

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

EPAS ID: PAT7607058

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
ANTHONY LI HSIEH	09/15/2022
TSUTOMU YEBISU	10/24/2022
JOHN HOON LEE	09/15/2022
SAEED GHASEMZADEH	09/15/2022
CHRISTIAN MIN KIM	10/24/2022
RECEIVING PARTY DATA	
Name:	LOANDEPOT, LLC
Street Address:	26642 TOWNE CENTRE DRIVE
City:	FOOTHILL RANCH
State/Country:	CALIFORNIA
Postal Code:	92610
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	16444594
CORRESPONDENCE DATA	
Fax Number:	(714)546-9035
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	7146415100
Email:	fsanders@rutan.com
Correspondent Name:	RUTAN & TUCKER, LLP
Address Line 1:	18575 JAMBOREE ROAD
Address Line 2:	9TH FLOOR
Address Line 4:	IRVINE, UNITED STATES 92612
ATTORNEY DOCKET NUMBER:	102186.0072P
NAME OF SUBMITTER:	RAVI MOHAN
SIGNATURE:	/RAVI MOHAN/
DATE SIGNED:	10/24/2022
Total Attachments: 8	

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ASSIGNMENT

WHEREAS, the undersigned, **Anthony Li HSIEH, Tsutomu YEBISU, John Hoon LEE, Saeed GHASEMZADEH, and Christian Min KIM** (referred to hereinafter as "ASSIGNORS"), have invented a certain invention entitled "**LEAD MANAGEMENT SYSTEM AND METHODS THEREOF INCLUDING DIGITAL REAL-ESTATE REFERRALS**" for which a non-provisional application for Letters of Patent of the United States of America was filed on **June 18, 2019**, having been assigned serial no. **16/444,594**; which together with related experimental data, trade secret, and other know-how is referred to hereinafter as the INVENTION;

WHEREAS **loanDepot.com, LLC**, a Delaware corporation having its principal place of business at 26642 Towne Centre Drive, Foothill Ranch, CA 92610, USA (referred to hereinafter as "ASSIGNEE"), is desirous of acquiring all entire right, title and interest in, to and under said INVENTION, and in, to and under Letters Patent or similar legal protection to be or having been obtained therefor in the United States of America, its territorial possessions and in any and all countries foreign thereto; and

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS hereby sell, assign, transfer and set over unto the ASSIGNEE, its successors, and assign the entire title, right and interest in and to the INVENTION, and to all Letters Patent or similar legal protection arising therefrom, not only in the United States and its territorial possessions, but in all countries foreign thereto to be obtained for said INVENTION by said application and all provisional, non-provisional, utility, design, continuation, continuation-in-part, divisional, renewal, substitute, reissue or reexamination thereof or any legal equivalent thereof in a foreign country for the full term or terms for which the same may be granted, including all priority rights under any International Convention.

ASSIGNORS further covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment; and

ASSIGNORS further covenant that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said INVENTION, including the patent application listed above, and any Letters Patent and legal equivalents in foreign countries issuing therefrom as may be known and accessible to ASSIGNORS, and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said invention and said Letters Patent and said equivalents thereof in any foreign country which may be necessary or desirable to carry out the purposes thereof.

ASSIGNORS and ASSIGNEE each covenant to perform all acts and execute and deliver all documents as may be necessary or appropriate to carry out the intent and purposes of this assignment.

This assignment embodies the entire understanding of ASSIGNORS and ASSIGNEE and supersedes and replaces any and all pre-existing assignments or understandings between ASSIGNORS and ASSIGNEE. No amendment or modification of this assignment shall be valid or binding upon ASSIGNORS or ASSIGNEE unless made in writing and signed on behalf of each of ASSIGNORS and ASSIGNEE by their respective duly authorized representative.

WITNESS my hand at Irvine, California, this 15 day of September 2022
City State Month



Anthony Li HSIEH

WITNESS my hand at _____, _____, this ____ day of _____ 2022
City State Month

Tsutomu YEBISU

WITNESS my hand at _____, _____, this ____ day of _____ 2022
City State Month

John Hoon LEE

ASSIGNORS and ASSIGNEE each covenant to perform all acts and execute and deliver all documents as may be necessary or appropriate to carry out the intent and purposes of this assignment.

This assignment embodies the entire understanding of ASSIGNORS and ASSIGNEE and supersedes and replaces any and all pre-existing assignments or understandings between ASSIGNORS and ASSIGNEE. No amendment or modification of this assignment shall be valid or binding upon ASSIGNORS or ASSIGNEE unless made in writing and signed on behalf of each of ASSIGNORS and ASSIGNEE by their respective duly authorized representative.

WITNESS my hand at Irvine, California, this 15 day of September 2022
City State Month

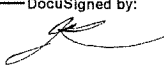


Anthony Li HSIEH

WITNESS my hand at _____, _____, this ____ day of _____ 2022
City State Month

Tsutomu YEBISU

WITNESS my hand at Frisco, TX, this 15 day of September 2022
City State Month

DocuSigned by:


D9E6ACAF5F518412
John Hoon LEE

Docket No. 102186.0072P

WITNESS my hand at Irvine, California, this 15 day of September 2022
City State Month

DocuSigned by:

7D59DA0883C2412
Saeed GHASEMZADEH

WITNESS my hand at _____, _____, this _____ day of _____ 2022
City State Month

Christian Min KIM

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**SUBSTITUTE STATEMENT IN LIEU OF AN OATH OR DECLARATION FOR UTILITY
OR DESIGN PATENT APPLICATION (35 U.S.C. 115(d) AND 37 CFR 1.64)**

Title of Invention	Lead Management System And Methods Thereof Including Digital Real-Estate Referrals
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This statement is directed to:

☐ The attached application,

OR

☒ United States application or PCT international application number 16/444,594 filed on 06/18/2019.

LEGAL NAME of inventor to whom this substitute statement applies:

(E.g., Given Name (first and middle (if any)) and Family Name or Surname)

Tsutomu Yebisu

Residence (except for a deceased or legally incapacitated inventor):

Newport Beach	CA	United States of America
City	State	Country

Mailing Address (except for a deceased or legally incapacitated inventor):

22642 Towne Centre Dr

Foothill Ranch	CA	92610	United States of America
City	State	Zip	Country

I believe the above-named inventor or joint inventor to be the original inventor or an original joint inventor of a claimed invention in the application.

The above-identified application was made or authorized to be made by me.

I hereby acknowledge that any willful false statement made in this statement is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

Relationship to the inventor to whom this substitute statement applies:

☐ Legal Representative (for deceased or legally incapacitated inventor only),

☒ Assignee,

☐ Person to whom the inventor is under an obligation to assign,

☐ Person who otherwise shows a sufficient proprietary interest in the matter (petition under 37 CFR 1.46 is required), or

☐ Joint Inventor.

SUBSTITUTE STATEMENT

Circumstances permitting execution of this substitute statement:

- ☐ Inventor is deceased,
- ☐ Inventor is under legal incapacity,
- ☒ Inventor cannot be found or reached after diligent effort, or
- ☐ Inventor has refused to execute the oath or declaration under 37 CFR 1.63.

If there are joint inventors, please check the appropriate box below:

- ☒ An application data sheet under 37 CFR 1.76 (PTO/AIA/14 or equivalent) naming the entire inventive entity has been or is currently submitted.

OR

- ☐ An application data sheet under 37 CFR 1.76 (PTO/AIA/14 or equivalent) has not been submitted. Thus, a Substitute Statement Supplemental Sheet (PTO/AIA/11 or equivalent) naming the entire inventive entity and providing inventor information is attached. See 37 CFR 1.64(b).

WARNING:

Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.

PERSON EXECUTING THIS SUBSTITUTE STATEMENT:

Name: Albert Nicholson, SVP, Associate General Counsel

Date (Optional):

10/24/22

Signature:

**APPLICANT NAME AND TITLE OF PERSON EXECUTING THIS SUBSTITUTE STATEMENT:**

If the applicant is a juristic entity, list the applicant name and the title of the signer:

Applicant Name: Albert Nicholson

Title of Person Executing
This Substitute Statement: SVP, Associate General Counsel

The signer, whose title is supplied above, is authorized to act on behalf of the applicant.

Residence of the signer (unless provided in an application data sheet, PTO/AIA/14 or equivalent):

City	Foothill Ranch	State	CA	Country	US
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Mailing Address of the signer (unless provided in an application data sheet, PTO/AIA/14 or equivalent)

26642 Towne Centre Drive

City	Foothill Ranch	State	CA	Zip		Country	US
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Note: Use an additional PTO/AIA/02 form for each inventor who is deceased, legally incapacitated, cannot be found or reached after diligent effort, or has refused to execute the oath or declaration under 37 CFR 1.63.

SUBSTITUTE STATEMENT IN LIEU OF AN OATH OR DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (35 U.S.C. 115(d) AND 37 CFR 1.64)

**Title of
Invention**

Lead Management System And Methods Thereof Including Digital Real-Estate Referrals

This statement is directed to:

☐ The attached application,

OR

☒ United States application or PCT international application number 16/444,594 filed on 06/18/2019.

LEGAL NAME of inventor to whom this substitute statement applies:

(E.g., Given Name (first and middle (if any)) and Family Name or Surname)

Christian Min Kim

Residence (except for a deceased or legally incapacitated inventor):

Irvine	CA	United States of America
City	State	Country

Mailing Address (except for a deceased or legally incapacitated inventor):

22642 Towne Centre Dr

Foothill Ranch	CA	92610	United States of America
City	State	Zip	Country

I believe the above-named inventor or joint inventor to be the original inventor or an original joint inventor of a claimed invention in the application.

The above-identified application was made or authorized to be made by me.

I hereby acknowledge that any willful false statement made in this statement is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

Relationship to the inventor to whom this substitute statement applies:

☐ Legal Representative (for deceased or legally incapacitated inventor only),

☒ Assignee,

☐ Person to whom the inventor is under an obligation to assign,

☐ Person who otherwise shows a sufficient proprietary interest in the matter (petition under 37 CFR 1.46 is required), or

☐ Joint Inventor.

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Circumstances permitting execution of this substitute statement:

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- ☐ Inventor is under legal incapacity,
- ☒ Inventor cannot be found or reached after diligent effort, or
- ☐ Inventor has refused to execute the oath or declaration under 37 CFR 1.63.

If there are joint inventors, please check the appropriate box below:

- ☒ An application data sheet under 37 CFR 1.76 (PTO/AIA/14 or equivalent) naming the entire inventive entity has been or is currently submitted.
- OR
- ☐ An application data sheet under 37 CFR 1.76 (PTO/AIA/14 or equivalent) has not been submitted. Thus, a Substitute Statement Supplemental Sheet (PTO/AIA/11 or equivalent) naming the entire inventive entity and providing inventor information is attached. See 37 CFR 1.64(b).

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PERSON EXECUTING THIS SUBSTITUTE STATEMENT:

Name: Albert Nicholson, SVP, Associate General Counsel

10/24/22
Date (Optional):

Signature:

**APPLICANT NAME AND TITLE OF PERSON EXECUTING THIS SUBSTITUTE STATEMENT:**

If the applicant is a juristic entity, list the applicant name and the title of the signer:

Applicant Name: Albert Nicholson

Title of Person Executing This Substitute Statement: SVP, Associate General Counsel

The signer, whose title is supplied above, is authorized to act on behalf of the applicant.

Residence of the signer (unless provided in an application data sheet, PTO/AIA/14 or equivalent):

City	Foothill Ranch	State	CA	Country	US
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Mailing Address of the signer (unless provided in an application data sheet, PTO/AIA/14 or equivalent)

22642 Towne Centre Dr.

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