

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

EPAS ID: PAT7641718

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
SIMON WILLIAM BREEDEN	06/05/2019
JAMES HANLEY CLARK	05/29/2019
THOMAS JAMES FARMER	05/28/2019
DUNCAN JAMES MACQUARRIE	05/26/2019
CON ROBERT MCELROY	06/03/2019
DAVID WILLIAM THORNTHTWAITE	07/12/2019
JOSEPH KOLAWOLE OGUNJOBI	05/27/2019
RECEIVING PARTY DATA	
Name:	CONOPCO, INC., D/B/A UNILEVER
Street Address:	800 SYLVAN AVENUE
City:	ENGLEWOOD CLIFFS
State/Country:	NEW JERSEY
Postal Code:	07632
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	16981964
CORRESPONDENCE DATA	
Fax Number:	(202)672-5399
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	202-672-5300
Email:	ipdocketing@foley.com, jalee@foley.com
Correspondent Name:	JANET LEE
Address Line 1:	FOLEY & LARDNER LLP
Address Line 2:	3000 K STREET, N.W., SUITE 600
Address Line 4:	WASHINGTON, D.C. 20007
ATTORNEY DOCKET NUMBER:	J30134USW 190058-0787
NAME OF SUBMITTER:	JANET LEE
SIGNATURE:	/Janet Lee/
DATE SIGNED:	11/11/2022

Total Attachments: 12

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DECLARATION / ASSIGNMENT - STATEMENT

WHEREAS, I/We,

BREEDEN Simon William, with Residence address at: York, GB and Mailing Address at Department of Chemistry, University of York, Heslington, York, North Yorkshire, YO10 5DD, United Kingdom

CLARK James Hanley, with Residence address at: York, GB and Mailing Address at Department of Chemistry, University of York, Heslington, York, North Yorkshire, YO10 5DD, United Kingdom

FARMER Thomas James, with Residence address at: York, GB and Mailing Address at Department of Chemistry, University of York, Heslington, York, North Yorkshire, YO10 5DD, United Kingdom

MACQUARRIE Duncan James, with Residence address at: York, GB and Mailing Address at Department of Chemistry, University of York, Heslington, York, North Yorkshire, YO10 5DD, United Kingdom

MCELROY Con Robert, with Residence address at: York, GB and Mailing Address at Department of Chemistry, University of York, Heslington, York, North Yorkshire, YO10 5DD, United Kingdom

OGUNJOBI Joseph Kolawole, with Residence address at: Akure, NG and Mailing Address at Bethel House, Block 'B' Plots 7 & 8, Rufus Kayode Layout, Off Ijare Road, Ilere, Akure South, Ondo State, Nigeria

THORNTHWAITE David William, with Residence address at: Little Neston, GB and Mailing Address at 39 Dunraven Road, Little Neston, Cheshire, CH64 9QT, United Kingdom

invented certain improvements in:

PROCESS FOR THE PRODUCTION OF DIALKYL TEREPHTHALATE

which is found in:

- ☐ a patent application, attached hereto, said application being identified as Unilever Case No. _____;
OR
☒ International Application No. PCT/EP2019/057916 filed on 2019-03-28 ("the Filing Date");

I. DECLARATION of inventorship (Rules 4.17(iv) and 51b/s.1(a)(iv)) for the purposes of the designation of the United States of America:

- (a) ☐ This declaration is directed to the above-identified international application of which it forms a part (if filing declaration with application)
OR
(b) ☒ This declaration is directed to the above-identified international application (if furnishing declaration pursuant to Rule 26ter).

I hereby declare that I believe I am the original inventor or an original joint inventor of a claimed invention in the application.

I hereby declare that the above-identified international application was made or authorized to be made by me.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

My signature is set forth at the end of this statement.

II. DUTY OF DISCLOSURE

I/We have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above; and

I/We acknowledge the duty to disclose to the United States Patent and Trademark Office all information known by me to be material to patentability as defined in 37 C.F.R. § 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT International filing date of the continuation-in-part application.

III. ASSIGNMENT

WHEREAS, Conopco, Inc., d/b/a UNILEVER, a corporation of New York, having a place of business at 800 Sylvan Avenue, Englewood Cliffs, New Jersey 07632 desires to acquire the entire right, title and interest in and to the aforesaid application (the "Application") and in and to the invention(s) therein disclosed for the United States (herein understood to include its possessions and territories).

NOW, THEREFORE, in consideration of one dollar (\$1.00) to each of the undersigned in hand paid and other good and valuable executed consideration, the receipt and sufficiency of all of which is hereby acknowledged, I/We, the undersigned, intending to be legally bound, hereby agree to sell, assign, transfer and convey and hereby do sell, assign, transfer, and convey unto said Conopco, Inc., d/b/a UNILEVER, a corporation of New York, having a place of business at 800 Sylvan Avenue, Englewood Cliffs, New Jersey 07632, its successors and assigns, the entire right, title and interest in and to the invention(s) disclosed in the Application in and for the United States, and in and to the Application and any and all divisional, continuation, continuation-in-part, substitute or other applications in the United States describing said invention(s) or improvements thereon (including the right to claim foreign and/or domestic priority of any of application(s) describing said inventions), and in and to any and all Letters Patent of the United States which may issue from any of the foregoing applications, including any and all extensions, renewals and reissues thereof, the same to be held by and for the sole and exclusive use and benefit of the said Conopco, Inc., d/b/a UNILEVER, its successors and assigns.

AND I/We do hereby authorize and request the Commissioner of Patents to issue the said Letters Patent of the United States to said Conopco, Inc., d/b/a UNILEVER, as the assignee of the entire right, title and interest in and to the same.

AND I/We do hereby agree to assist said Conopco, Inc., d/b/a UNILEVER in the prosecution of any and all said applications and in the prosecution and/or defense of any and all post-grant or other proceedings which may arise involving said invention, and to execute and deliver any powers of attorney, affidavits, assignments, applications, reissues, documents, or other written instruments which, in the opinion of counsel for said, Conopco, Inc., d/b/a UNILEVER, its successors and assigns, may be necessary to secure to said, Conopco, Inc., d/b/a UNILEVER, its successors and assigns, any and all said applications, inventions, improvements, Letters Patent, rights, titles and interests hereby sold, assigned, transferred and conveyed.

This Assignment is effective as of the Filing Date.

My/Our signature below is applicable to all pages above, setting out the Declaration, Duty of Disclosure and the Assignment descriptions and obligations:

Dated:

5/6/19

Witness

Signature

[Signature]

Witness

Name

Amanda Dixon

Witness

Address

6 Rosebery StYORK YO26 4YX UK

Simon William BREEDEN

Witness

Signature

[Signature]

Witness

Name

ROBERT WOOD

Witness

Address

15 Church LaneWHELDRAKE YO19 6AP.

Dated:

29/05/19

Witness

Signature

FLORENT BOUXIN

Witness

Name

[Signature]

Witness

Address

57 CHILTONS ROADYO24 2TW YORK

Witness

Signature

G.A. Hurst.

Witness

Name

GLENN ADAM HURST

Witness

Address

5, LINDHURST AVENUE, LOW FELLGATESHEAD, TYNE+WEAR, NE9 6AY.

Dated:

28/05/2019

Witness

Signature

ROXANA MICESCU

Witness

Name

[Signature]

Witness

Address

37 BECKETT DRIVEYORK, YO19 5RX

Thomas James FARMER

Witness

Signature

[Signature]

Witness

Name

Joan Li

Witness

Address

Flat 13, 35 FensgateYO1 9TF York UK

Dated: 20/05/19

Witness
Signature K. Brooke
Witness
Name Katy Brooke
Witness
Address 29 Barbican Mews
York YO10 5BZ

Duncan James MACQUARRIE

Witness
Signature Tabitha Petchey
Witness
Name TABITHA PETCHY
Witness
Address FLAT 7, 23 STONEGATE,
YORK YO1 8AW

Dated: 03/06/19

Witness
Signature Janice Liffhouse
Witness
Name JANICE LIFTHOUSE
Witness
Address 8 BARBERS DRIVE
ORMANTHORPE, YO23 3XN

Con Robert MCELROY

Witness
Signature James Sherwood
Witness
Name JAMES SHERWOOD
Witness
Address 6 SUMMERDALE CLOSE, YORK
YO26 5PD


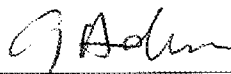
Dated: _____

Witness
Signature _____
Witness
Name _____
Witness
Address _____

Joseph Kolawole OGUNJOBI

Witness
Signature _____
Witness
Name _____
Witness
Address _____

Dated:

12/7/2019.
David William THORNTHWAITEWitness
SignatureWitness
NameG. ADAMSWitness
Address30 FIELDWAY, WIRRAL,
WIRRAL, UK, CH45 4SQWitness
SignatureWitness
NameGILL DALEYWitness
Address122 ST. GEORGES ROAD,
WALLASEY, WIRRAL, CH43 3NR

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

DECLARATION / ASSIGNMENT - STATEMENT

WHEREAS, I/We,

BREEDEN Simon William, with Residence address at: York, GB and Mailing Address at Department of Chemistry, University of York, Heslington, York, North Yorkshire, YO10 5DD, United Kingdom

CLARK James Hanley, with Residence address at: York, GB and Mailing Address at Department of Chemistry, University of York, Heslington, York, North Yorkshire, YO10 5DD, United Kingdom

FARMER Thomas James, with Residence address at: York, GB and Mailing Address at Department of Chemistry, University of York, Heslington, York, North Yorkshire, YO10 5DD, United Kingdom

MACQUARRIE Duncan James, with Residence address at: York, GB and Mailing Address at Department of Chemistry, University of York, Heslington, York, North Yorkshire, YO10 5DD, United Kingdom

MCELROY Con Robert, with Residence address at: York, GB and Mailing Address at Department of Chemistry, University of York, Heslington, York, North Yorkshire, YO10 5DD, United Kingdom

OGUNJOBI Joseph Kolawole, with Residence address at: Akure, NG and Mailing Address at Bethel House, Block 'B' Plots 7 & 8, Rufus Kayode Layout, Off Ijare Road, Ilere, Akure South, Ondo State, Nigeria

THORNTHWAITE David William, with Residence address at: Little Neston, GB and Mailing Address at 39 Dunraven Road, Little Neston, Cheshire, CH64 9QT, United Kingdom

invented certain improvements in:

PROCESS FOR THE PRODUCTION OF DIALKYL TEREPHTHALATE

which is found in:

- ☐ a patent application, attached hereto, said application being identified as Unilever Case No. _____;
OR
☒ International Application No. PCT/EP2019/057916 filed on 2019-03-28 ("the Filing Date");

I. DECLARATION of inventorship (Rules 4.17(iv) and 51bis.1(a)(iv)) for the purposes of the designation of the United States of America:

- (a) ☐ This declaration is directed to the above-identified international application of which it forms a part (if filing declaration with application)
OR
(b) ☒ This declaration is directed to the above-identified international application (if furnishing declaration pursuant to Rule 26*ter*).

I hereby declare that I believe I am the original inventor or an original joint inventor of a claimed invention in the application.

I hereby declare that the above-identified international application was made or authorized to be made by me.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

My signature is set forth at the end of this statement.

II. DUTY OF DISCLOSURE

I/We have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above; and

I/We acknowledge the duty to disclose to the United States Patent and Trademark Office all information known by me to be material to patentability as defined in 37 C.F.R. § 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT International filing date of the continuation-in-part application.

III. ASSIGNMENT

WHEREAS, Conopco, Inc., d/b/a UNILEVER, a corporation of New York, having a place of business at 800 Sylvan Avenue, Englewood Cliffs, New Jersey 07632 desires to acquire the entire right, title and interest in and to the aforesaid application (the "Application") and in and to the invention(s) therein disclosed for the United States (herein understood to include its possessions and territories).

NOW, THEREFORE, in consideration of one dollar (\$1.00) to each of the undersigned in hand paid and other good and valuable executed consideration, the receipt and sufficiency of all of which is hereby acknowledged, I/We, the undersigned, intending to be legally bound, hereby agree to sell, assign, transfer and convey and hereby do sell, assign, transfer, and convey unto said Conopco, Inc., d/b/a UNILEVER, a corporation of New York, having a place of business at 800 Sylvan Avenue, Englewood Cliffs, New Jersey 07632, its successors and assigns, the entire right, title and interest in and to the invention(s) disclosed in the Application in and for the United States, and in and to the Application and any and all divisional, continuation, continuation-in-part, substitute or other applications in the United States describing said invention(s) or improvements thereon (including the right to claim foreign and/or domestic priority of any of application(s) describing said inventions), and in and to any and all Letters Patent of the United States which may issue from any of the foregoing applications, including any and all extensions, renewals and reissues thereof, the same to be held by and for the sole and exclusive use and benefit of the said Conopco, Inc., d/b/a UNILEVER, its successors and assigns.

AND I/We do hereby authorize and request the Commissioner of Patents to issue the said Letters Patent of the United States to said Conopco, Inc., d/b/a UNILEVER, as the assignee of the entire right, title and interest in and to the same.

AND I/We do hereby agree to assist said Conopco, Inc., d/b/a UNILEVER in the prosecution of any and all said applications and in the prosecution and/or defense of any and all post-grant or other proceedings which may arise involving said invention, and to execute and deliver any powers of attorney, affidavits, assignments, applications, reissues, documents, or other written instruments which, in the opinion of counsel for said, Conopco, Inc., d/b/a UNILEVER, its successors and assigns, may be necessary to secure to said, Conopco, Inc., d/b/a UNILEVER, its successors and assigns, any and all said applications, inventions, improvements, Letters Patent, rights, titles and interests hereby sold, assigned, transferred and conveyed.

This Assignment is effective as of the Filing Date.

My/Our signature below is applicable to all pages above, setting out the Declaration, Duty of Disclosure and the Assignment descriptions and obligations:

Dated: _____

Simon William BREEDEN

Witness
Signature _____
Witness
Name _____
Witness
Address _____
_____Witness
Signature _____
Witness
Name _____
Witness
Address _____

Dated: _____

James Hanley CLARK

Witness
Signature _____
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Name _____
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Address _____
_____Witness
Signature _____
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Name _____
Witness
Address _____

Dated: _____

Thomas James FARMER

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Dated: _____

Duncan James MACQUARRIE

Witness

Signature _____

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Name _____

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Signature _____

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Name _____

Witness

Address _____

Dated: _____

Con Robert MCELROY

Witness

Signature _____

Witness

Name _____

Witness

Address _____

Witness

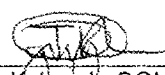
Signature _____

Witness

Name _____

Witness

Address _____

Dated: 27-05-2019

 Joseph Kolawole OGUNJOBI

Witness

Signature _____

Witness

Name

Witness

Address

Dr. MATTHEW A. ADEBAYO

DEPARTMENT OF CHEMISTRY

FEDERAL UNIVERSITY OF
TECHNOLOGY, AKURE, NIGERIA

Witness

Signature _____

Witness

Name

Witness

Address

DR. GIDEON AINA IDOWU

DEPARTMENT OF CHEMISTRY

FEDERAL UNIVERSITY OF
TECHNOLOGY, AKURE, NIGERIA.

Dated: _____

David William THORNTHWAITE

Witness
Signature _____
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Name _____
Witness
Address _____

Witness
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2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
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