## 507746280 02/13/2023

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT7793428

SUBMISSION TYPE:		NEW ASSIGNMENT	NEW ASSIGNMENT		
NATURE OF CONVEYANCE:		ASSIGNMENT	ASSIGNMENT		
CONVEYING PARTY D	ΑΤΑ				
		Name	Execution Date		
LUKE H. BRADLEY			02/15/2020		
DON M. GASH			02/18/2020		
GREG A. GERHARDT			03/03/2020		
RAYMOND T. BARTUS			04/09/2020		
RECEIVING PARTY DA					
Name:		SITY OF KENTUCKY RESEARCH FOU	INDATION		
Street Address:	311 MAIN	IBUILDING			
City:	LEXINGTON				
State/Country:	KENTUCI	KENTUCKY			
Postal Code:	40506				
Property Type		Number			
Property Type Application Number:	16	<b>Number</b> 5788123			
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Application Number: CORRESPONDENCE D Fax Number: <i>Correspondence will b</i> <i>using a fax number, if</i> Phone: Email: Correspondent Name: Address Line 1: Address Line 2: Address Line 4: ATTORNEY DOCKET N	DATA (6' provided; i 31 rei PC 10 SL EI	18)655-9640 he e-mail address first; if that is unsu- if that is unsuccessful, it will be sent 48898000 ndsley@polsinelli.com, patentdocketing DLSINELLI PC 05 WEST VANDALIA STREET JITE 400 DWARDSVILLE, ILLINOIS 62025 085971-652927	via US Mail.		
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source=085971-652927 - Assignment#page3.tif source=085971-652927 - Assignment#page4.tif source=085971-652927 - Assignment#page5.tif WHEREAS, LUKE H. BRADLEY, mailing address at c/o University of Kentucky Research Foundation, 311 Main Bldg., Lexington, Kentucky 40506; DON M. GASH, mailing address at c/o University of Kentucky Research Foundation, 311 Main Bldg., Lexington, Kentucky 40506; GREG A. GERHARDT, mailing address at c/o University of Kentucky Research Foundation, 311 Main Bldg., Lexington, Kentucky 40506; and RAYMOND T. BARTUS, residing at 5442 Shannon Ridge Lane, San Diego, California 92130 (collectively hereinafter, the "Assignor") have contributed to certain new and useful improvements relating to ANTI-NEURODEGENERATIVE THERAPEUTIC, METHOD OF MANUFACTURE AND USE (hereinafter, the "Invention") as described in United States Patent Application No. 16/788,123, filed on February 11, 2020 (hereinafter, the "Application"); and

WHEREAS, UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, a non-profit corporation, organized and existing under the laws of Kentucky, having a place of business at 311 Main Building, Lexington, Kentucky 40506, together with any successors, assigns and legal representatives thereof (hereinafter, called the "Assignee") is desirous of acquiring the entire right, title and interest, for all countries, in and to said Invention and Application.

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, the Assignor hereby sells, assigns, transfers and conveys unto Assignee the entire right, title and interest of such Assignor in and to said Invention and Application, and all divisions, renewals and continuations thereof, and any and all Letters Patent of the United States which may be granted thereon, and all reissues, reexaminations, and extensions thereof, and all priority rights under all available international agreements, treaties and conventions for the protection of intellectual property in its various forms in every participating country, and all applications for Letters Patent or other grants of protection of proprietary rights including, but not limited to, inventor's certificate, utility model, utility certificate, patent of importation, registration of patent and industrial design registration which may be filed, and which may be granted, upon said inventions in any countries or regions foreign to the United States, and all reissues, renewals and extensions thereof; and the Assignor hereby authorizes and requests the Commissioner for Patents and Trademarks of the United States, and all officials of countries or regions foreign to the United States having authority so to do, to issue all such Letters Patent or other grants of protection upon said inventions to the Assignee or to such nominees as it may designate;

AND the Assignor hereby authorizes and empowers the Assignee or nominees to invoke and claim for any application for such Letters Patent or other grants of protection for said inventions filed by it or them, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by a convention which may henceforth be substituted for it, and to invoke and claim such right of priority without further written or oral authorization from such Assignor;

AND the Assignor hereby consents that a copy of this Assignment shall be deemed a full and formal equivalent of any assignment, consent to file or like document which may be required in any country or region for any purpose and more particularly in proof of the right of the Assignee or nominees to claim the aforesaid benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it;

AND the Assignor hereby covenants that such Assignor has the full right to convey the right, title and interest herein assigned and that such Assignor has not executed and will not execute any agreement in conflict herewith;

AND the Assignor hereby covenants and agrees that such Assignor will communicate to Assignee or nominees all facts known to such Assignor pertaining to the Invention, and testify in all legal proceedings, sign all lawful papers, execute all divisional, continuation, substitute and reissue applications, make all rightful oaths and declarations and in general perform all lawful acts necessary or proper to aid Assignee or nominee in obtaining, maintaining and enforcing all lawful patent or other grants of protection of the Invention in any and all countries and regions.

[Remainder of page intentionally left blank]

IN TESTIMONY WHEREOF, this Assignment is executed by Assignor on the date opposite the signature of Assignor.

LUKE H/BR

<u>15-Feb-2020</u> Date

State of <u>Kerrtucky</u> County of Fayette

On this 15 day of februard, 20, before me personally appears Luke H. Bradley, personally known to me (proved to me on the basis of satisfactory evidence) to be the person named in and who executed the foregoing instrument and thereupon acknowledged to me that they executed the same of their own free will for the purposes set forth, and for a valuable consideration.



A u	$\Delta_{i}$	
auct	yaz	
Notary Public	<u></u>	

My Commission Expires: March 19, 2022

[SIGNATURE PAGE TO ASSIGNMENT]

PATENT REEL: 062674 FRAME: 0655 IN TESTIMONY WHEREOF, this Assignment is executed by Assignor on the date opposite the signature of Assignor.

DON M. GASH

18 feb 2020 Date

State of Kentucky 9 comme 296 County of

On this  $\frac{18^{42}}{100}$  day of February, 20.20, before me personally appears Don M. Gash, personally known to me (proved to me on the basis of satisfactory evidence) to be the person named in and who executed the foregoing instrument and thereupon acknowledged to me that they executed the same of their own free will for the purposes set forth, and for a valuable consideration.

<u>2076 .</u> 2. 513204 OBSEV

My Commission Expires:

[SIGNATURE PAGE TO ASSIGNMENT]

IN TESTIMONY WHEREOF, this Assignment is executed by Assignor on the date opposite the signature of Assignor.

GREC À GERHARD

<u>prill - 3 - 2 0</u> 20 Date

State of ACNHUCKS

On this 3 day of March, 2020, before me personally appears Greg A. Gerhardt, personally known to me (proved to me on the basis of satisfactory evidence) to be the person named in and who executed the foregoing instrument and thereupon acknowledged to me that they executed the same of their own free will for the purposes set forth, and for a valuable consideration.

Notary Public My Commission Expiresx, the sector secto

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PATENT REEL: 062674 FRAME: 0657 IN TESTIMONY WHEREOF, this Assignment is executed by Assignor on the date opposite the signature of Assignor.

RAYMOND T. BARTUS

<u>4/9/2020</u> Date

State of <u>CAU OT IUA</u> County of <u>SAN Dilgy</u>

A notary public or other officer completing this certificate vertifies only the identity of the individual who signed the document, to which this certificate is stacked, and not the truththress, accuracy, or validity of that document.

On this 0 day of 0 day of 0, 20, before me personally appears **Raymond T. Bartus**, personally known to me (proved to me on the basis of satisfactory evidence) to be the person named in and who executed the foregoing instrument and thereupon acknowledged to me that they executed the same of their own free will for the purposes set forth, and for a valuable consideration.

KATHERINE ANN HOWE Notary Public - California San Diego County -Commission # 2200702 My Comm. Expires Jun 9, 2021

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Notary Public	1	

My Commission Expires June 9, 2.021

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PATENT REEL: 062674 FRAME: 0658

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