

## PATENT ASSIGNMENT COVER SHEET

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Stylesheet Version v1.2

EPAS ID: PAT7678827

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
PO SHAN HSU	03/28/2011
KATHRYN M. KELCHNER	03/22/2011
ROBERT M. FARRELL	03/23/2011
DANIEL A. HAEGER	03/23/2011
HIROAKI OHTA	03/24/2011
ANURAG TYAGI	03/29/2011
SHUJI NAKAMURA	04/02/2011
STEVEN P. DENBAARS	04/04/2011
JAMES S. SPECK	03/29/2011
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	THE REGENTS OF THE UNIVERSITY OF CALIFORNIA
<b>Street Address:</b>	1111 FRANKLIN STREET
<b>Internal Address:</b>	TWELFTH FLOOR
<b>City:</b>	OAKLAND
<b>State/Country:</b>	CALIFORNIA
<b>Postal Code:</b>	94607
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
Application Number:	15880999
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(310)641-8798
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Phone:</b>	(310) 641-8797
<b>Email:</b>	rfieland@gates-cooper.com
<b>Correspondent Name:</b>	GATES & COOPER LLP
<b>Address Line 1:</b>	6060 CENTER DRIVE
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<b>Address Line 4:</b>	LOS ANGELES, CALIFORNIA 90045
<b>ATTORNEY DOCKET NUMBER:</b>	30794.0366USC2

PATENT

<b>NAME OF SUBMITTER:</b>	GERARD B. SERAPIGLIA
<b>SIGNATURE:</b>	/Gerard B. Serapiglia/
<b>DATE SIGNED:</b>	12/06/2022
<b>Total Attachments: 3</b> source=Assignment#page1.tif source=Assignment#page2.tif source=Assignment#page3.tif	

## ASSIGNMENT

U.C. Case No. 2010-543-2

G&C Case No. 30794.366-WO-U1 / 366-US-U1

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

- |                            |                               |                             |
|----------------------------|-------------------------------|-----------------------------|
| 1. <u>Po Shan Hsu</u>      | 2. <u>Kathryn M. Kelchner</u> | 3. <u>Robert M. Farrell</u> |
| 4. <u>Daniel A. Haeger</u> | 5. <u>Hiroaki Ohta</u>        | 6. <u>Anurag Tyagi</u>      |
| 7. <u>Shuji Nakamura</u>   | 8. <u>Steven P. DenBaars</u>  | 9. <u>James S. Speck</u>    |

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin Street, 12<sup>th</sup> Floor, Oakland, California 94607, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

### **SEMI-POLAR III-NITRIDE OPTOELECTRONIC DEVICES ON M-PLANE SUBSTRATES WITH MISCUTS LESS THAN +/-15 DEGREES IN THE C-DIRECTION**

and which is found in PCT International Application Number PCT/US2011/027267, filed on March 4, 2011, and U.S. Application No. 13/041,120 filed on March 4, 2011;

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner for Patents to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

28 day of March, 2011

22 day of March, 2011

23 day of March, 2011

23 day of March, 2011

\_\_\_\_ day of \_\_\_\_\_, 2011


29 day of MARCH, 2011

2 day of April, 2011


4 day of April, 2011

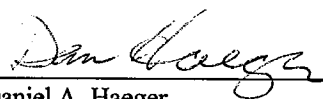
29 day of March, 2011

Signature of Assignor(s)

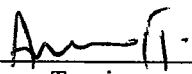
  
Po Shan Hsu


  
Kathryn M. Kelchner

  
Robert M. Farrell

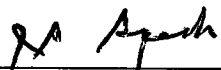
  
Daniel A. Haeger

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Hiroaki Ohta

  
Anurag Tyagi

  
Shuji Nakamura

  
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executed this

Signature of Assignor(s)

\_\_\_\_ day of \_\_\_\_\_, 2011

\_\_\_\_\_  
Po Shan Hsu

\_\_\_\_ day of \_\_\_\_\_, 2011

\_\_\_\_\_  
Kathryn M. Kelchner


\_\_\_\_ day of \_\_\_\_\_, 2011

\_\_\_\_\_  
Robert M. Farrell

\_\_\_\_ day of \_\_\_\_\_, 2011

\_\_\_\_\_  
Daniel A. Haeger

~~24th~~ day of March, 2011

  
\_\_\_\_\_  
Hiroaki Ohta

\_\_\_\_ day of \_\_\_\_\_, 2011

\_\_\_\_\_  
Anurag Tyagi

\_\_\_\_ day of \_\_\_\_\_, 2011

\_\_\_\_\_  
Shuji Nakamura

\_\_\_\_ day of \_\_\_\_\_, 2011

\_\_\_\_\_  
Steven P. DenBaars

\_\_\_\_ day of \_\_\_\_\_, 2011

\_\_\_\_\_  
James S. Speck