

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT7840614

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
ERIC E. KUO	04/28/2010
VADIM MATOV	04/28/2010
LARRY LAI	04/28/2010
FUMING WU	04/28/2010
JIHUA CHENG	04/28/2010
RECEIVING PARTY DATA	
Name:	ALIGN TECHNOLOGY, INC.
Street Address:	2820 ORCHARD PARKWAY
City:	SAN JOSE
State/Country:	CALIFORNIA
Postal Code:	95134
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	18168558
CORRESPONDENCE DATA	
Fax Number:	(650)212-7562
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	650-212-1700
Email:	info@shayglenn.com, jennifer@shayglenn.com
Correspondent Name:	SHAY GLENN LLP
Address Line 1:	155 BOVET ROAD, SUITE 710
Address Line 4:	SAN MATEO, CALIFORNIA 94402
ATTORNEY DOCKET NUMBER:	14187-71U.301
NAME OF SUBMITTER:	JENNIFER MARCELINO
SIGNATURE:	/Karen K. McSwain, Reg. No. 64,306/
DATE SIGNED:	03/10/2023
Total Attachments: 6	
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RECORDATION FORM COVER SHEET
PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

TO THE HONORABLE DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE. PLEASE RECORD THE ATTACHED ORIGINAL DOCUMENTS OR COPY THEREOF.

1. Name of conveying party(ies):

(1) **Eric E. KUO**
(2) **Vadim MATOV**
(3) **Larry LAI**
(4) **Fuming WU**
(5) **Jihua CHENG**

Additional name(s) of conveying party(ies) attached?
☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: **ALIGN TECHNOLOGY, INC.**
2820 Orchard Parkway
San Jose, CA 95134

3. Nature of Conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

EXECUTION DATE(S): (1)-(5) 04/28/2010

Name and address of receiving party(ies):

Name:
Street Address:
City: State: Zip:
Country:
Additional name(s) & address(es) attached?
☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) – **18/168,558**

Title: **INDIVIDUALIZED ORTHODONTIC
TREATMENT INDEX**

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Karen K. McSwain
Shay Glenn LLP
155 Bovet Road, Suite 710
San Mateo, CA 94402

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h)(1)): \$0.00

☒ Please charge any required fees to **Deposit Account No. 50-4050.**

DO NOT USE THIS SPACE

8. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Karen K. McSwain, Reg. No. 64,306

Name of Person Signing

/Karen K. McSwain/

Signature

March 10, 2023

Date

Total number of pages including cover sheet, attachments, and documents: **6**

PATENT
REEL: 062952 FRAME: 0332

ASSIGNMENT

WHEREAS, WE, Eric E. Kuo, residing at 32 Muller Place, San Jose, CA 95126, and Vadim Matov, residing at 5605 Bellagio Drive, San Jose, CA 95118, and Larry Lai, residing at 1128 McKay Drive, San Jose, CA 95131, and Fuming Wu, residing at 4409 Valley Ave #E, Pleasanton, CA 94566, and Jihua Cheng, residing at 7958 McClellan Road, Apt. 3, Cupertino, CA 95014 made certain new and useful inventions and improvements for which we filed an application for Letters Patent of the United States entitled **INDIVIDUALIZED ORTHODONTIC TREATMENT INDEX**.

WHEREAS, We hereby authorize and request my attorneys, as listed on the Combined Declaration and Power of Attorney, at Brooks, Cameron & Huebsch, PLLC., located at 1221 Nicollet Avenue, Suite 500, Minneapolis, MN 55403, to insert here in parentheses (Serial No. 12771, 472 ; Filing Date: April 30, 2010) the application number and filing date of said application when known.

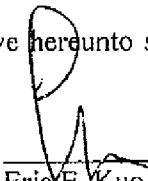
AND WHEREAS, Align Technology, Inc., a corporation organized and existing under and by virtue of the laws of the State of Delaware, and having an office and place of business at 881 Martin Avenue, Santa Clara, CA 95050, (hereinafter "Assignee") is desirous of acquiring the entire right, title, and interest in and to said inventions, improvements, and application and in and to any Letters Patent to be obtained therefore;

NOW THEREFORE, to all whom it may concern, be it known that for good and valuable consideration, the receipt and sufficiency whereof is hereby acknowledged, we have sold, assigned, and transferred, and by these presents do sell, assign, and transfer unto said Assignee, its successors or assigns, the right, title, and interest for all countries in and to all inventions and improvements disclosed in the aforesaid application, and in and to the said application, all divisions, continuations, continuations-in-part, or renewals thereof, all Letters Patent which may be granted therefrom, and all reissues or extensions of such patents, and in and to any and all applications which have been or shall be filed in any foreign countries for Letters Patent on the said inventions and improvements, including an assignment of all rights under the provisions of the International Convention, and all Letters Patent of foreign countries which may be granted therefrom; and we do hereby authorize and request the Commissioner of Patent and Trademarks to issue any and all United States Letters Patent for the aforesaid inventions and improvements to the said Assignee as the assignee of the entire right, title, and interest in and to the same, for the use of the said Assignee, its successors and assigns.

AND, for the consideration aforesaid, we do hereby agree that we and our executors and legal representatives will make, execute, and deliver any and all other instruments in writing including any and all further application papers, affidavits, assignments and other documents, and will communicate to said Assignee, its successors and representatives all facts known to us relating to said improvements and the history thereof and will testify in all legal proceedings and generally do all things which may be necessary or desirable to more effectually secure and vest in said Assignee, its successors or assigns the entire right, title, and interest in and to the said improvements, inventions, applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned, and conveyed, or intended so to be.

AND, furthermore we covenant and agree with said Assignee, its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by me/us and that full right to convey the same as herein expressed is possessed by us.

IN TESTIMONY WHEREOF, I have hereunto set my hand this 28 day of APRIL, 2010.


Eric E. Kuo

STATE OF _____)

COUNTY OF _____)

On this _____ day of _____, 2010 before me personally appeared Eric E. Kuo, known to me or identified as the person described in and who executed the foregoing instrument, and he/she duly acknowledged to me that he/she executed the same for the uses and purposes therein set forth.

[SEAL]

Notary Public

See Attached

IN TESTIMONY WHEREOF, I have hereunto set my hand this 18 day of April, 2010.

Vadim Matov
Vadim Matov

STATE OF _____)

COUNTY OF _____)

See Attached

On this ____ day of _____, 2010 before me personally appeared Vadim Matov, known to me or identified as the person described in and who executed the foregoing instrument, and he/she duly acknowledged to me that he/she executed the same for the uses and purposes therein set forth.

[SEAL]

Notary Public

IN TESTIMONY WHEREOF, I have hereunto set my hand this 28 day of 2010, 2010.

Larry Lai
Larry Lai

STATE OF _____)

COUNTY OF _____)

On this ____ day of _____, 2010 before me personally appeared Larry Lai, known to me or identified as the person described in and who executed the foregoing instrument, and he/she duly acknowledged to me that he/she executed the same for the uses and purposes therein set forth.

[SEAL]

Notary Public

See Attached

IN TESTIMONY WHEREOF, I have hereunto set my hand this 28th day of April, 2010.

Fuming Wu
Fuming Wu

STATE OF _____

See Attached

COUNTY OF _____

On this _____ day of _____, 2010 before me personally appeared Fuming Wu, known to me or identified as the person described in and who executed the foregoing instrument, and he/she duly acknowledged to me that he/she executed the same for the uses and purposes therein set forth.

[SEAL]

Notary Public

IN TESTIMONY WHEREOF, I have hereunto set my hand this 28th day of 2010, 2010.

Jihua Cheng
Jihua Cheng

STATE OF _____

See Attached

COUNTY OF _____

On this _____ day of _____, 2010 before me personally appeared Jihua Cheng, known to me or identified as the person described in and who executed the foregoing instrument, and he/she duly acknowledged to me that he/she executed the same for the uses and purposes therein set forth.

[SEAL]

Notary Public

ACKNOWLEDGMENT

State of California
County of Santa Clara

On April 28, 2010 before me, Linda R. Vajretti
(insert name and title of the officer)

personally appeared Eric Kuo, Vadim Matov, Larry Lai, Fuming Wu and Jihua Cheng,
who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) ~~is~~ are
subscribed to the within instrument and acknowledged to me that ~~he~~ she/they executed the same in
~~his~~ her/their authorized capacity(ies), and that by ~~his~~ her/their signature(s) on the instrument the
person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing
paragraph is true and correct.

WITNESS my hand and official seal.

Signature Linda R. Vajretti (Seal)

