### PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT7896466

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	RELEASE OF SECURITY INTEREST

#### **CONVEYING PARTY DATA**

Name	Execution Date
WESTERN ALLIANCE BANK	02/08/2023

#### **RECEIVING PARTY DATA**

Name:	CHARTIQ INC.	
Street Address:	609 E MARKET ST. SUITE 111	
City:	CHARLOTTESVILLE	
State/Country:	VIRGINIA	
Postal Code:	22902	

#### **PROPERTY NUMBERS Total: 3**

Property Type	Number	
Application Number:	15980981	
Application Number:	15980973	
Application Number:	15980962	

#### **CORRESPONDENCE DATA**

Fax Number:

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** 804-343-5032

**Email:** timothy.bechen@wrvblaw.com

Correspondent Name: TIMOTHY BECHEN C/O WOODS ROGERS VANDEVENTER BLACK

Address Line 1: 901 EAST BYRD STREET, SUITE 1550

Address Line 4: RICHMOND, VIRGINIA 23219

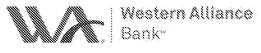
NAME OF SUBMITTER:	TIMOTHY BECHEN
SIGNATURE:	/TIMOTHY J. BECHEN/
<b>DATE SIGNED:</b> 04/12/2023	
This document serves as an Oath/Declaration (37 CFR 1.63).	

#### **Total Attachments: 9**

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PATENT 507849330 REEL: 063308 FRAME: 0946





Member FDIC

February 8, 2023

Chartiq Inc 609 E Market St Suite 111 Charlottesville, VA 22902

RE: REASSIGNMENT AND RELEASE OF IP SECURITY INTEREST

This Reassignment and Release of Intellectual Property Security Interest is made as of February 8, 2023, by WESTERN ALLIANCE BANK, an Arizona corporation ("Lender"), in favor CHARTIQ INC., a Delaware corporation, "Grantor".

Grantor assigned certain interests in the patents, trademarks and copyrights (the "Intellectual Property"), to Lender under an Intellectual Property Security Agreement dated as of July 22, 2022, as may have been amended from time to time between Lender and Grantor (the "Security Agreement") and recorded with the U.S. Patent and Trademark Office and/or the U.S. Copyright Office, which is attached hereto as Exhibit A, B, C and D.

Lender acknowledges that Company has satisfied its obligations under the Security Agreement, and Lender hereby releases all security interests that Lender may have in the Intellectual Property and reassigns it to Company without warranty or recourse and authorizes the recordation of this Reassignment and Release of IP Security Interest with the United States Patent and Trademark Office at the expense of Grantor.

Western Alliance Bank, an Arizona corporation

Embalsado

Name: Pegjay Embalsado

Title: Loan Servicing Admin, II

Enclosures

#### INTELLECTUAL PROPERTY SECURITY AGREEMENT

This Intellectual Property Security Agreement (this "Agreement") is entered into as of July 22, 2022, by and between WESTERN ALLIANCE BANK, an Arizona corporation, ("Bank") and CHARTIQ INC., a Delaware corporation, with its principal place of business located at 609 East Market Street, Suite 111, Charlottesville, Virginia 22902 ("Grantor").

#### RECITALS

- A. Bank has agreed to make certain advances of money and to extend certain financial accommodations to Grantor (the "Loans") in the amounts and manner set forth in that certain Loan and Security Agreement, by and between Bank and Grantor, dated as of the date hereof (as the same may be amended, modified or supplemented from time to time, the "Loan Agreement"; capitalized terms used herein are used as defined in the Loan Agreement). Bank is willing to make the Loans to Grantor, but only upon the condition, among others, that Grantor shall grant to Bank a security interest in its Copyrights, Trademarks, Patents, and Mask Works (as each term is described below) to secure the obligations of Grantor to Bank.
- B. Pursuant to the terms of the Loan Agreement, Grantor has granted to Bank a security interest in all of Grantor's right, title and interest, whether presently existing or hereafter acquired, in, to and under all of the Collateral.

NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, and intending to be legally bound, as collateral security for the prompt and complete payment when due of Grantor's obligations to Bank, Grantor hereby represents, warrants, covenants and agrees as follows:

#### AGREEMENT

- 1. <u>Grant of Security Interest</u>. To secure Grantor's obligations to Bank, Grantor grants and pledges to Bank a security interest in all of Grantor's right, title and interest in, to and under its intellectual property (all of which shall collectively be called the "Intellectual Property Collateral"), including, without limitation, the following:
- (a) Any and all copyright rights, copyright applications, copyright registrations and like protections in each work of authorship and derivative work thereof, whether published or unpublished and whether or not the same also constitutes a trade secret, now or hereafter existing, created, acquired or held, including without limitation those set forth on Exhibit A attached hereto (collectively, the "Copyrights");
- (b) Any and all trade secrets, and any and all intellectual property rights in computer software and computer software products now or hereafter existing, created, acquired or held;

- (c) Any and all design rights that may be available to Grantor now or hereafter existing, created, acquired or held;
- (d) All patents, patent applications and like protections including, without limitation, improvements, divisions, continuations, renewals, reissues, extensions and continuations-in-part of the same, including without limitation the patents and patent applications set forth on Exhibit B attached hereto (collectively, the "Patents");
- (e) Any trademark and servicemark rights, whether registered or not, applications to register and registrations of the same and like protections, and the entire goodwill of the business of Grantor connected with and symbolized by such trademarks, including without limitation those set forth on Exhibit C attached hereto (collectively, the "Trademarks");
- (f) All mask works or similar rights available for the protection of semiconductor chips, now owned or hereafter acquired, including, without limitation those set forth on Exhibit D attached hereto (collectively, the "Mask Works");
- (g) Any and all claims for damages by way of past, present and future infringements of any of the rights included above, with the right, but not the obligation, to sue for and collect such damages for said use or infringement of the intellectual property rights identified above:
- (h) All licenses or other rights to use any of the Copyrights, Patents, Trademarks, or Mask Works and all license fees and royalties arising from such use to the extent permitted by such license or rights;
- (i) All amendments, extensions, renewals and extensions of any of the Copyrights, Trademarks, Patents, or Mask Works; and
- (j) All proceeds and products of the foregoing, including without limitation all payments under insurance or any indemnity or warranty payable in respect of any of the foregoing.

Notwithstanding anything to the contrary herein, the Intellectual Property Collateral shall not include any United States intent-to-use trademark or service mark applications filed pursuant to Section 1(b) of the Lanham Act, 15 U.S.C. § 1051, at all times prior to the filing of a "Statement of Use" pursuant to Section 1(d) of the Lanham Act or an "Amendment to Allege Use" pursuant to Section 1(c) of the Lanham Act with respect thereto with the United States Patent and Trademark Office or otherwise.

- 2. <u>Recordation</u>. Grantor authorizes the Commissioner for Patents, the Commissioner for Trademarks and the Register of Copyrights and any other government officials to record and register this Agreement upon request by Bank.
- 3. <u>Authorization</u>. Grantor hereby authorizes Bank to (a) modify this Agreement unilaterally by amending the exhibits to this Agreement to include any Intellectual Property Collateral which Grantor obtains subsequent to the date of this Agreement, and (b) file a

duplicate original of this Agreement containing amended exhibits reflecting such new Intellectual Property Collateral.

- 4. <u>Loan Documents</u>. This Agreement has been entered into pursuant to and in conjunction with the Loan Agreement, which is hereby incorporated by reference. The provisions of the Loan Agreement shall supersede and control over any conflicting or inconsistent provision herein. The rights and remedies of Bank with respect to the Intellectual Property Collateral are as provided by the Loan Agreement and related documents, and nothing in this Agreement shall be deemed to limit such rights and remedies.
- 5. Execution in Counterparts. This Agreement may be executed in counterparts (and by different parties hereto in different counterparts), each of which shall constitute an original, but all of which when taken together shall constitute a single contract. Delivery of an executed counterpart of a signature page to this Agreement by facsimile or in electronic (i.e., "pdf" or "tif" format) shall be effective as delivery of a manually executed counterpart of this Agreement.
- 6. <u>Successors and Assigns</u>. This Agreement will be binding on and shall inure to the benefit of the parties hereto and their respective successors and assigns.
- 7. Governing Law. This Agreement and any claim, controversy, dispute or cause of action (whether in contract or tort or otherwise) based upon, arising out of or relating to this Agreement and the transactions contemplated hereby and thereby shall be governed by, and construed in accordance with, the laws of the United States and the State of California, without giving effect to any choice or conflict of law provision or rule (whether of the State of California or any other jurisdiction).

[Signature page follows.]

IN WITNESS WHEREOF, the parties have caused this Intellectual Property Security Agreement to be duly executed by its officers thereunto duly authorized as of the first date written above.

GRANTOR:

CHARTIQ INC.

By: Varial Schleifer
Name: Daniel Schleifer

Name: Daniel Schiefer

Title: Chief Executive Officer

BANK:

WESTERN ALLIANCE BANK, AN ARIZONA CORPORATION

By: Mark Simmerson

Name: Mark Summerson Title: Vice President

# EXHIBIT A

# Copyrights

Description	Registration/ Application Number	Registration/ Application Date
stxKernel.js	TX0008030939	2015-06-02
ChartiQ STX	TX0008388291	2017-04-12
Charting Library		
Finsemble	TX0008387638	2017-04-07

# EXHIBIT B

### Patents

<u>Description</u>	Registration/ Application Number	Registration/ Application <u>Date</u>
SYSTEM AND METHOD FOR INTEGRATING MULTIPLE APPLICATIONS	15/980981	2018-05-16
ASSIMILATION METHOD AND SYSTEM FOR BROWSER AND NON-BROWSER DISPLAY WINDOW	15/980973	2018-05-16
System And Method For Integrating Multiple Applications Operating On A Shared Platform	15/980962	2018-05-16

# EXHIBIT C

# Trademarks

Description	Registration/ Application Number	Registration/ Application Date
ChartIQ	5483977	2018-06-05
FINSEMBLE	5656654	2019-01-15
Cosaic	6555939	2021-11-09

EXHIBIT D

Mask Works

None.