# PATENT ASSIGNMENT COVER SHEET

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
CHRISTOPHER HORVATH	08/28/2014
LASZLO O. ROMODA	08/28/2014
RICHARD A. LEWIS	08/18/2014

### **RECEIVING PARTY DATA**

Name:	AQUESYS, INC.	
Street Address:	1 NORTH WAUKEGAN ROAD	
City:	NORTH CHICAGO	
State/Country:	ILLINOIS	
Postal Code:	60064	

### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	15703802

### **CORRESPONDENCE DATA**

Fax Number:

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Email:** PATENTS@ABBVIE.COM

Correspondent Name: AQUESYS, INC.

Address Line 1: 1 NORTH WAUKEGAN ROAD

Address Line 4: NORTH CHICAGO, ILLINOIS 60064

ATTORNEY DOCKET NUMBER:	19897-US-CON1-AQS	
NAME OF SUBMITTER:	JASON VARNER	
SIGNATURE:	/JASON VARNER/	
DATE SIGNED:	10/13/2022	

**Total Attachments: 3** 

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PATENT 507541985 REEL: 061411 FRAME: 0414

Attorney Docket No.: 084988-0104
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## ASSIGNMENT

WHEREAS, Christopher HORVATH, a United States citizen, residing at 24221 Robledo Circle, Mission Viejo, California 92691; Laszlo O. ROMODA, a United States citizen, residing at 13 Via Fontibre, San Clemente, California 92673; and Richard A. LEWIS, a United States citizen, residing at 2750 Huntington Road, Sacramento, California 95864 (hereinafter, collectively if more than one assignor, "ASSIGNOR") have invented, conceived, reduced to practice, or otherwise contributed certain technology, inventions, improvements, developments, ideas or discoveries in a INTRAOCULAR SHUNT IMPLANTATION (collectively hereinafter referred to as the "Work"), for which a U.S. Patent Application No. 14/317,676 (hereinafter the "Application") was filed on June 27, 2014;

AND WHEREAS, AqueSys, Inc., (hereinafter "ASSIGNEE"), a corporation, with its principal place of business at 26970 Aliso Viejo Parkway, Suite 200, Aliso Viejo, California 92656, desires to acquire all right, title, and interest in and to the Work and the Application:

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, the ASSIGNOR does hereby sell, assign, transfer and set over, unto ASSIGNEE, its successors, legal representatives and assigns, the entire right, title, and interest throughout the world in the Work and the Application, and any other intellectual property rights in the Work, including, but not limited to, any trademarks, trade names, copyright rights, know how, trade secrets, copyright registrations, reproduction rights, and waives any and all moral rights in the Work under 17 U.S.C. § 106A or otherwise, for any and all uses of the Work, and any non-provisional patent application(s) claiming priority thereto that have been or may hereafter be filed, such non-provisional patent applications, including divisions, continuations, and continuations-in-parts thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all rights of priority under International Conventions and any Letters Patent or related applications for the Work which may hereafter be granted or filed for in any country or countries foreign to the United States, including all extensions, divisions, reexaminations and reissues thereof; and does hereby authorize and request the Commissioner for Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for the Work to the ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND ASSIGNOR DOES HEREBY sell, assign, transfer, and convey to ASSIGNEE, its successors, legal representatives, and assigns all claims for damages and all remedies arising out of any violation of the rights assigned hereby that may have accrued prior to the date of assignment to ASSIGNEE, or may accrue hereafter, including, but not limited to, the right to sue for, collect, and retain damages for past infringements of the Letters Patent, before or after issuance.

AND ASSIGNOR DOES HEREBY covenant and agree to provide any tangible property embodying or describing the Work, including without limitation all documents, drawings, prototypes, models, test results, designs, materials, computer programs and data, and the like, which, if not presently in the possession of ASSIGNEE, will be delivered to ASSIGNEE immediately upon request.

AND ASSIGNOR DOES HEREBY covenant and agree to communicate to the ASSIGNEE, its successors, legal representatives and assigns, any facts known to ASSIGNOR respecting the Work,

PATENT REEL: 061411 FRAME: 0415 and testify in any legal proceeding, assist in the preparation of any other provisional or non-provisional applications relating to the Work, sign all lawful papers, execute and make all rightful oaths and/or declarations in connection with the Work and the Application including any improvements made thereto, any non-provisional application(s) filed therefrom, and any continuing application(s) filed from aforementioned non-provisional application(s), and generally do everything possible to aid the ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for the Work in all countries and ASSIGNOR does hereby appoint ASSIGNEE as ASSIGNOR's attorney-in-fact for the limited purpose of executing all documents and performing all other acts necessary to give effect and legality to this Assignment.

ASSIGNOR DOES HEREBY acknowledge that, to the best of ASSIGNOR's knowledge, the Work is patentable, and ASSIGNOR further agrees not to take any action challenging or opposing, on any grounds whatsoever, ASSIGNEE'S rights granted under this Agreement, or the validity thereof. No course of conduct or dealing between the parties shall act as an amendment, modification or waiver of any provision of this Agreement, and only an amendment, modification or waiver which is contained in a written agreement signed by both ASSIGNEE and ASSIGNOR shall be effective. Further, this Agreement contains the entire understanding between the parties with respect to the subject matter hereof, and there are no representations, warranties, promises or undertakings other than those contained herein.

STATE OF (MIFAMICA ) ss.

On <u>ON 20 / 2014</u>, before me <u>DMINITE ON NOTAL</u>, Whate and title of "Notary Public") personally appeared Christopher HORVATH, who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

[SEAL]

TIME THE EDGISDED I NORMED NING

DANIELLE CRABB
Commission # 2013201
Notary Public - California
Orange County
My Comm. Expires Mar 18, 2017

Kotary Signature

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IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 28 day of AUGUST 2014.
Laszlo O. ROMODA
STATE OF COMY & Ss.
On ON/28 /2014, before me, Diville Lique Notary Public") personally appeared Laszlo O. ROMODA, who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.
WITNESS my hand and official seal.  DANIELLE CRABB Commission # 2013201 Notary Public - California Orange County My Comm. Expires Mar 18, 2017
IN TESTIMONY WHEREOF, I hereunto set my hand and seal this <u>8</u> day of <u>Newson</u> , 2014.  Richard A. LEWIS
STATE OF
COUNTY OF Ss.

On AUGUST 18, 2019 before me, AUGUADALTON, (name and title of "Notary Public") personally appeared Richard A. LEWIS, who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

[SEAL]



Notary Signature

PATENT REEL: 061411 FRAME: 0417

**RECORDED: 10/13/2022** 

THE TIE COCEDIAL LABORATION