

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

EPAS ID: PAT7880287

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
EQUILEND HOLDINGS LLC	03/31/2023
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	EQUILEND GLOBAL HUBCO LLC
<b>Street Address:</b>	225 LIBERTY ST., SUITE 1020
<b>City:</b>	NEW YORK
<b>State/Country:</b>	NEW YORK
<b>Postal Code:</b>	10281
<b>PROPERTY NUMBERS Total: 3</b>	
<b>Property Type</b>	<b>Number</b>
<b>Patent Number:</b>	8185466
<b>Patent Number:</b>	8682780
<b>Patent Number:</b>	8706610
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(212)504-6666
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Phone:</b>	212-504-6000
<b>Email:</b>	jennifer.chick@cwt.com
<b>Correspondent Name:</b>	CADWALADER, WICKERSHAM & TAFT LLP
<b>Address Line 1:</b>	200 LIBERTY STREET
<b>Address Line 4:</b>	NEW YORK, NEW YORK 10281
<b>ATTORNEY DOCKET NUMBER:</b>	93001.037
<b>NAME OF SUBMITTER:</b>	JENNIFER A. CHICK
<b>SIGNATURE:</b>	/Jennifer A. Chick/
<b>DATE SIGNED:</b>	04/03/2023
<b>Total Attachments: 4</b>	
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## Short Form Patent Assignment Agreement

THIS PATENT ASSIGNMENT (this "Assignment") is made and entered into on March 31, 2023 ("Effective Date"), by and between EquiLend Holdings LLC, a limited liability company organized and existing under the laws of the state of Delaware, ("Assignor"), in favor of EquiLend Global Hubco LLC, a limited liability company existing under the laws of the state of Delaware, registered with the Secretary of State of Delaware ("Assignee").

### W I T N E S S E T H

WHEREAS, the Assignor and Assignee entered into that certain Contribution and Assumption Agreement (the "**Contribution Agreement**") dated as of March 31, 2023; and

WHEREAS, pursuant to the Contribution Agreement, Assignor has agreed to convey, assign and transfer to Assignee, and Assignee has agreed to acquire and accept, all of Assignor's rights in and to certain intellectual property, including the patents and patent applications identified on Schedule 1 hereto (collectively, the "Patents").

NOW, THEREFORE, in accordance with the Contribution Agreement and in consideration of the mutual agreements set forth in this Assignment and the Contribution Agreement, and for other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the parties hereto agree as follows:

1. As of the Effective Date, Assignor hereby grants, conveys, transfers, assigns, bargains, sells, delivers and sets over unto Assignee, its successors and assigns, all of Assignor's right, title and interest in, to and under (i) the Patents in the United States and foreign counterparts of such Patents throughout the world, all patents or patent applications that have been or may hereafter be filed or granted for any improvements and inventions disclosed and/or claimed in the Patents throughout the world, including any and all reissues, continuations, continuations-in-part, divisionals, reexaminations, renewals, or extensions thereof, and all rights of priority under international conventions and, any patents or patent applications claiming priority thereto, and any and all patents issuing from any of the foregoing patent applications, and (ii) all income, royalties, proceeds and liabilities at any time due or payable or asserted under and with respect to the Patents, including, without limitation, all rights to sue and recover at law or in equity for any past, present and future infringement, misappropriation, violation or other impairment thereof.

2. Assignor hereby authorizes the Commissioner of Patents in the United States Patent and Trademark Office, and the corresponding entities or agencies in any applicable foreign countries, to record Assignee as the assignee of all of Assignor's right, title and interest in and to the Patents in the appropriate jurisdiction and to deliver to Assignee, and to Assignee's attorneys, agents, successors or assigns, all official documents and communications, and to issue any Patents to and in the name of the Assignee. The parties hereby acknowledge and affirm that their respective rights in and to the Patents are more fully set forth in the Contribution Agreement and this Assignment is subject to the Contribution Agreement. In the event of any conflict between the terms of this Assignment and the terms of the Contribution Agreement, the terms of the Contribution Agreement shall control.

3. This Assignment is made without warranty, representation, or guaranty by any party of any kind or nature, express, implied, or otherwise.

4. From time to time, pursuant to Assignee's request, and without further consideration by Assignee, Assignor shall execute, deliver and acknowledge such other instruments and documents of conveyance and transfer or assumption and shall take such other actions and shall execute and deliver such other documents, certifications and further assurances as Assignee may reasonably request in order to vest and confirm more effectively in Assignee title to or to put Assignee more fully in legal possession of, or to enable Assignee to use, any of the Patents including, without limitation, in order to enable Assignee (at Assignee's expense) to record its ownership of the Patents in relevant U.S. and foreign local, state and national patent offices.

5. This Assignment may be executed in counterparts, each of which shall be deemed an original and all of which shall be deemed to constitute the same agreement. If any signature is delivered by email in PDF or similar electronic means, such signature shall create a valid and binding obligation of the party on whose behalf the signature is executed, with the same force and effect as if such PDF signature were an original thereof.

6. This Assignment shall be governed by, and construed in accordance with, the laws of the State of Delaware without reference to its choice of law doctrine.

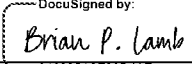
7. In case any one or more of the provisions contained in this Assignment should be held invalid, illegal or unenforceable in any respect, the validity, legality and enforceability of the remaining provisions contained herein and in the Assignment shall not in any way be affected or impaired thereby (it being understood that the invalidity of a particular provision in a particular jurisdiction shall not in and of itself affect the validity of such provision in any other jurisdiction). The parties hereto shall endeavor in good-faith negotiations to replace the invalid, illegal or unenforceable provisions with valid provisions the economic effect of which comes as close as possible to that of the invalid, illegal or unenforceable provisions.

*[Remainder of page intentionally blank; signatures on following page]*

IN WITNESS WHEREOF, each party has caused this Assignment to be executed by its duly authorized officer as of the date first written above.

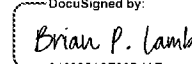
**ASSIGNOR**

EQUILEND HOLDINGS LLC

By:   
Name: Brian P. Lamb  
Title: CEO, Authorized Signatory

**ASSIGNEE**

EQUILEND GLOBAL HUBCO LLC

By:   
Name: Brian P. Lamb  
Title: CEO, Authorized Signatory

# SCHEDULE 1

## Patents

Title	Country	Patent No.	Issue Date	Owner
System and Method for Securities Borrowing and Lending	United States of America	8,185,466	May 22, 2012	EquiLend Holdings LLC
Systems and Methods for Electronically Initiating and Executing Securities Lending Transactions	United States of America	8,682,780	March 25, 2014	EquiLend Holdings LLC
Systems and Methods for Electronically Initiating and Executing Securities Lending Transactions	United States of America	8,706,610	April 22, 2014	EquiLend Holdings LLC

PATENT

REEL: 063200 FRAME: 0709

RECORDED: 04/03/2023