

PATENT ASSIGNMENT COVER SHEET

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SUBMISSION TYPE:	NEW ASSIGNMENT	
NATURE OF CONVEYANCE:	ASSIGNMENT	
CONVEYING PARTY DATA		
	Name	Execution Date
	CHENGKUN CHEN	03/28/2017
	MAXIME POIRIER	03/28/2017
	RAGHURAM NARAYAN	03/30/2017
	MILIND GOKHALE	03/30/2017
	MARCEL G. BOUDREAU	03/28/2017
RECEIVING PARTY DATA		
Name:	NEOPHOTONICS CORPORATION	
Street Address:	3081 ZANKER ROAD	
City:	SAN JOSE	
State/Country:	CALIFORNIA	
Postal Code:	95134	
PROPERTY NUMBERS Total: 1		
Property Type	Number	
Application Number:	18097598	
CORRESPONDENCE DATA		
Fax Number:	(612)315-4321	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>		
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ATTORNEY DOCKET NUMBER:	3275.93US04	
NAME OF SUBMITTER:	PETER S. DARDI, PH.D.	
SIGNATURE:	/Peter S. Dardi/	
DATE SIGNED:	01/17/2023	
Total Attachments: 4		
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ASSIGNMENT

WHEREAS, we, Chengkun Chen of Kanata, Ontario, Canada, Maxime Poirier of Gatineau, Quebec, Canada, Raghuram Narayan of Newark, California, Milind Gokhale of Palo Alto, California, and Marcel G. Boudreau of Ottawa, Ontario, Canada, have invented certain new and useful improvements in HIGH FREQUENCY OPTICAL MODULATOR WITH LATERALLY DISPLACED CONDUCTION PLANE RELATIVE TO MODULATING ELECTRODES, for which an application for Letters Patent of the United States has been made, and which may be identified in the United States Patent Office by Application No. 15/462,099 filed March 17, 2017.

WHEREAS, NeoPhotonics Corporation ("Assignee"), having its principal offices at 2911 Zanker Road, San Jose, California 95134, is desirous of acquiring the entire right, title and interest in and to said invention, said application and in, to and under any and all Letters Patent to be obtained therefor;

NOW, THEREFORE, for and in consideration of One Dollar (\$1.00) and other good and valuable consideration to us in hand paid by said Assignee, the receipt of which is hereby acknowledged, we have sold, assigned and transferred, and by these presents do hereby sell, assign and transfer unto the said Assignee, its successors and assigns, our entire right, title and interest in and to said invention, said application, all applications claiming priority to said application including all divisions, continuations or renewals thereof, and the Letters Patent, both foreign and domestic, that may or shall issue, therefrom including all reissues or extensions of such patents including all of our rights under the International Convention, and we do hereby authorize and request the Commissioner of Patents to issue said Letters Patent to the above mentioned Assignee in accordance herewith.

We hereby authorize the above mentioned Assignee, its successors and assigns, or anyone it may properly designate, to insert in this instrument the date of execution and/or filing date and application number of said application when ascertained.

We further authorize said Assignee, its successors and assigns, or anyone it may properly designate, to apply for Letters Patent, in its own name if desired, in any and all foreign countries, and additionally to claim the filing date of said United States application and/or otherwise take advantage of the provisions of the International Convention.

Upon said consideration we do hereby covenant and agree with the said Assignee, its successors and assigns, that we will not execute in writing or do any act whatsoever conflicting with these presents, and that we or our executors or administrators will at any time upon request, without further or additional consideration, but at the expense of the said Assignee, its successors and assigns, execute such additional writings and do such additional acts as said Assignee, its successors and assigns, may deem necessary or desirable to perfect the Assignee's enjoyment of this grant, and render all necessary assistance in making application for and

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and all foreign countries on said invention, and in enforcing any rights occurring as a result of such applications or patents, by giving testimony in any proceedings or transactions involving such applications or patents.

IN WITNESS WHEREOF, we have hereunto set our hands and affixed our seal as dated below.

Date: March 28, 2017

Chengkun Chen
Chengkun Chen

Subscribed to before me on
this 28 day of March, 2017

~~Maxime Poirier~~ Ron Moore
Witness One Printed Name

R. Moore
Witness One Signature

Huilong Wang
Witness Two Printed Name

Wang
Witness Two Signature

Date: March 28, 2017

Maxime Poirier
Maxime Poirier

Subscribed to before me on
this 28 day of March, 2017

Ron Moore
Witness One Printed Name

R. Moore
Witness One Signature

Huilong Wang
Witness Two Printed Name

Wang
Witness Two Signature

Date: 3/30/2017

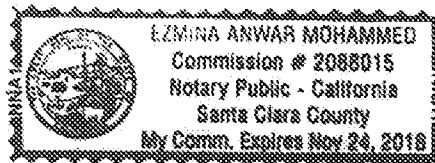
M. Narayan
Raghuram Narayan

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California
County of Santa Clara

Subscribed and sworn to (~~or affirmed~~) before me on this 30th day of MARCH 2017, by RAGHURAM NARAYAN, proved to me on the basis of satisfactory evidence to be the person who appeared before me.

Ezmina A. Mohammed
Notary Public



Notary Seal

Date: 3/30/17

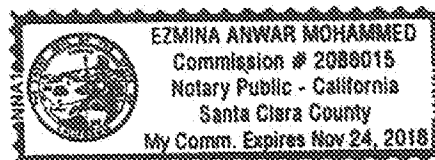
Milind Gokhale
Milind Gokhale

State of California
County of Santa Clara

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

Subscribed and sworn to (~~or affirmed~~) before me on this 30th day of MARCH 2017, by MILIND R. GOKHALE, proved to me on the basis of satisfactory evidence to be the person who appeared before me.

Ezmina A. Mohammed
Notary Public



Notary Seal

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Date: March 28, 2017

Marcel G. Boudreau
Marcel G. Boudreau

Subscribed to before me on
this 28 day of March, 2017

Ron Moore
Witness One Printed Name

R. Moore
Witness One Signature

Hailing Wang
Witness Two Printed Name

Wang Hailing
Witness Two Signature