

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

EPAS ID: PAT7656534

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
UNIVERSITY OF MANCHSTER	08/08/2017
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	ESTABLISHMENT LABS S.A.
<b>Street Address:</b>	COYOL FREE ZONE, 4TH STREET
<b>Internal Address:</b>	BUILDING B-15, LA GARITA
<b>City:</b>	ALAJUELA
<b>State/Country:</b>	COSTA RICA
<b>Postal Code:</b>	20113
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
Application Number:	16784479
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Email:</b>	request@slwip.com
<b>Correspondent Name:</b>	SCHWEGMAN LUNDBERG & WOESSNER, P.A.
<b>Address Line 1:</b>	PO BOX 2938
<b>Address Line 4:</b>	MINNEAPOLIS, MINNESOTA 55402-0938
<b>ATTORNEY DOCKET NUMBER:</b>	6016.017US2 U OF M /ESTAB
<b>NAME OF SUBMITTER:</b>	LESLIE J. ANTONSEN
<b>SIGNATURE:</b>	/Leslie J. Antonsen/
<b>DATE SIGNED:</b>	11/21/2022
<b>Total Attachments: 7</b>	
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RECORDATION FORM COVER SHEET  
PATENTS ONLY

Atty Ref/Docket No.: 6016.017US2

Patent and Trademark Office

To the Director of the U.S. Patent and Trademark Office: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
University of Manchester

2. Name and address of receiving party(ies):

Name: ESTABLISHMENT LABS S.A.

Street Address: COYOL FREE ZONE, 4TH ST.  
BUILDING B-15  
LA GARITA

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

City: ALAJUELA, 20113

Country: Costa Rica

3. Nature of conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Additional name(s) & address(es) attached? ☐ Yes  
☒ No

Execution Date: August 8, 2017

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

Serial No. 16/784,479, filed February 7, 2020

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Laurie S. Young

Address:

Schwegman Lundberg & Woessner, P.A.  
P.O. Box 2938  
Minneapolis, Minnesota 55402

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 0.00

☐ Enclosed

☐ Authorized to be charged to deposit account  
19-0743

8. Please charge any additional fees or credit any over payments to our Deposit Account No.: 19-0743

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Laurie S. Young/Reg. No. 63,081

Name of Person Signing

*Laurie S. Young*

Signature

17 Nov. 2022

Date

Total number of pages including cover sheet: 7

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks  
Mail Stop Assignment Recordation Services  
P.O. Box 1450  
Alexandria, VA 22313-1450

PATENT  
REEL: 061842 FRAME: 0716

Dated 11 AUGUST 2017

- (1) THE UNIVERSITY OF MANCHESTER
- (2) ESTABLISHMENT LABS S. A.

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Assignment of Intellectual Property

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THIS ASSIGNMENT is made on 11 AUGUST 2017

**BETWEEN**

- (1) The University of Manchester (a Royal Charter corporation registered under number RC000797, an exempt charity) of Oxford Road, Manchester, M13 9PL ("University")
- (2) Establishment Labs S. A., whose principal place of business is at Coyol Free Zone, Alajuela, Costa Rica ("Assignee")

**OPERATIVE PROVISIONS**

**1. INTERPRETATION**

- 1.1 In this Assignment the following expressions have the following meanings unless inconsistent with the context:

"Assigned IP"	the Intellectual Property specified in the Schedule
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"Effective Date"	the date of this Assignment corresponding to the date that the last party signs
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"Intellectual Property"	patents, trade marks, copyright, database rights, design rights, registered designs, know-how, and all other Intellectual property rights, in each case whether registered or unregistered and including applications or rights to apply for them and together with all extensions and renewals of them, and in each case all rights and forms of protection having equivalent and similar effect anywhere in the
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world

- 1.4 References in this Assignment to the words "include" "includes", "including" and "included" will be construed without limitation unless inconsistent with the context.
- 1.5 References in this Assignment to clauses and the Schedule are to clauses of and the Schedule to this Assignment.

## 2. ASSIGNMENT

- 2.1 The University assigns to the Assignee:
  - 2.1.1 all such right, title and interest as the University has in the Assigned IP absolutely;
  - 2.1.2 all the University's rights and powers arising or accrued from the Assigned IP up to the Effective Date including any right to sue for damages and other remedies including any right to have the benefit of any remedy obtained on any supposed infringement of all or any of its rights in the Assigned IP before the Effective Date; and
  - 2.1.3 all the University's rights (if any) to apply for prosecution to obtain patent or similar or other registered protection throughout the world in relation to all or any of the Assigned IP, including any right it has to apply for renewals and extensions and the right to claim priority from earlier applications so that the grant of any patent or similar or other registered protection shall be in the name of and vest in the Assignee.

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- 2.3 The Assignee agrees that it will register the assignment of the Assigned IP at the relevant Intellectual property offices.
- 2.4 The University agrees that it will, at the Assignee's request and cost, execute all documents, take all such actions, do all such things and provide all reasonable assistance as the Assignee may reasonably require in order to secure the right, title and interest in the Assigned IP assigned to the Assignee by this Assignment.

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**SCHEDULE**

**Assigned IP**

Patents

TERRITORY	NUMBER	FILING DATE	DESCRIPTION
PCT	PCT/GB15/050438	16 February 2015	Micro & Nano patterning of novel breast implant surfaces
PCT	PCT/EP16/079659	2 December 2016	Biomimetic Implant Surface

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SIGNED by JANE SHELTON  
for and on behalf of  
THE UNIVERSITY OF MANCHESTER  
In the presence of:

*Jane Shelton*

Witness signature: *Peter Welsh*

Name: PETER WELSH

Address: UMS, CTF, 46 GRAFTON ST, MANCHESTER M13 9NT, UK

Occupation: CHARTERED LEGAL EXECUTIVE

SIGNED by  
for and on behalf of  
ESTABLISHMENT LABS S. A.  
In the presence of:

*[Signature]*

Witness signature: *Laura Jaen Chao*

Name: Laura Jaen Chao

Address: Co Union, Cartago, Tres Rios, Antigua #506

Occupation: Attorney

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