

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

EPAS ID: PAT7677270

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
ENER-CORE POWER, INC.	11/18/2022
RECEIVING PARTY DATA	
Name:	REDUCTONOX CORPORATION
Street Address:	21 BENEDICT PLACE
City:	GREENWICH
State/Country:	CONNECTICUT
Postal Code:	06831
PROPERTY NUMBERS Total: 4	
Property Type	Number
Patent Number:	8671658
Patent Number:	8701413
Patent Number:	8621869
Patent Number:	8671917
CORRESPONDENCE DATA	
Fax Number:	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	2132350600
Email:	DBURGER@STAPLETONINC.COM
Correspondent Name:	STAPLETON GROUP
Address Line 1:	514 VIA DE LA VALLE STE 210
Address Line 4:	SOLANA BEACH, CALIFORNIA 92075
NAME OF SUBMITTER:	DEBORAH L. BURGER
SIGNATURE:	/Deborah L. Burger/
DATE SIGNED:	12/05/2022
Total Attachments: 17	
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BILL OF SALE

GOOD AND VALUABLE CONSIDERATION, the receipt and sufficiency of which are hereby acknowledged, and as contemplated by that certain Asset Purchase Agreement dated as of November 4, 2022 (the "Agreement") by and between ENER-CORE, INC. and ENER-CORE POWER, INC. ("Seller"), and REDUCTONOX CORPORATION, a Delaware corporation (the "Buyer"), Seller hereby sells, assigns, transfers, conveys and delivers to Buyer the assets listed on Exhibit A hereto and for all purpose made a part hereof (the "Sale Assets").

This Bill of Sale is in accordance with and is subject to all of the terms and conditions of the Agreement.

This Bill of Sale shall be governed by and construed in accordance with the laws of the State of California, without regard to the principles of conflicts of law thereof that would defer to or result in the application of the substantive laws of any other jurisdiction.

IN WITNESS WHEREOF, Seller has executed this Bill of Sale as of November 30, 2022.

Seller:
ENER-CORE, INC.
ENER-CORE POWER, INC.

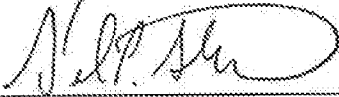
By: 
Name: David P. Stapleton
Title: Receiver

Exhibit A

- All patents including those patents listed in attached Appendix B;
- All trademarks including those listed in attached Appendix C (together with all patents, including those listed in Appendix B, the "Patents");
- All know-how, drawings, documents, pictures, sketches, data and the like related to the Patents and the business of Seller;
- All contractual rights and business opportunities held by Seller;
- All customer lists and sales leads of the Seller;
- All accounts, accounts receivable, guarantees and warranties, and other rights to payments (including notes receivable), of Seller, including, in each case, to the extent related to the Patents;
- All tangible property and interests therein, including all machinery, equipment, furniture, vehicles, tools, tooling, dies, fixtures, computers (including all computer hardware, networking and communication assets and servers) and maintenance parts and other items of tangible personal property (collectively, "Personal Property") owned by Seller or subject to a lease or license in favor of Seller, including, in each case, to the extent used in, or to the extent related to, the Patents or all other assets of the Seller, and including such Personal Property held at any location controlled by Seller, such Personal Property previously purchased and in transit and any such Personal Property held by a customer or prospective customer of Seller;
- All credits, pre-paid expenses, advance payments, prepayments, security deposits, deferred charges, letters of credit and other deposits, and claims for refunds or reimbursements and other assets, in each case, to the extent used in, or to the extent

related to, the Patents or all other assets of the Seller, and any claim, cause of action, remedy or right related to the foregoing;

- All license rights held by Seller in the Patents;
- All insurance proceeds and rights to insurance proceeds under any insurance policies of Seller with respect to the Patents and all other assets of the Seller;
- All goodwill of Seller to the extent related to the Patents and all other assets of the Seller;
- Copies of all business records to the extent related to the Patents and all other assets of the Seller;
- All claims, demands, choses in action, causes of action, and claims for relief, including, but not limited to, any litigation and arbitration claims against Dresser-Rand Company/Siemens, their affiliates, subsidiaries, parents, successors, and assigns; and
- All other assets, property and rights of Seller, but specifically excluding all cash on hand and in banks, cash equivalents, investments, checks received (but not deposited or cleared), and all tax refunds and all other refunds of whatever type or category.

APPENDIX B

Order Number	Application Number	Country Name	File Date	Power Number	Issue Date	Headline	Assignor	Assignee	Valid from	Valid Until (before PTA)	Patent Term Adjustment	Valid Until (after PTA)	Application Title
087944-0007	61007/217	USA	10/23/2007										Controlled Discharge for a Gas Turbine
087944-0008	61007/248	USA	10/06/2007										Measurement of Fuel Leaks in a Flexi-Turbine
087944-0009	61072/857	USA	5/1/2009										Compressor
087944-0012	121050/734	USA	3/18/2008	8,671,658	3/18/2014	021923/0440 02507/0055 028673/0255 Flex Power Generation, Inc.	FlexEnergy, Inc. FlexEnergy, Inc. Flex Power Generation, Inc. Power, Inc.	FlexEnergy LLC, FlexEnergy, Inc., Flex Power Generation Inc. Power-Care	3/18/2008	3/18/2028			5/26/2031 Oxidizing Fuel
087944-0013	12188/238	USA	10/17/2008	8,393,180	3/12/2013	021654/0026 02507/0055 028673/0255 030791/0910	Edan TriAbhu, LLC FlexEnergy, Inc. Flex Power Generation, Inc.	FlexEnergy LLC, FlexEnergy, Inc., Flex Power Generation Inc. Power-Care	10/17/2008	10/17/2028	1.055		Managing Leaks in a Gas Turbine
087944-0014	12130/151	USA	12/8/2008	8,701,413	4/22/2014	022142/0761 02507/0055 028673/0255 Flex Power Generation, Inc.	Edan TriAbhu, LLC FlexEnergy, Inc. FlexPower Generation, Inc.	FlexEnergy LLC, FlexEnergy, Inc., Flex Power Generation Inc. Power-Care	12/8/2008	12/8/2028	856		4/13/2031 Oxidizing Fuel in Multiple Operating Modes
087944-0015	PC7146309/064243	PC7	3/11/2008			Assigned with counterpart U.S. Patent No. 8,201,413.							Oxidizing Fuel in Multiple Operating Modes
087944-0016	121772/622	USA	5/2/2010			024667/0642 02507/0055 028673/0255 030791/0910	Edan TriAbhu, LLC FlexEnergy, Inc. Flex Power Generation, Inc.	FlexEnergy LLC, FlexEnergy, Inc., Flex Power Generation Inc. Power-Care					Distributing Fuel Flow in a Reaction Chamber
087944-0017	09/713/574	USA	11/4/2010	6,393,801	5/28/2012	011312/0989 02507/0055 028673/0255 Flex Power Generation, Inc.	Edan TriAbhu, LLC FlexEnergy, Inc. FlexPower Generation, Inc.	FlexEnergy, Inc., FlexPower Generation Inc. Power-Care	11/4/2010	11/14/2020			Method for Collection and Use of Low Level Machine Emissions
087944-0018	121870/221	USA	9/27/2010	8,621,869	1/7/2014	025067/0362 028673/0255 030791/0910	Edan TriAbhu, LLC FlexPower Generation, Inc.	FlexEnergy, Inc., FlexPower Generation Inc. Power-Care	9/27/2010	9/27/1930	754		9/19/1932 Heating a Reaction Chamber
087944-0019	61213/895	USA				025067/0363 028673/0255 030791/0910	Edan TriAbhu, Inc. FlexPower Generation, Inc.	FlexEnergy, Inc., FlexPower Generation Inc. Power-Care					Oxidizing Fuel Mixture with Vapor
087944-0024	PC700510/46112	PC7	7/20/2012			Assigned with counterpart U.S. Patent Application No. 13/488,889							Speed Control for Turbine
087944-0025	13289/889	USA	11/4/2011	9,273,606	3/1/2016	027358/0250 028673/0255 030791/0910	Douglas Hamn, Steve Lampe FlexEnergy, Inc. Flex Power Generation, Inc.	FlexEnergy, Inc., FlexPower Generation Inc. Power-Care	11/4/2011	11/4/2031	1.058		9/27/2034 Turbine with Catalytic Oxidizer
087944-0026	13289/896	USA	11/4/2011	9,273,604	3/8/2016	027358/0257 028673/0255 030791/0910	Douglas Hamn & Steve Lampe FlexEnergy, Inc. Flex Power Generation, Inc.	FlexEnergy, Inc., FlexPower Generation Inc. Power-Care	11/4/2011	11/4/2031	1.066		Multi-Combustor Turbine with Catalytic Oxidizer
087944-0027	134135/310	USA	5/28/2011			027058/0897 028673/0255 030791/0910	Edan TriAbhu, Inc. FlexPower Generation, Inc.	FlexEnergy, Inc., FlexPower Generation Inc. Power-Care					Integrated Gasifier Power Plant
087944-0029	13048/796	USA	3/15/2011	9,893,468	11/25/2014	026774/0761 028673/0255 030791/0910	Edan TriAbhu, Inc. FlexPower Generation, Inc.	FlexEnergy, Inc., FlexPower Generation Inc. Power-Care	3/15/2011	3/15/1931	877		8/9/1933 Processing Fuel and Water

Docket Number	Application Number	Country Name	Filing Date	Patent Number	Issue Date	Headline	Assignor	Assignee	Valid from	Valid Until (before PTA)	Patent Term Adjustment	Valid Until (after PTA)	Application Title
087944-0030	PC/0511/28947	PC/	8/25/2011			Assigned with counterpart U.S. Patent No. 8,893,468							Preventing Fuel and Water
087944-0034	13/115,902	USA	5/28/2011	9,057,028	6/16/2015 0207910910	026711/0721 029673/0255	Edin Prihoda, Inc.	Fleet Power Generation, Inc.	5/25/2011	5/25/1911	1,057	4/16/1934	Gasifier Power Plant with Management of Water
087944-0036	PC/050311/037,5974	PC/	5/28/2011			Assigned with counterpart U.S. Patent Application No. 13/115,902							Gasifier Power Plant with Management of Water
087944-0037	20090155514.1	China	7/27/2011 21,20980155514.1			Assigned with counterpart U.S. Patent No. 8,701,413			12/1/2009	12/1/2029	-	12/1/2029	Method of Operating a Fuel Oxidizer in Multiple Operating Modes and Fuel Oxidizer System
087944-0038	0974637.2	FP0	6/27/2011	2,370,881	8/20/2014 8,701,413	Assigned with counterpart U.S. Patent No. 8,701,413			12/1/2009	12/1/2029	-	12/1/2029	Method of Operating a Fuel Oxidizer in Multiple Operating Modes and Fuel Oxidizer System
087944-0039	PC/050311/037,5974	India	6/7/2011			Assigned with counterpart U.S. Patent No. 8,701,413							Method of Operating a Fuel Oxidizer in Multiple Operating Modes and Fuel Oxidizer System
087944-0040	2011-540778	Japan	12/1/2009	5,428,102	13 Dec 13 8,701,413	Assigned with counterpart U.S. Patent No. 8,701,413			13 Dec 13	12/1/2029	-	12/1/2029	Outgassing Fuel in Multiple Operating Modes
087944-0041	2011-7015389	South Korea	7/4/2011	10,130,1454	8/23/2013 8,701,413	Assigned with counterpart U.S. Patent No. 8,701,413			8/23/2013	12/1/2029	-	12/1/2029	Method of Operating a Fuel Oxidizer in Multiple Operating Modes and Fuel Oxidizer System
087944-0042	2011126166.0	Russia	12/1/2009	2,509,904	3/20/2014 8,701,413	Assigned with counterpart U.S. Patent No. 8,701,413			3/20/2014	12/1/2029	-	12/1/2029	Method of Operating a Fuel Oxidizer in Multiple Operating Modes and Fuel Oxidizer System
087944-0043	13/417,143	USA	3/9/2012	9,567,903	2/14/2017 0207910910	028131/0739 029673/0255	Jeffrey Armstrong, Richard Martin, Douglas Hamlin	Fleet Power Generation, Inc.	3/9/2012	3/9/2032	974	11/9/2034	Method of Operating a Fuel Oxidizer in Multiple Operating Modes and Fuel Oxidizer System
087944-0044	13/417,140	USA	3/9/2012	9,353,946	5/31/2016 0207910910	028131/0738 029673/0255	Jeffrey Armstrong, Richard Martin, Douglas Hamlin	Fleet Power Generation, Inc.	3/9/2012	3/9/1932	977	11/11/1934	Method of Operating a Fuel Oxidizer in Multiple Operating Modes and Fuel Oxidizer System
087944-0045	13/417,142	USA	3/9/2012	9,234,680	1/12/2016 0207910910	029673/0255	Jeffrey Armstrong, Ben Malloy	Fleet Power Generation, Inc.	3/9/2012	3/9/2032	832	6/19/2034	Method of Operating a Fuel Oxidizer in Multiple Operating Modes and Fuel Oxidizer System
087944-0046	13/417,149	USA	3/9/2012	9,359,948	6/7/2016 0207910910	028131/0807 029673/0255	Jeffrey Armstrong, Doug Hamlin	Fleet Power Generation, Inc.	3/9/2012	3/9/2032	1,085	2/27/2035	Method of Operating a Fuel Oxidizer in Multiple Operating Modes and Fuel Oxidizer System
087944-0047	13/417,227	USA	3/9/2012			028139/0284 029673/0255	Steve Lampo, Doug Hamlin	Fleet Power Generation, Inc.					Method of Operating a Fuel Oxidizer in Multiple Operating Modes and Fuel Oxidizer System
087944-0048	13/417,250	USA	3/9/2012	9,347,664	5/24/2016 0207910910	028139/0284 029673/0255	Steve Lampo, Doug Hamlin	Fleet Power Generation, Inc.	3/9/2012	3/9/2032	1,077	2/19/2035	Method of Operating a Fuel Oxidizer in Multiple Operating Modes and Fuel Oxidizer System
087944-0049	13/417,295	USA	3/9/2012			028139/0307 029673/0255	Steve Lampo, Doug Hamlin	Fleet Power Generation, Inc.					Method of Operating a Fuel Oxidizer in Multiple Operating Modes and Fuel Oxidizer System

Docket Number	Application Number	Country Name	File Date	Power Number	Issue Date	Headline	Assignor	Assignee	Valid from	Valid Until (Expiry P.A.)	Project Item Adjustment	Valid Until (Expiry P.A.)	Application Title
087844-0050	13/417,105	USA	3/9/2012	9,328,916	5/3/2016 (03/09/10910)	028159/0035 Steve Lamps, Doug Hamlin Flex Power Generation, Inc.	Flex Power Generation, Inc.	Flex Power Generation, Inc.	3/9/2012	3/9/2032	-	11/15/2034	Gradual Oxidation with Heat: General
087844-0051	13/417,134	USA	3/9/2012	9,359,947	6/17/2016 (03/09/10910)	028360/0986 Steve Lamps, Doug Hamlin Flex Power Generation, Inc.	Flex Power Generation, Inc.	Flex Power Generation, Inc.	3/9/2012	3/9/2032	-	12/4/2034	Gradual Oxidation with Heat: General
087844-0052	13/417,060	USA	3/9/2012	9,017,618	4/28/2015 (03/09/10910)	028361/0038 Boris Maslov, Doug Hamlin Flex Power Generation, Inc.	Flex Power Generation, Inc.	Flex Power Generation, Inc.	3/9/2012	3/9/2032	-	10/3/2032	Gradual Oxidation with Heat: Exchanger Media
087844-0053	13/417,074	USA	3/9/2012	8,944,473	9/30/2014 (03/09/10910)	028361/0014 Mark Schepel Flex Power Generation, Inc.	Flex Power Generation, Inc.	Flex Power Generation, Inc.	3/9/2012	3/9/2032	-	3/9/2032	Gradual Oxidation with Heat: Recirculating Engine
087844-0054	13/417,083	USA	3/9/2012	8,671,917	3/18/2014 (03/09/10910)	028361/0026 Mark Schepel Flex Power Generation, Inc.	Flex Power Generation, Inc.	Flex Power Generation, Inc.	3/9/2012	3/9/2032	-	3/9/2032	Gradual Oxidation with Heat: Recirculating Engine
087844-0055	13/417,090	USA	3/9/2012	9,726,374	9/8/2017 (03/09/10910)	028261/0035 Boris Maslov, Jeffrey Armstrong Flex Power Generation, Inc.	Flex Power Generation, Inc.	Flex Power Generation, Inc.	3/9/2012	3/9/2032	-	3/9/2032	Gradual Oxidation with Heat: Fuel Gas
087844-0056	13/417,162	USA	3/9/2012	8,307,399	8/19/2014 (03/09/10910)	028261/0051 Doug Hamlin, Joe Perry Flex Power Generation, Inc.	Flex Power Generation, Inc.	Flex Power Generation, Inc.	3/9/2012	3/9/2032	-	3/9/2032	Staged Gradual Oxidation
087844-0057	13/417,164	USA	3/9/2012	9,267,432	2/23/2016 (03/09/10910)	028274/0058 Doug Hamlin Flex Power Generation, Inc.	Flex Power Generation, Inc.	Flex Power Generation, Inc.	3/9/2012	3/9/2032	-	11/15/2034	Staged Gradual Oxidation
087844-0058	13/417,165	USA	3/9/2012	9,534,780	1/9/2017 (03/09/10910)	028261/0074 Jeffrey Armstrong, Richard Martin Flex Power Generation, Inc.	Flex Power Generation, Inc.	Flex Power Generation, Inc.	3/9/2012	3/9/2032	-	3/9/2032	Hybrid Gradual Oxidation
087844-0059	13/417,167	USA	3/9/2012	9,371,993	6/21/2016 (03/09/10910)	028261/0047 Jeffrey Armstrong Flex Power Generation, Inc.	Flex Power Generation, Inc.	Flex Power Generation, Inc.	3/9/2012	3/9/2032	-	2/28/2035	Gradual Oxidation Below Flue Gas Temperature
087844-0060	13/417,094	USA	3/9/2012	9,381,484	7/5/2016 (03/09/10910)	028261/0091 Jeffrey Armstrong, Richard Martin Flex Power Generation, Inc.	Flex Power Generation, Inc.	Flex Power Generation, Inc.	3/9/2012	3/9/2032	-	3/19/2035	Gradual Oxidation with Adiabatic Temperature Above Flue Gas
087844-0061	13/417,100	USA	3/9/2012	8,980,192	3/17/2015 (03/09/10910)	028274/0071 Boris Maslov Flex Power Generation, Inc.	Flex Power Generation, Inc.	Flex Power Generation, Inc.	3/9/2012	3/9/2032	-	10/11/2032	Flue Gas Temperature Below Flue Gas Temperature
087844-0062	13/417,110	USA	3/9/2012	8,926,917	1/6/2015 (03/09/10910)	028251/0076 Boris Maslov Flex Power Generation, Inc.	Flex Power Generation, Inc.	Flex Power Generation, Inc.	3/9/2012	3/9/2032	-	3/9/2032	Gradual Oxidation with Adiabatic Temperature Above Flue Gas
087844-0063	13/417,048	USA	3/9/2012	9,194,594	11/24/2015 (03/09/10910)	028261/0107 Jim Watts Flex Power Generation, Inc.	Flex Power Generation, Inc.	Flex Power Generation, Inc.	3/9/2012	3/9/2032	-	9/25/2034	Gradual Oxidation with Gradual Oxidation with Gradual Oxidation
087844-0064	13/417,122	USA	3/9/2012	9,206,980	12/8/2015 (03/09/10910)	028251/0085 Boris Maslov Flex Power Generation, Inc.	Flex Power Generation, Inc.	Flex Power Generation, Inc.	3/9/2012	3/9/2032	-	3/9/2032	Gradual Oxidation with Adiabatic Temperature Above Flue Gas
087844-0065	13/417,125	USA	3/9/2012	9,273,608	3/1/2016 (03/09/10910)	028251/0088 Boris Maslov Flex Power Generation, Inc.	Flex Power Generation, Inc.	Flex Power Generation, Inc.	3/9/2012	3/9/2032	-	11/15/2034	Gradual Oxidation and Adiabatic Temperature

Docket Number	Application Number	Country Name	File Date	Project Number	Issue Date	Need/Innovation	Assignor	Assignee	Valid from	Valid Until (before PTA)	Project Term Adjustment	Valid Until (after PTA)	Application Title
087844-0066	14/11/132	USA	3/9/2012	9,328,607	5/3/2016 03079109310	028261/0252 028673/0225	Boris Mashkov Inc. Flex Power Generation, Inc.	Floctenergy, Inc. Flex Power Generation Inc. Enar-Care Power, Inc.	3/9/2012	3/9/2032	981	11/15/2034	Gradual Oxidation and Multiple Flow Paths
087844-0087	14/11/130	USA	3/9/2012	9,980,193	3/17/2015 03079109310	028261/0112 028673/0225	Boris Mashkov, Thomas Denson Floctenergy, Inc.	Floctenergy, Inc. Flex Power Generation Inc. Enar-Care Power, Inc.	3/9/2012	3/9/1932	209	10/4/1932	Gradual Oxidation and Multiple Flow Paths
087844-0071	PC/US102/48118	PCF	7/30/2012			Assigned with counterpart U.S. Patent Application No. 13/588,996							Multi-Combustor Turbine
074844-0073	PC/US2011/028547 / 11756873.3	EPO	9/14/2012			Assigned with counterpart U.S. Patent No. 8,893,468							3/15/2031 Processing Fuel and Water
087844-0073	PC/US2011/028547 / 11756873.3	EPO	9/14/2012			Assigned with counterpart U.S. Patent No. 8,893,468							3/15/2031 Processing Fuel and Water
087844-0074	PC/US19/30024	PCF	3/8/2013			Assigned with counterpart U.S. Patent Application No. 13/413,227							Gradual Oxidation with Heat Transfer
087844-0075	PC/US2011/037974 / 1186521.7	EPO	12/16/2013			Assigned with counterpart U.S. Patent Application No. 13/115,902							Gradual Power Plant and Management of Wastes
087844-0076	PC/US2011/037974 / 2014 512805	Japan	3/20/2014	5946906	6/10/2016 13/115,902	Assigned with counterpart U.S. Patent Application No. 13/115,902							Gradual Power Plant and Management of Wastes
087844-0077	No. 20118070736.0	China	11/8/2013 2020118070736.0		10/12/2016 13/115,902	Assigned with counterpart U.S. Patent Application No. 13/115,902							Gradual Power Plant and Management of Wastes
087844-0078	2013157525	Russia	12/24/2013	2,561,793	9/10/2015 13/115,902	Assigned with counterpart U.S. Patent Application No. 13/115,902							Gradual Power Plant and Management of Wastes
087844-0079	14/21/106	USA	3/17/2014	9,587,564	3/7/2017 8,671,568	Assigned with counterpart U.S. Patent No.							7/7/2034 Oxidizing Fuel
087844-0080	14/21/216	USA	3/20/2014	9,926,946	3/27/2018 9,701,413	Assigned with counterpart U.S. Patent No.							Oxidizing Fuel in Multiple Operating Modes

Docket Number	Application Number	Country Name	File Date	Parent Number	Issue Date	Reel/Frame	Assignor	Assignee	Valid from	Valid Until (Before PTA)	Parent Term Adjustment	Valid Until (After PTA)	Application Title
087844-0081	PC/US2012/06112 / 12845461.8	EPO	5/23/2014			Assigned with counterpart U.S. Patent Application No. 13/289,399							Control for Multi-Combustor Turbine
087844-0082	2014120545	Russia	5/21/2014	2641776	1/23/2018	Assigned with counterpart U.S. Patent Application No. 13/289,399			7/10/2012	7/10/2012		7/10/2012	Control for Multi-Combustor Turbine
087844-0083	12846778.4	EPO	5/28/2014			Assigned with counterpart U.S. Patent Application No. 13/289,396							Multi-Combustor Turbine
087844-0089	2013223851	Australia	9/27/2014			Assigned with counterpart U.S. Patent Application No. 13/417,129							Gradual Oxidation with Heat Transfer
087844-0090	BR112014022525	Brazil	9/9/2014			Assigned with counterpart U.S. Patent Application No. 13/417,129							Gradual Oxidation with Heat Transfer
087844-0091	2868824	Canada	9/9/2014			Assigned with counterpart U.S. Patent Application No. 13/417,129							Gradual Oxidation with Heat Transfer
087844-0092	Nr. 20138024025.9	China	11/6/2014	210138024025.9	9/29/2017	Assigned with counterpart U.S. Patent Application No. 13/417,129			3/8/2013	3/8/2013		3/8/2013	Gradual Oxidation with Heat Transfer
087844-0093	12797916.5	EPO	10/2/2014			Assigned with counterpart U.S. Patent Application No. 13/417,129							Gradual Oxidation with Heat Transfer
087844-0094	7127/CHEMP/2014	India	9/25/2014			Assigned with counterpart U.S. Patent Application No. 13/417,129							Gradual Oxidation with Heat Transfer
087844-0095	2014561169	Japan	9/5/2014			Assigned with counterpart U.S. Patent Application No. 13/417,129							Gradual Oxidation with Heat Transfer
087844-0096	2014-7028417	South Korea	10/8/2014			Assigned with counterpart U.S. Patent Application No. 13/417,129							Gradual Oxidation with Heat Transfer
087844-0097	2014140734	Russia	10/8/2014	2650997	18-Apr-18	Assigned with counterpart U.S. Patent Application No. 13/417,129			3/8/2013	3/8/2013			Gradual Oxidation with Heat Transfer
087844-0098	Trademark (word): Enne Core	U.S.	10/21/2016	5,464,330 Reg. date May 8, 2018					Affidavit of Use deadline May 8, 2024			8 May '28 Trademark (word)	
087844-0099	Trademark (logo): Enne Core	U.S.	10/21/2016	5,464,323 Reg. date May 8, 2018					Affidavit of Use deadline May 8, 2024			8 May '28 Trademark (logo)	
087844-0104	15/144,554	U.S.	5/2/2016			OW of 13/417,132 03/09/2012 PA1 3,328,660 (locker -DSS)							Gradual Oxidation and Multiple Heat Paths

Application Invention	Application Status	Assignment Date	Granted	Applied	Abandoned/Expired	Method	System	Both	Bonus Due?	Bonus Earned (When? Paid?)	USA	Foreign
Esan Prabhu	Provisional Application Expired	10/14/2008			1							
Esan Prabhu	Provisional Application Expired	11/10/2008			1							
Esan Prabhu	Granted	10/14/2008	1			1						
Esan Prabhu	Granted	12/5/2008	1			1						
Esan Prabhu	Granted	12/5/2008	1									
Esan Prabhu	Granted	12/5/2008	1			1						
Esan Prabhu	reinstated to National Phase	7/29/2015			1	1				moved to National Phase - 1037-1042		
Esan Prabhu	Pending	12/31/2010		1			1				1	
Esan Prabhu	Granted	1/13/2011	1					1				
Esan Prabhu	Provisional Application Expired	11/28/2015			1							
Doug Horman, Steve Lunge	Transferred to National Phase				1					moved to national phase - 1081-1082		
Doug Horman, Steve Lunge	Granted	11/20/2011	1							\$2,000 Bonus Earned 10/28/2015		
Doug Horman, Steve Lunge	Granted	11/30/2011	1					1		\$2,000 Bonus earned 10/20/2015		
Esan Prabhu	Application deemed to be withdrawn	10/14/2011			1			1				
Esan Prabhu	Granted	3/15/2011	1					1				

Application Invention	Application Status	Assignment Date	Granted	Applied	Abandoned/Expired	Method	System	Both	Bonus Dofc	Bonus Earned (When? Paid?)	USA	Foreign
Eam Prabhu	Transferred to Richard Phibes	7/29/2015				1		1		moved to 0073 national stage		
Eam Prabhu	Granted	7/29/2011	1					1				
Eam Prabhu	Transferred to Richard Phibes					1		1		Moved to 0073 national stage		
Eam Prabhu	Granted		1					1		child from -015		
Eam Prabhu	Granted		5					1		child from -015	5 granted UK/EUR/JPAN patents: FR, GB, IT, NL, DE	
Eam Prabhu	Pending		1					1		child from -015		1
Eam Prabhu	Granted		0		1			1		child from -015		1
Eam Prabhu	Granted		1					1		child from -015		
Eam Prabhu	Granted		1					1		child from -015		
Jeffrey Armstrong, Richard Martin, Douglas Hannin	Granted		1			1				\$2,000 Earned on 9/23/2016		
Douglas Hannin, Richard Martin, Jeffrey Armstrong	Pending		1			1				\$2,000 Earned on 1/29/2016		
Jeffrey Armstrong, Boris Malsky	Granted		1			1				Earned 8/26/2015		
Douglas Hannin, Jeffrey Armstrong	Granted		1				1			\$2,000 Earned on 02/05/2016		
Steve Lampe, Douglas Hannin	Pending		1			1				\$2,000		1
Steve Lampe, Douglas Hannin	Granted		1				1			\$2,000 Earned on 1/22/2016		
Douglas Hannin, Steve Lampe	Pending		1			1				\$2,000		1

Application Invention	Application Status	Assignment Date	Granted	Applied	Abandoned/Expired	Method	System	Both	Bonus Doug	Bonus Earned (When? Paid?)	USA	Foreign
Steve Lampo, Douglas Hamon	granted		1			1			\$2,000	Earned 12/21/2015		
Steve Lampo, Douglas Hamon	Granted		1			1			\$2,000	Earned on 2/9/2016		
Boris Malslov, Douglas Hamon	Granted		1				1		\$2,000	Earned on 1/13/15		
Mink Schepel, Boris Malslov	Granted		1				1			Paid \$2k to Boris on 9/15/2014		
Mink Schepel	Granted		1			1						
Boris Malslov, Jeffrey Armstrong	Granted		1			1						
Jeffrey Armstrong, Richard Martin, Douglas Hamon, Joseph Perry	Granted		1			1				Paid \$1.5k to Doug on 8/15/2014		
Jeffrey Armstrong, Richard Martin, Douglas Hamon	Granted		1				1		\$2,000	Earned on 10/15/2015		
Richard Martin, Jeffrey Armstrong, Douglas Hamon	Granted		1					1	\$2,000	earned on 8/25/2016		
Jeffrey Armstrong	Granted		1			1						
Jeffrey Armstrong, Richard Martin, Douglas Hamon	Granted		1				1		\$2,000	Earned on 3/3/2016		
Boris Malslov	Granted		1				1			Earned on 12/08/2014		
Boris Malslov	Granted		1				1			Earned on 9/08/2014		
James Werts	Granted		1			1						
Boris Malslov	Granted		1				1			Earned 8/7/2015		
Boris Malslov	Granted		1			1				Earned on 10/21/2015		

Application Invention	Application Status	Assignment Date	Granted	Applied	Abandoned/Expired	Method	System	Both	Bonus Doug	Bonus Earned (When? Paid?)	USA	Foreign
Boris Mashov	Granted		1			1			Earned on 01/05/2016			
Thomas Derruck, Boris Mashov	Granted		1				1		Earned on 12/03/2014			
Transferred to Doug Horton, Steve Lange	Method Phase				1		1		moved to pending phase 2083			
Evan Prabhu	Pending			1				1	child from 2030		1	
Evan Prabhu	Pending							1	child from 2030			
Evan Prabhu John Fournelle, Richard Albert, Douglas Horton, Boris Mashov, James West, Thomas Derruck, Joe Perry, Michael Schlegel, Steve Lange, Richard Shiple	Pending			1				1	child from 2030			
Evan Prabhu	Pending			0	1			1	child from 2036		0	updated on 3/12/2016
Evan Prabhu	Granted		1					1				
Evan Prabhu	Granted		1					1				
Evan Prabhu	Granted		1					1				
Evan Prabhu	Granted		1					1				
Evan Prabhu	Granted		1					1				
Evan Prabhu	Granted		1					1				

Appendix C

Reel /Frame	Assign Record Date	Registrant	Trademark No.	Docket No.	Reg. Date	Title	Comments
NA	NA	Ener-Core Power, Inc.	5464930	087844-0098	8-May-24	Ener-Core (word mark)	Section 8 / 9 deadline May 8, 2024
NA	NA	Ener-Core Power, Inc.	5464929	087844-0099	8-May-24	iner-Core (design plus word mark	Section 8 / 9 deadline May 8, 2024

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5 COURT APPOINTED RECEIVER

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8 **SUPERIOR COURT OF THE STATE OF CALIFORNIA**
9 **COUNTY OF ORANGE, CENTRAL JUSTICE CENTER**
10

11 REDUCTONOX CORPORATION, etc.,

12 Plaintiff,

13 v.

14 ENER-CORE, INC., etc., et al,

15 Defendants.
16
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Case No. 30-2021-01224628-CU-EN-CJC

**ORDER GRANTING RECEIVER'S
MOTION FOR ORDER APPROVING
AUCTION SALE OF ASSETS FREE AND
CLEAR OF ALL LIENS, CLAIMS,
INTERESTS AND ENCUMBRANCES**

Date: November 10, 2022
Time: 2:00 p.m.
Dept: C-12

20 On November 10, 2022, at 2:00 p.m., in Department C-12 of the above-entitled court,
21 David P. Stapleton, the duly appointed Receiver in the above-entitled action ("Receiver"), moved
22 for an order approving sale of assets free and clear of all liens, claims and encumbrances (the
23 "Motion"). All appearances were duly noted to the Court.
24

25 After consideration of the pleadings and declaration filed in support of the Motion, the file
26 and records in this action, and the arguments of counsel, and good cause appearing therefor,

27 IT IS HEREBY ORDERED that the Receiver's Motion is GRANTED in its entirety;

28 ///

1 IT IS FURTHER ORDERED that:

2 1. On October 31, 2022, notice of the hearing to confirm the sale of the receivership
3 estate's assets was served on all parties listed on the proof of service. The notification was
4 adequate to alert all parties to this action about the Motion and the hearing to confirm the sale of
5 the assets. The notification was also sufficient to allow the parties to afford them the opportunity
6 to object to the hearing if they wished;

7 IT IS FURTHER ORDERED that:

8 2. The Receiver is authorized to sell all assets in the receivership estate other than
9 cash and any other assets specifically excluded in that certain Asset Purchase Agreement attached
10 as Exhibit "D" to the Stapleton Declaration filed on November 4, 2022 (the "APA") to
11 ReductoNox Corporation ("Reductonox"), as the APA may be amended and/or supplemented from
12 time to time to reflect the terms of the sale and correct any ministerial errors. The sale is free and
13 clear of all liens, claims, encumbrances, and interests;

14 3. Reductonox is confirmed as the highest bidder for the assets with a credit bid of
15 \$16 million. As there are no sale proceeds, there is nothing for liens, claims, encumbrances, and
16 interests to attach;

17 4. No filing or recording of a termination statement, release of liens or collateral, or
18 similar document is necessary to cause that sale to be free and clear of all liens, claims,
19 encumbrances, and interests; nonetheless, the Receiver is expressly authorized, within his
20 discretion, to file or record, as agent of the secured parties or as agent for the debtors (i.e., Ener-
21 Core, Inc. and Ener-Core Power, Inc.), those termination statements, releases of liens or collateral,
22 or similar documents as may customarily be necessary, reasonable, or helpful in demonstrating
23 that the sale is free and clear of all liens, claims, encumbrances, and interests;

24 5. The Receiver may issue a Bill of Sale to Reductonox for the receivership estate
25 assets, and any other ancillary documents the Receiver deems necessary and proper to confirm the
26 transfer of the assets to Reductonox, and upon the request of the Receiver, the Clerk of the Court
27 shall issue and is hereby directed to issue a deed, patent assignment, or other instrument conveying
28 title to the receivership estate assets.

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6. The Court retains jurisdiction to interpret, enforce, and/or implement this Order.

IT IS SO ORDERED:

Dated: **November 18, 2022**



Honorable Layne H. Melzer
JUDGE OF THE SUPERIOR COURT