 

Name: VARUN P. BALAN

Title: SOLE MANAGING MEMBER

SCHEDULE AU.S. PATENTS/APPLICATIONS

<u>REFERENCE NO.</u>	<u>PATENT/APPLN. NO.</u>	<u>COUNTRY</u>	<u>FILING DATE</u>	<u>TITLE OF INVENTION</u>
	63/711,120	US	07/27/2018	CLOUD-BASED, DATA-DRIVEN ARTIFICIAL INTELLIGENCE AND MACHINE LEARNING FINANCIAL PLANNING AND ANALYSIS VISUALIZATION PLATFORM
	PCT/US2019/043924	PCT	07/29/2019	CLOUD-BASED, DATA-DRIVEN ARTIFICIAL INTELLIGENCE AND MACHINE LEARNING FINANCIAL PLANNING AND ANALYSIS VISUALIZATION PLATFORM
H6220-00102	16/524,981	US	07/29/2019	CLOUD-BASED, DATA-DRIVEN ARTIFICIAL INTELLIGENCE AND MACHINE LEARNING FINANCIAL PLANNING AND ANALYSIS VISUALIZATION PLATFORM
H6220-00103	17/898,563	US	08/30/2022	CLOUD-BASED, DATA-DRIVEN ARTIFICIAL INTELLIGENCE AND MACHINE LEARNING FINANCIAL PLANNING AND ANALYSIS VISUALIZATION PLATFORM
H6220-00136	19841653.9	EP	04/20/2021	CLOUD-BASED, DATA-DRIVEN ARTIFICIAL INTELLIGENCE AND MACHINE LEARNING FINANCIAL PLANNING AND ANALYSIS VISUALIZATION PLATFORM