

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

EPAS ID: PAT7896470

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
SWALLOW SOLUTIONS, LLC	12/28/2018
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	IDEA FUND INVESTMENT COMPANY
<b>Street Address:</b>	232 3RD STREET N., SUITE 202
<b>City:</b>	LA CROSSE
<b>State/Country:</b>	WISCONSIN
<b>Postal Code:</b>	54601
<b>PROPERTY NUMBERS Total: 5</b>	
<b>Property Type</b>	<b>Number</b>
<b>Patent Number:</b>	9474483
<b>Patent Number:</b>	9974473
<b>Application Number:</b>	61864994
<b>Application Number:</b>	61877712
<b>Application Number:</b>	61889930
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Phone:</b>	414.271.6560
<b>Email:</b>	nshimakawaurena@michaelbest.com
<b>Correspondent Name:</b>	MICHAEL BEST & FRIEDRICH LLP
<b>Address Line 1:</b>	790 N. WATER STREET, STE. 2500
<b>Address Line 4:</b>	MILWAUKEE, WISCONSIN 53202
<b>ATTORNEY DOCKET NUMBER:</b>	209129-0002
<b>NAME OF SUBMITTER:</b>	NAOKO SHIMAKAWA-URENA
<b>SIGNATURE:</b>	/Naoko Shimakawa-Urena/
<b>DATE SIGNED:</b>	04/12/2023
<b>Total Attachments: 4</b>	
source=Executed Assignment of Patents (from Swallow Solutions, LLC to Idea Fund Investment Company)#page1.tif	

source=Executed Assignment of Patents (from Swallow Solutions, LLC to Idea Fund Investment Company)#page2.tif

source=Executed Assignment of Patents (from Swallow Solutions, LLC to Idea Fund Investment Company)#page3.tif

source=Executed Assignment of Patents (from Swallow Solutions, LLC to Idea Fund Investment Company)#page4.tif

## PATENT ASSIGNMENT

This Assignment was made by and between **Swallow Solutions, LLC**, a Wisconsin limited liability company having its principal offices at 401 Charmany Drive, Suite 315, Madison, WI 53719 (hereinafter, individually and collectively the "Assignor"), and **Swallow Therapeutics Company**, formerly known as **Idea Fund Investment Company**, a Wisconsin Corporation having its principal offices at 232 3<sup>rd</sup> Street N., Suite 202, La Crosse, WI 54601 (hereinafter, individually and collectively the "Assignee").

Whereas Assignor was the owner of all right, title and interest in:

U.S. Patent No. 9,474,483 entitled SWALLOWING ASSESSMENT AND IMPROVEMENT SYSTEMS AND METHODS, the patent having a filing date of August 12, 2014, and an issue date of October 25, 2016;

U.S. Patent No. 9,974,473 entitled SWALLOWING ASSESSMENT AND IMPROVEMENT SYSTEMS AND METHODS, the patent having a filing date of October 21, 2016, and an issue date of May 22, 2018;

U.S. Provisional Patent Application No. 61/864,994 entitled SWALLOWING ASSESSMENT AND IMPROVEMENT SYSTEMS AND METHODS, the application having a filing date of August 12, 2013;

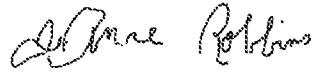
U.S. Provisional Patent Application No. 61/877,712 entitled SWALLOWING ASSESSMENT AND IMPROVEMENT SYSTEMS AND METHODS, the application having a filing date of September 13, 2013; and

U.S. Provisional Patent Application No. 61/889,930 entitled SWALLOWING ASSESSMENT AND IMPROVEMENT SYSTEMS AND METHODS, the application having a filing date of October 11, 2013 (hereinafter individually and collectively the "Patents").

Whereas, Assignor assigned all right, title, and interest to the Patents and associated innovations to Assignee on **December 28, 2018**, in accordance with a Contribution Agreement between Assignor and Assignee (in the name of **Idea Fund Investment Company**).

Assignor hereby confirms its obligation to and hereby irrevocably sells, assigns, transfers and conveys, unto Assignee, and its successors and assigns, Assignor's entire worldwide right, title and interest, in and to the Patents.

IN WITNESS WHEREOF, Assignor has caused this confirmatory assignment document to be duly executed this 8<sup>th</sup> day of April, 2023.



---

Name: JoAnne Robbins  
A Director  
**Swallow Solutions, LLC**

## SIGNATURE CERTIFICATE



## REFERENCE NUMBER

04F12C6D-4FC5-42A6-94D9-E5C5D1306C7C

## TRANSACTION DETAILS

## Reference Number

04F12C6D-4FC5-42A6-94D9-E5C5D1306C7C

## Transaction Type

Signature Request

## Sent At

04/07/2023 15:08 CDT

## Executed At

04/08/2023 13:45 CDT

## Identity Method

email

## Distribution Method

email

## Signed Checksum

2da7116f988ffc992a95f3b8a2306309637b42eee5e6a648cd5c527f52eb53a1

## Signer Sequencing

Disabled

## Document Passcode

Disabled

## DOCUMENT DETAILS

## Document Name

Assignment Of Patents 01469078x9fc14

## Filename

assignment\_of\_patents\_01469078x9fc14\_.docx

## Pages

2 pages

## Content Type

application/vnd.openxmlformats-officedocument.wordprocessingml.document

## File Size

24.7 KB

## Original Checksum

f34f8741556b3f615043dcb91fc4977855b555d974058f6304c46c055fcaaab5

## SIGNERS

## SIGNER

## Name

JoAnne Robbins

## Email

joannerobbins8@gmail.com

## Components

2

## E-SIGNATURE

## Status

signed

## Multi-factor Digital Fingerprint Checksum

1fc85733cf3db7c34b3f04a182c587fc199afa63542d79f6bcd3c93a6e129ec3

## IP Address

73.72.221.211

## Device

Chrome via Mac

## Drawn Signature

## Signature Reference ID

2542A2B8

## Signature Biometric Count

10

## Drawn Signature

## Signature Reference ID

F452C6A2

## Signature Biometric Count

4

## EVENTS

## Viewed At

04/08/2023 13:42 CDT

## Identity Authenticated At

04/08/2023 13:45 CDT

## Signed At

04/08/2023 13:45 CDT

## AUDITS

## TIMESTAMP

## AUDIT

04/07/2023 15:08 CDT

Kent Schlienger (kschlienger@neiderboucher.com) created document 'assignment\_of\_patents\_01469078x9fc14\_.docx' on Chrome via Windows from 128.92.110.34.

04/07/2023 15:08 CDT

JoAnne Robbins (joannerobbins8@gmail.com) was emailed a link to sign.

04/07/2023 15:23 CDT

JoAnne Robbins (joannerobbins8@gmail.com) viewed the document on Mobile Safari via iOS from 207.181.200.28.

PATENT

REEL: 063297 FRAME: 0744

04/07/2023 15:23 CDT	JoAnne Robbins (joannerobbins8@gmail.com) viewed the document on Mobile Safari via iOS from 207.181.200.28.
04/07/2023 15:24 CDT	JoAnne Robbins (joannerobbins8@gmail.com) viewed the document on Mobile Safari via iOS from 207.181.200.28.
04/07/2023 15:26 CDT	JoAnne Robbins (joannerobbins8@gmail.com) viewed the document on Mobile Safari via iOS from 207.181.200.28.
04/08/2023 13:42 CDT	JoAnne Robbins (joannerobbins8@gmail.com) viewed the document on Chrome via Mac from 73.72.221.211.
04/08/2023 13:42 CDT	JoAnne Robbins (joannerobbins8@gmail.com) viewed the document on Chrome via Windows from 67.219.201.132.
04/08/2023 13:45 CDT	JoAnne Robbins (joannerobbins8@gmail.com) authenticated via email on Chrome via Mac from 73.72.221.211.
04/08/2023 13:45 CDT	JoAnne Robbins (joannerobbins8@gmail.com) signed the document on Chrome via Mac from 73.72.221.211.