# 507600773 11/16/2022

# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT7647664

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
ANDRIES DON SEBASTIAN	06/27/2015
LISA BROWN	01/27/2015
FRANK KELLEY ST. CHARLES	01/27/2015
ERCILIA B. HERNANDEZ GARCIA	02/02/2015
PANKAJ C. PATEL	01/27/2015
CRAIG T. DEMAREST	02/10/2015
DANIEL V. CANTRELL	01/27/2015

## **RECEIVING PARTY DATA**

Name:	R.J. REYNOLDS TOBACCO COMPANY
Street Address:	401 NORTH MAIN STREET
City:	WINSTON-SALEM
State/Country:	NORTH CAROLINA
Postal Code:	27101-3804

## **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	15422039

# CORRESPONDENCE DATA

**Fax Number:** (919)755-2150

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** 9197558156

Email:diann.gravius@wbd-us.comCorrespondent Name:CHRISTOPHER M. HUMPHREYAddress Line 1:555 FAYETTEVILLE STREET

Address Line 2: WOMBLE BOND DICKINSON (US) LLP
Address Line 4: RALEIGH, NORTH CAROLINA 27601

ATTORNEY DOCKET NUMBER:	R60999 16330USD1 (4747.9)
NAME OF SUBMITTER:	CHRISTOPHER M. HUMPHREY
SIGNATURE:	/christopher m. humphrey/
DATE SIGNED:	11/14/2022

507600773 PATENT REEL: 061789 FRAME: 0009

# Total Attachments: 12 source=R60999\_16330US\_1\_Assignee\_Declaration\_and\_Marked-up\_Assignment#page1.tif source=R60999\_16330US\_1\_Assignee\_Declaration\_and\_Marked-up\_Assignment#page2.tif source=R60999\_16330US\_1\_Assignee\_Declaration\_and\_Marked-up\_Assignment#page3.tif source=R60999\_16330US\_1\_Assignee\_Declaration\_and\_Marked-up\_Assignment#page4.tif source=R60999\_16330US\_1\_Assignee\_Declaration\_and\_Marked-up\_Assignment#page5.tif source=R60999\_16330US\_1\_Assignee\_Declaration\_and\_Marked-up\_Assignment#page6.tif source=R60999\_16330US\_1\_Assignee\_Declaration\_and\_Marked-up\_Assignment#page8.tif source=R60999\_16330US\_1\_Assignee\_Declaration\_and\_Marked-up\_Assignment#page9.tif source=R60999\_16330US\_1\_Assignee\_Declaration\_and\_Marked-up\_Assignment#page10.tif source=R60999\_16330US\_1\_Assignee\_Declaration\_and\_Marked-up\_Assignment#page11.tif source=R60999\_16330US\_1\_Assignee\_Declaration\_and\_Marked-up\_Assignment#page11.tif

PATENT REEL: 061789 FRAME: 0010

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.: 14/600,914 Confirmation No.: 1084

Inventors: Sebastian, et al. Filed: January 20, 2015

Art Unit: 1776

Examiner: Christopher P. Jones

Title: HUMIDITY CONTROL INSERT FOR CIGARETTE PACKS

Mail Stop Assignment Recordation Services Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### ASSIGNEE DECLARATION REQUESTING ASSIGNMENT CORRECTION

I, Charles A. Leyes, do hereby declare and say as follows:

- I am an employee of RAI Services Company, which is a subsidiary of Reynolds
   American and an affiliate of R. J. Reynolds Tobacco Company. I serve as Managing Counsel responsible for patent matters for the Reynolds family of companies, and I have signatory authority on behalf of R. J. Reynolds Tobacco Company.
- 2. I have reviewed the assignment record for the above-noted patent application and determined that a typographical error appears in the assignment recorded at Reel/Frame 034932/0304 on February 10, 2015. The assignment incorrectly identifies the assignee as "R. J. Reynolds Tobacco Products." To the best of my knowledge and belief, such an entity is not, and has never been, a company affiliated with Reynolds American, and in fact, no such company exists.
- 3. To the best of my knowledge and belief, the intent of all parties to the assignment was to name R. J. Reynolds Tobacco Company, a long-standing operating company affiliated with Reynolds American, as the assignee. I have based my understanding on at least the following: (1) the fact the assignment lists the address of R. J. Reynolds Tobacco Company as the assignee address; (2) my interaction with outside counsel regarding the above-referenced patent application and its assignment; and (3) review of the listed inventors. All inventors of this application, at the time of assignment execution, were employees of R. J. Reynolds Tobacco Company with an obligation to assign to R. J. Reynolds Tobacco Company.
- 4. As corroboration, please see the separate Declaration from Inventor Andries D. Sebastian (enclosed as Appendix A), which confirms the understanding that the intended assignee of the recorded assignment was R. J. Reynolds Tobacco Company.
- 5. As set forth in M.P.E.P. Section 323.01(b), a declaration filed by the assignee identifying the error and requesting correction is permissible to correct typographical errors in recorded assignment documents. See *In re Abacab International Computers Ltd.*, 21 USPQ2d 1078 (Comm'r Pat. 1987).

PATENT REEL: 061789 FRAME: 0011

Appl. No.: 14/600,914 Declaration by Charles A. Leyes Page 2

- The undersigned hereby requests correction of the typographical error. A copy of the 6. originally recorded document is enclosed as Exhibit B. A version of the recorded document showing correction of the typographical error is enclosed as Exhibit C.
- 7. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Executed for and on behalf of R. J. REYNOLDS TOBACCO COMPANY

Charles A. Leyes

Septen 10 26,2020

Date

#### APPENDIX A

Attorney's Docket No. R60999 16330US.1 (4639.1)

**PATENTS** 

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.:

14/600,914

Confirmation No.: 1084

Inventors: Filed:

Sebastian, et al. January 20, 2015

Art Unit:

1776

Examiner:

Christopher P. Jones

Title:

HUMIDITY CONTROL INSERT FOR CIGARETTE PACKS

Mail Stop Assignment Recordation Services Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# INVENTOR DECLARATION REQUESTING ASSIGNMENT CORRECTION

I, Andries D. Sebastian, do hereby declare and say as follows:

- 1. I am a named inventor of the above-noted patent application. At the time of the invention embodied in this patent application, I was an employee of R. J. Reynolds Tobacco Company with an obligation to assign inventions to R. J. Reynolds Tobacco Company.
- 2. I have reviewed the assignment record for the above-noted patent application and determined that a typographical error appears in the assignment recorded at Reel/Frame 034932/0304 on February 10, 2015. The assignment incorrectly identifies the assignee as "R. J. Reynolds Tobacco Products."
- 3. I was the lead inventor for this patent application and directly interacted with patent counsel during preparation of the patent application. To the best of my knowledge and belief, the intent of all parties to the assignment was to name R. J. Reynolds Tobacco Company as the assignee. All inventors of this application, at the time of assignment execution, were employees of R. J. Reynolds Tobacco Company with an obligation to assign to R. J. Reynolds Tobacco Company.
- 4. It is my understanding that the rightful assignee of the above-noted patent application is requesting correction of the recorded assignment. I fully support this request.
- 5. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Andries D. Sebastian

# **EXHIBIT C**

Atty, Dkt. No. 107977-0108

#### ASSIGNMENT - WORLDWIDE

For good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, each undersigned inventor (hereinafter referred to singly and collectively as "ASSIGNOR") has sold, assigned, and transferred, and by these presents hereby sells, assigns, and transfers, unto

R.J. REYNOLDS TOBACCO PRODUCTS
R. J. REYNOLDS TOBACCO COMPANY
401 North Main Street
Winston-Salem, North Carolina 27101-3804
USA

(hereinafter referred to as "ASSIGNEE") its successors and assigns, the full and exclusive right, title and interest for the United States, its territories and possessions, and all foreign countries in and to this invention relating to

# HUMIDITY CONTROL INSERT FOR CIGARETTE PACKS

as set forth in	n this	United States Patent Application
check one [		executed concurrently herewith,
	[	executed on
	[X]	Serial No. 14/600,914 Filed 1/20/2015

as well as in and to (a) all improvements and modifications of the above-identified invention or inventions, (b) the above-identified application and all other applications for Letters Patent of the United States and countries foreign thereto for above-identified invention or inventions and all improvements and modifications thereof, (c) all Letters Patent which may issue from said applications in the United States and countries foreign thereto, (d) all divisions, continuations, reissues, and extensions of said applications and Letters Patent, and (e) the right to claim for any of said applications the full benefits and priority rights under the Patent Cooperation Treaty, the Paris Convention and any other international agreement; such right, title, and interest to be held and enjoyed by ASSIGNEE, its successors and assigns, to the full end of the term or terms for which any and all such Letters Patent may be granted as fully and entirely as would have been held and enjoyed by ASSIGNOR had this Assignment not been made.

ASSIGNOR HEREBY AUTHORIZES ASSIGNEE to file patent applications in any or all countries on the above-identified invention or inventions in the name of the undersigned or in the name of ASSIGNEE or otherwise as ASSIGNEE may deem advisable under the International Convention or otherwise.

ASSIGNOR HEREBY AUTHORIZES AND REQUESTS the Commissioner of Patents and Trademarks to issue said Letters Patent to ASSIGNEE as assignee of the entire interest, for the sole use and benefit of ASSIGNEE, its successors and assigns.

Page 1 of 9

4827-5958-1217.1

PATENT REEL: 061789 FRAME: 0014 ASSIGNOR HEREBY AGREES (a) to communicate to ASSIGNEE, its successors and assigns, or their representative or agents, all facts and information known or available to ASSIGNOR respecting said invention or inventions, improvements, and modifications including evidence for interference, reexamination, reissue, opposition, revocation, extension, or infringement purposes or other legal, judicial, or administrative proceedings, whenever requested by ASSIGNEE; (b) to testify in person or by affidavit as required by ASSIGNEE, its successors and assigns, in any such proceeding in the United States or a country foreign thereto; (c) to execute and deliver, upon request by ASSIGNEE, all lawful papers including, but not limited to, original, divisional, continuation, and reissue applications, renewals, assignments, powers of attorney, oaths, affidavits, and declarations, depositions; and (d) to provide all reasonable assistance to ASSIGNEE, its successors and assigns, in obtaining and enforcing proper title in and protection for said invention or inventions, improvements, and modifications under the intellectual property laws of the United States and countries foreign thereto.

ASSIGNOR HEREBY REPRESENTS AND WARRANTS that ASSIGNOR has the full and unencumbered right to sell, assign, and transfer the interests sold, assigned, and transferred herein, and that ASSIGNOR has not executed and will not execute any document or instrument in conflict herewith.

ASSIGNOR HEREBY GRANTS to the law firm of **Foley & Lardner LLP** the power and authority to insert in this Assignment any further identification which may be necessary or desirable to comply with the rules of the U.S. Patent and Trademark Office for recordation of this Assignment.

ASSIGNOR UNDERSTANDS AND AGREES that the attorneys and agents of the law firm of Foley & Lardner LLP do not personally represent ASSIGNOR OR ASSIGNOR's legal interests, but instead represent the interests of ASSIGNEE; since said attorneys and agents cannot provide legal advice to ASSIGNOR with respect to this Assignment, ASSIGNOR acknowledges its right to seek its own independent legal counsel.

Executed this 2 day of 7011, 20/5

ANDRIES DON SEBASTIAN

State of North Carolina

County of 127 2015, before me, 34814 S Craws

Here insert Name and Title of the Officer (Notary Public)

personally appeared <u>ANDRIES DON SEBASTIAN</u>
Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/their executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature -

Signature of Notary Public

DEVERLY B. CHEVO

NOTARY PUBLIC
PORSYTH COUNTY
NORTH CAROLINA

My Commission Express description 2018

Place Notary Seal Above

BEVERLY S. CREWS

NOTARY PUBLIC

PORSYTH COUNTY

NOTTH CAROLINA

LOTTER LOTTER 20, 2018

Executed this 27 day of January, 2015. State of North Carolina County of Ersyth On 1 27 2015 before me, PLN & S Crew S

Date Here insert Name and Title of the Officer (Notary Public) personally appeared LISA BROWN

Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/their executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY that the foregoing paragraph is true and correct.

WITNESS my hand and official seal,

Signature

Signature of Notary Public

BEVERLY S. CREWS NOTARY PUBLIC FORBYTH COUNTY NORTH CAROLINA

My Commission Explose January 29, 2018

Place Notary Seal Above

Executed this 27th day of Armer	<u>org., 20/5</u> .
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	FRANK KEÆLEY ST, CHARLES
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<i>11</i>	many many
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Name(s) of	

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/their executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature Signature of Norman Pablic

BEVERLY 8. CREWS
NOTATY PUBLIC
PORSYTH COUNTY
NORTH CAROLINA

My Cranmission Expires: Junuary 28, 2018

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Executed this a day of falority, 2015.

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personally appeared <u>ERCILIA B. HERNANDEZ GARCIA</u> Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/their executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature Landson Signature

Signmer of Notice, Public
BEVERLY B. CREWB
NOTARY PUBLIC
FORBYTH COUNTY

NOFITH CAROLINA

NOFITH CAROLINA

NO Commission Explose: January 20, 2018

Place Notary Scal Above

Executed this 27 day of January, 2018.

State of Martin (A)
County of 60544

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Here insert Name and Title of the Officer (Notary Public)

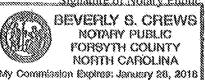
personally appeared PANKAJ C. PATEL Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/their executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

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who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/their executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY that the foregoing paragraph is true and correct.

WITNESS my hand and official seals

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BEVERLY B. CHEVB NOTARY PUBLIC FORBYTH COUNTY NORTH CAROLINA

My Commission Explose: Estatory 26, 2016

Place Notary Seal Above

Atty. Dkt. No. 107977-0108

Executed this/day of	M/MM/20/5 / \
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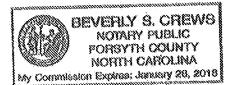
personally appeared DANIEL V. CANTRELL Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/their executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

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Page 9 of 9