

PATENT ASSIGNMENT COVER SHEET

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EPAS ID: PAT7659906

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
ALEX BATTAGLIA	11/22/2022
RECEIVING PARTY DATA	
Name:	TRIFECTA THERAPEUTICS LLC
Street Address:	41 ENTRATA AVE
City:	SAN ANSELMO
State/Country:	CALIFORNIA
Postal Code:	94960
PROPERTY NUMBERS Total: 5	
Property Type	Number
Patent Number:	10220194
Patent Number:	8709439
Patent Number:	8648082
Patent Number:	9554984
Patent Number:	8846092
CORRESPONDENCE DATA	
Fax Number:	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	8587037477
Email:	JORDAN.M.BATTAGLIA@GMAIL.COM
Correspondent Name:	JORDAN BATTAGLIA
Address Line 1:	41 ENTRATA AVE
Address Line 4:	SAN ANSELMO, CALIFORNIA 94960
NAME OF SUBMITTER:	JORDAN BATTAGLIA
SIGNATURE:	/Jordan Battaglia/
DATE SIGNED:	11/23/2022
This document serves as an Oath/Declaration (37 CFR 1.63).	
Total Attachments: 5	
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Patent Assignment

This **Patent Assignment** (hereinafter referred to as the "Assignment") is made and entered into on November 22, 2022 (the "Effective Date") by and between the following parties:

Alex Battaglia
41 ENTRATA AVE
SAN ANSELMO, California 94960

(the "Assignor")

AND

Trifecta Therapeutics LLC
a California Limited Liability Company (LLC)
41 ENTRATA AVE
SAN ANSELMO, California 94960

(the "Assignee")

WHEREAS, the Assignor is the sole and rightful owner of certain ideas, inventions, patent applications therefor and patents thereon (collectively referred to as the "Patents") set forth in **Exhibit A** attached hereto; and

WHEREAS, the Assignee desires to purchase or acquire the Assignor's right, title, and interest in and to the Patents; and

WHEREAS, the Assignor and Assignee are both duly authorized and capable of entering into this Assignment.

NOW, THEREFORE, for valuable consideration, the receipt of which is acknowledged, the parties hereto agree as follows:

1. ASSIGNMENT.

The Assignor does hereby sell, assign, transfer and set over to Assignee 100% of its right, title, and interest in the Patents to Assignee for the entire term of the Patents and any reissues or extensions and for the entire terms of any patents, reissues or extensions that may issue from foreign applications, divisions, continuations in whole or part or substitute applications filed claiming the benefit of the Patents. The right, title, and interest conveyed in this Assignment is to be held and enjoyed by Assignee and Assignee's successors as fully and exclusively as it would have been held and enjoyed by Assignor had this assignment not been made.

The Assignor authorizes United States Patent and Trademark Office and any other applicable

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jurisdictions outside the United States to record the transfer of the patent and/or patent applications set forth in Exhibit A to Assignee as recipient of Assignor's right, title and interest therein.

Assignor further agrees to: (a) cooperate with Assignee in the protection of the patent rights and prosecution and protection of foreign counterparts; (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer; and (c) perform such other acts as Assignee lawfully may request to obtain or maintain the Patents and any and all applications and registrations for the invention in any and all countries.

2. CONSIDERATION.

The Assignee shall pay the Assignor a flat fee of \$1.00 as full payment for all rights granted under this agreement. The Assignee shall complete this payment no later than 11/22/2022.

3. WARRANTY.

Assignor warrants that Assignor is the legal owner of all right, title and interest in the Patents, that the Patents have not been previously pledged, assigned, or encumbered and that this Assignment does not infringe on the rights of any person.

4. GOVERNING LAW.

This Assignment is governed by, and is to be construed in accordance with the laws of the State of California.

5. ENTIRE AGREEMENT.

This Assignment constitutes the sole agreement of the parties and supersedes all oral negotiations and prior writings with respect to the subject matter hereof.

6. SEVERABILITY.

If one or more provisions of this Assignment are held to be unenforceable under applicable law, the parties agree to renegotiate such provision in good faith. If the parties cannot reach a mutually agreeable and enforceable replacement for such provision, then (i) such provision will be excluded from this Assignment, (ii) the balance of the Assignment will be interpreted as if such provision were so excluded and (iii) the balance of the Assignment will be enforceable in accordance with its terms.

7. ADVICE OF COUNSEL.

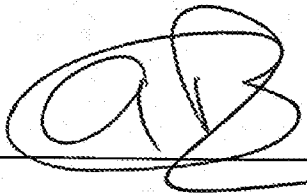
EACH PARTY ACKNOWLEDGES THAT, IN EXECUTING THIS AGREEMENT, SUCH PARTY HAS HAD THE OPPORTUNITY TO SEEK THE ADVICE OF INDEPENDENT

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LEGAL COUNSEL, AND HAS READ AND UNDERSTOOD ALL OF THE TERMS AND PROVISIONS OF THIS AGREEMENT. THIS AGREEMENT WILL NOT BE CONSTRUED AGAINST ANY PARTY BY REASON OF THE DRAFTING OR PREPARATION HEREOF.


IN WITNESS whereof, the Assignor and Assignee have executed this Agreement as of the Effective Date.

Assignor:

By:  _____ Date: 11/22/22

Alex Battaglia

Assignee:

By:  _____ Date: 11/22/22

Trifecta Therapeutics LLC

[Notary Acknowledgement to Follow]

Exhibit A

List of Patents

U.S. Patent/Application number: 10220194/14647579

Dated: May 25, 2015

Title: DUAL CHAMBER APPLICATOR

U.S. Patent/Application number: 8709439/12182372

Dated: July 30, 2008

Title: FILM-FORMING RESINS AS A CARRIER FOR TOPICAL APPLICATION OF PHARMACOLOGICALLY ACTIVE AGENTS

U.S. Patent/Application number: 8648082/10279704

Dated: October 23, 2002

Title: GUM RESIN AS A CARRIER FOR TOPICAL APPLICATION OF ANTIMICROBIAL AGENTS

U.S. Patent/Application number: 9554984/10754430

Dated: January 09, 2004

Title: ORAL CARE COMPOSITIONS FOR TOPICAL APPLICATION

U.S. Patent/Application number: 8846092/13464514

Dated: May 04, 2012

Title: GUM RESIN AS A CARRIER FOR TOPICAL APPLICATION OF PHARMACOLOGICALLY ACTIVE AGENTS

ACKNOWLEDGMENT

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California
County of Marin)

On November 22, 2022 before me, Mixtli Hernandez Nunez, Notary Public
(insert name and title of the officer)

personally appeared Alexander Battaglia & Jordan Battaglia
who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature  (Seal)

