

## PATENT ASSIGNMENT COVER SHEET

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EPAS ID: PAT7772169

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	RELEASE OF SECURITY INTEREST
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
HUDSON BAY FUND LP	01/31/2023
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	S&W SEED COMPANY
<b>Street Address:</b>	106 K STREET, SUITE 300
<b>City:</b>	SACRAMENTO
<b>State/Country:</b>	CALIFORNIA
<b>Postal Code:</b>	95814
<b>Name:</b>	SEED HOLDING, LLC
<b>Street Address:</b>	106 K STREET, SUITE 300
<b>City:</b>	SACRAMENTO
<b>State/Country:</b>	CALIFORNIA
<b>Postal Code:</b>	95814
<b>Name:</b>	STEVIA CALIFORNIA, LLC
<b>Street Address:</b>	106 K STREET, SUITE 300
<b>City:</b>	SACRAMENTO
<b>State/Country:</b>	CALIFORNIA
<b>Postal Code:</b>	95814
<b>PROPERTY NUMBERS Total: 12</b>	
<b>Property Type</b>	<b>Number</b>
<b>Patent Number:</b>	7652195
<b>Patent Number:</b>	8461420
<b>Patent Number:</b>	8471103
<b>Patent Number:</b>	8466343
<b>Patent Number:</b>	8802930
<b>Patent Number:</b>	8822760
<b>Patent Number:</b>	8957283
<b>Application Number:</b>	62095258
<b>Application Number:</b>	62095279
<b>Application Number:</b>	62095286

Property Type	Number
Application Number:	14544136
Application Number:	14544200

  

**CORRESPONDENCE DATA**

**Fax Number:** (415)693-2222

*Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.*

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<b>ATTORNEY DOCKET NUMBER:</b>	327760-121
<b>NAME OF SUBMITTER:</b>	C. RHEM
<b>SIGNATURE:</b>	/CR/
<b>DATE SIGNED:</b>	01/31/2023

**Total Attachments: 4**

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## RELEASE OF SECURITY INTEREST IN INTELLECUAL PROPERTY

This Release of Security Interest in Intellectual Property is made as of January 31, 2023, by Hudson Bay Fund LP (“**Agent**”) in favor of S&W Seed Company, a Nevada corporation, Seed Holding, LLC, a Nevada limited liability company and Stevia California, LLC, a California limited liability company (collectively, “**Grantors**”, and each, a “**Grantor**”) with their principal places of business located at 106 K Street, Suite 300, Sacramento, CA 95814.

### Recital

WHEREAS, Grantor granted to Agent a security interest in the patents and trademarks described on Exhibits A and B attached hereto (collectively, the “**Intellectual Property**”) under an Intellectual Property Security Agreement dated as of April 2, 2015 (the “**IP Security Agreement**”) and recorded with the U.S. Patent and Trademark Office as set forth on Exhibits A and B.

WHEREAS, Grantors have requested and Agent has agreed to release its security interest in the Intellectual Property and terminate the IP Security Agreement.

### Agreement

Now, therefore, Agent agrees that it terminates and releases its security interest in the Intellectual Property, terminates the IP Security Agreement, and reassigns to Grantors all right, title, and interest of Agent in or to the Intellectual Property.

[SIGNATURE PAGE FOLLOWS]

**AGENT:**

**HUDSON BAY FUND LP**

By: Richard Allison

Name: Richard Allison

Title: Authorized Signatory\*

Address of Agent:

c/o Hudson Bay Capital Management  
28 Havemeyer Pl, Fl 2  
Greenwich, CT 06830

\*Authorized Signatory  
Hudson Bay Capital Management LP  
Not individually, but solely as  
Investment Advisor to Hudson Bay Fund LP.

## Exhibit A - Patents

PATENT DOCUMENT TITLE	APPLICATION NUMBER (USSN) PATENT NUMBER (USPN)	FILING DATE ISSUE DATE
ALFALFA VARIETY 5IV32	USSN 11/531,383 USPN 7,652,195 (Issued Utility Patent)	FILED 09/20/2006 ISSUED 01/26/2010
ALFALFA VARIETY 05N16PY	USSN 13/252,674 USPN 8,461,420 (Issued Utility Patent)	FILED 10/04/2011 ISSUED 06/11/2013
ALFALFA VARIETY 06N02PX	USSN 13/252,692 USPN 8,471,103 (Issued Utility Patent)	FILED 10/04/2011 ISSUED 06/25/2013
ALFALFA VARIETY 07W01CX	USSN 13/252,706 USPN 8,466,343 (Issued Utility Patent)	FILED 10/04/2011 ISSUED 06/18/2013
ALFALFA VARIETY 35Q27	USSN 13/413,264 USPN 8,802,930 (Issued Utility Patent)	FILED 03/06/2012 ISSUED 08/12/2014
ALFALFA VARIETY 09N12CY	USSN 13/413,267 USPN 8,822,760 (Issued Utility Patent)	FILED 03/06/2012 ISSUED 09/02/2014
ALFALFA VARIETY 09W08PY	USSN 13/953,807 USPN 8,957,283 (Issued Utility Patent)	FILED 07/30/2013 ISSUED 02/17/2015
ALFALFA VARIETY 10XXP11	USSN 62/095,258 (Provisional Utility Patent Application)	FILED 12/22/2014
ALFALFA VARIETY 54Q14	USSN 62/095,279 (Provisional Utility Patent Application)	FILED 12/22/2014
ALFALFA VARIETY 12XXP13	USSN 62/095,286 (Provisional Utility Patent Application)	FILED 12/22/2014
STEVIA PLANT NAMED SW 107	USSN 14/544,136 (Non-Provisional Plant Patent Application)	Filed 11/30/2014
STEVIA PLANT NAMED SW 201	USSN 14/544,200 (Non-Provisional Plant Patent Application)	Filed 12/08/2014

Agent's security interest recorded at the U.S. Patent and Trademark Office on June 5, 2015 at Reel Number 035837 and Frame Number 0528.

## Exhibit B – Trademarks

Description	Serial / Registration Number	Application / Registration Date
<b>KANDYLEAF</b> U.S. Trademark Application for natural stevia plants and flowers; fresh or dried stevia leaves; stevia seeds, stevia extracts; stevia derivatives, in International Class 031	86/454,143	11/13/2014

Agent's security interest recorded at the U.S. Patent and Trademark Office on June 5, 2015 at Reel Number 5547 and Frame Number 0264.