

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

EPAS ID: PAT7839628

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
ISIS INNOVATION LIMITED	04/14/2015
RECEIVING PARTY DATA	
Name:	BENJAMIN G. DAVIS
Street Address:	PEMBROKE COLLEGE
Internal Address:	UNIVERSITY OF OXFORD
City:	OXFORD
State/Country:	UNITED KINGDOM
Postal Code:	OX1 1DW
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	10562599
CORRESPONDENCE DATA	
Fax Number:	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
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Email:	phillips@bozpat.com
Correspondent Name:	BOZICEVIC, FIELD & FRANCIS LLP
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Address Line 2:	SUITE 200
Address Line 4:	REDWOOD CITY, CALIFORNIA 94025
ATTORNEY DOCKET NUMBER:	RDWD-021
NAME OF SUBMITTER:	RUDY J. NG
SIGNATURE:	/Rudy J. Ng, Reg. No. 56,741/
DATE SIGNED:	03/10/2023
Total Attachments: 6	
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- (1) ISIS INNOVATION LIMITED
- (2) PROFESSOR BENJAMIN G. DAVIS

**ASSIGNMENT AGREEMENT
FOR ASSIGNMENT TO RESEARCHERS**

Isis Projects 1394 & 3620

**PATENT
REEL: 062946 FRAME: 0904**

THIS AGREEMENT dated

is made

BETWEEN:

- (1) **ISIS INNOVATION LIMITED**, a company registered in England under number 2199542 and whose registered office address is at the University Offices, Wellington Square, Oxford OX1 2JD, England (the "Assignor"); and
- (2) **PROFESSOR BENJAMIN G. DAVIS**, whose residential address is Pembroke College, University of Oxford, Oxford, OX1 1DW, (the "Assignee").

AGREEMENT:

1 Interpretation

- 1.1 Words and expressions used in this agreement have the meaning set out in the schedule.
- 1.2 Any phrase introduced by the term "including", "in particular" or any similar expression will be construed as illustrative and will not limit the sense of the words preceding that term.

2 Assignment

In consideration of the covenant in clause 3 and subject to the conditions described in clause 4, the Assignor assigns to the Assignee with effect on and from the Effective Date, all its right, title and interest in and to:

- 2.1 the Technologies and the Applications;
- 2.2 such rights as the Assignor may have to sue for and obtain injunctive relief, damages and other relief in respect of any infringement or misuse of the Technologies and the Applications;
- 2.3 all copyright, design right and other intellectual and industrial property rights in the Technologies and the Applications to which the Assignor is now entitled under the laws in force in any part of the world, whether vested, future, contingent and including, any extensions, renewals, reversions and accrued rights of action; and
- 2.4 the right to apply to prosecute, defend, maintain and renew patent or other similar protection in any territory or group of territories in respect of the Technologies, and the right to claim priority under the International Convention for the Protection of Industrial Property and under any other relevant international convention or treaty for each country of the union constituted by the relevant convention in which application may be made by the Assignee.

3 Patent Costs

- 3.1 The Assignee shall pay the Past Patent Costs to the Assignor. However, the Assignee is not obliged to pay these other than from any capital or income that may be earned from commercialising the Technologies or Applications ("revenue"). Acting in good faith at all times, for so long as any Past Patent Costs remain outstanding to the Assignor, the Assignee shall declare such revenue to the Assignor within one month of becoming entitled to it and pay 50% of the gross amount of the revenue in reimbursement of the Past Patent Costs. The Assignee shall not assign the

Technologies or Applications in whole or part without making the assignment subject to this clause and any purported assignment made in breach of this obligation shall be invalid.

- 3.2 For the avoidance of doubt, the Assignee shall, with effect on and from the Effective Date, be solely responsible for any costs incurred on and from the Effective Date in maintaining prosecuting, defending or renewing the Applications and shall indemnify the Assignor against these costs.

4 Licence Back to the University

The Assignee grants to the Assignor an irrevocable right in perpetuity for (i) the University and those persons who at any time work or have worked on the Technologies to use the Technologies for Academic and Research Purposes; and (ii) for those persons who at any time work or have worked on the Technologies to use the same for the purpose of Clinical Patient Care. The right granted at (i) includes the right for the University to license the Technologies to any of its collaborators in connection with and solely for the purposes of the University's Academic and Research Purposes; but it does not include the right to grant any licence to commercially exploit the Technologies. For the purposes of this clause, "Academic and Research Purposes" means research, teaching or other scholarly use which is undertaken for the purposes of education and research and "Clinical Patient Care" means diagnosing, treating and/or managing the health of persons under the care of an individual having the right to use the Technologies in the event that such Technologies is capable of application in a healthcare setting without further development.

5 Undertaking by Assignee

The Assignee hereby irrevocably undertakes to the Assignor and to the University of Oxford not to change its name to, or trade under, any name which includes the word "Oxford".

6 General

- 6.1 The parties to this agreement intend that by virtue of the Contract (Rights of Third Parties) Act 1999 the University and the people referred to in clause 4 will be able to enforce the terms of this agreement intended by the parties to be for their benefit as if the University and the people referred to in clause 4 were party to this agreement. Save as provided in this clause, the Contract (Rights of Third Parties) Act 1999 shall not give any third party any rights in respect of this agreement.
- 6.2 This agreement, is governed by English Law and the parties submit to the exclusive jurisdiction of the English Courts for the resolution of any dispute which may arise out of or in connection with this agreement save for injunctive relief which may be sought in any court of competent jurisdiction.

SCHEDULE

DEFINITIONS

Applications means (1) EP Granted Patent EP 1 644 390 B1 and US Granted Patent US 8 637 578 B2, entitled Reagents and Methods for the Formation of Disulfide Bonds and the Glycosylation of Proteins (Isis project 1394); and; and (2) EP Granted Patent EP 2 254 900 B1 and US Patent Application No 12 918 678, which was filed on 23 January 2009, entitled Chemical Modification of Proteins (Isis project 3620).

Effective Date means the date of last signature of this agreement.

Past Patent Costs means the sum of £44,462.94 plus VAT.

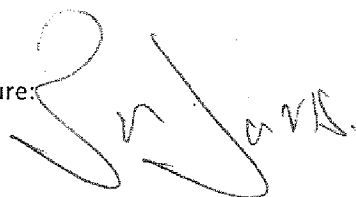
Technologies means the inventions described in the Applications.

University means the Chancellor, Masters and Scholars of the University of Oxford.

AS WITNESS the hands of the parties or their authorised signatories on the date first mentioned above.

SIGNED by the ASSIGNEE

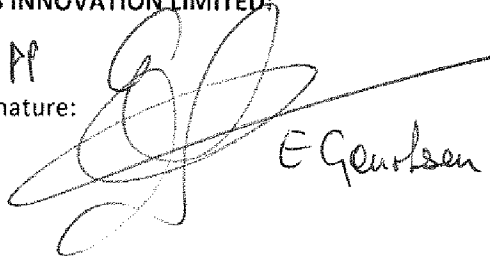
Signature:



Name: Benjamin G. Davis

**SIGNED for and on behalf of
ISIS INNOVATION LIMITED:**

Signature:

PP  E Gertsen

Name: Tom Hockaday

Position: Managing Director

DEED OF CONFIRMATION OF PATENT ASSIGNMENT

DATE: 5 JULY 2016

PARTIES:

(1) "ISIS INNOVATION LIMITED", of:
Ewert Place,
Ewert House,
Summertown,
Oxford,
Oxfordshire
OX2 7SG
United Kingdom

(2) "Benjamin Davis", of:
Pembroke College
University of Oxford
Oxford
OX1 1DW
United Kingdom

(3) "R.P. SCHERER TECHNOLOGIES, LLC.", of:
2215 Renaissance Drive, Suite B
Las Vegas
Nevada 89119
USA

The above parties do hereby confirm that EP(UK) 2,254,900 was previously assigned from ISIS INNOVATION LIMITED to Benjamin Davis in early 2015, and on or before 14 April 2015.

The above parties do hereby also confirm that EP(UK) 2,254,900 was then subsequently assigned from Benjamin Davis to R.P. SCHERER TECHNOLOGIES, LLC., and sometime on or before 13 August 2015.

EXECUTED AS A DEED,

for and on behalf of
ISIS INNOVATION LIMITED

(Signatory) [Signature]
Name: LINDA NAYLOR Title: M.D.

in the presence of:

(Witness) [Signature]
Name: Dr WILLIAM JAMES McILWAIN
DEPUTY HEAD OF TECHNOLOGY TEAMWERK

EXECUTED AS A DEED,

for and on behalf of
Benjamin Davis

(Signatory) [Signature]
Name: Benjamin Davis Title: PROF

in the presence of:

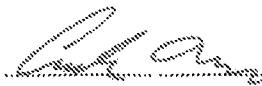
(Witness) [Signature]
Name: HAYLEY BASTABLE MS

EXECUTED AS A DEED,

for and on behalf of)

R.P. SCHERER TECHNOLOGIES, LLC)

in the presence of:)

(Signatory) 

Name: Charles Almar

Title: V.P. Associate G.C.

(Witness) 

Name:

BONNE LYN MULLEN
NOTARY PUBLIC OF NEW JERSEY
ID # 2429985
My Commission Expires 2/12/2018

6630240; WNB; Y/NB