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EPAS ID: PAT7898231

01/21/2014

	Name	Execution Date	
CONVEYING PARTY DATA			
RESUBMIT DOCUMENT ID:	507823201		
NATURE OF CONVEYANCE:	ASSIGNMENT		
SUBMISSION TYPE:	RESUBMISSION		

ZHONGXING YU

RECEIVING PARTY DATA

Name:	SPREADTRUM COMMUNICATIONS (SHANGHAI) CO., LTD.
Street Address:	SPREADTRUM CENTER, BUILDING NO. 1, LANE 2288
Internal Address:	ZUCHONGZHI ROAD, CHINA (SHANGHAI) PILOT FREE TRADE ZONE
City:	SHANGHAI
State/Country:	CHINA

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	17855534

CORRESPONDENCE DATA

Fax Number:

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T, PC
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ATTORNEY DOCKET NUMBER:	135783-273174
NAME OF SUBMITTER:	HEATHER KULIN
SIGNATURE:	/Heather Kulin/
DATE SIGNED:	04/12/2023

Total Attachments: 22

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NATURE OF CO	NVEYANCE	CONFIDENTIAL INFORMAGREEMENT	MATION AND PATENT ASSIGNMENT
CONVEYING PA	ARTY DATA		
		Name	Execution Date
ZHONGXING YI	U		01/21/2014
Name: Street Address:		RUM COMMUNICATIONS (SHA RUM CENTER, BUILDING NO.	
RECEIVING PAI	RTY DATA		
Street Address:			
Internal Address	ZUCHONC	GZHI ROAD, CHINA (SHANGHA	AI) PILOT FREE TRADE
City:	SHANGHA	AI	
State/Country:	CHINA		
PROPERTY NUN	MBERS Tota	l: 1	
Property	Туре	Num	ber
Property Application Nun		Num 17855534	ber
<u></u>	nber:		ber
Application Nun	nber:		ber

REEL: 063310 FRAME: 0166

number, if provided; if that is unsuccessful, isCorrespondent Name:SCHWABEAddress Line 1:1211 SW 57Address Line 2:SUITE 1900	, WILLIAMSON & WYATT, PC TH AVENUE
ATTORNEY DOCKET NUMBER:	135783-273174
NAME OF SUBMITTER:	HEATHER KULIN
Signature:	/Heather Kulin/
Date:	03/28/2023
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展讯通信(上海)有限公司 保密信息及发明转让协议

本保密信息及发明转让协议("协议")由展讯通信(上海)有限公司(地址: 上海浦东张江祖冲之路 2288 弄展讯中心 1 号楼),一家依中华人民共和国("中国") 法律组建存续的公司("公司"),和APEX(身份证号码: 3308-21/1802-07 28/7 [护 照号码:],以下简称"我"),在平等自愿协商的基础上,

于2014年 1月 71 日签订。

鉴于我参加了公司的[包括但不限于]项目及项目的后续研发("项目研发"),而项目研 发是我执行公司的任务,且项目研发是完全利用公司的物质技术条件。因此,我同意就公 司的保密信息及知识产权与公司达成本协议条款如下:

(1) <u>保密信息</u>

(a)公司信息。我同意,在我受雇于公司期间以及雇用期限终止后对公司及公司的关联公司(包括但不限于公司的母公司、子公司、公司投资或持有股份的其他公司、公司的业务合作方,公司和关联公司以下称统称"集团公司")的保密信息进行严格保密,除非得到集团公司的许可,我不使用保密信息,并且在未经集团公司书面同意的情况下,不向任何个人、其他公司或其他组织披露任何保密信息。我理解,"保密信息"指的是:集团公司、集团公司的客户、顾客或合作伙伴的及集团公司的客户、顾客或合作伙伴以及集团公司的许可方直接或间接以书面、口头或零部件或设备的图纸或观测数据的方式向我披露的、或我从该等各方直接或间接以该等方式取得的:技术数据、商业秘密、研发信息、产品规划、服务、客户名单和客户(包括但不限于,我在雇用期内所联系的或逐渐熟悉的集团公司客户)、供应商名单和供应商、软件、开发、发明、程序、配方、技术、设计、图纸、工程管理、硬件配置信息、人事信息、营销、财务或其他业务信息。

(b) 公司财产。我理解,与我工作有关或利用集团公司的设施创造的、 收到的或传送的所有文件(包括电脑记录、传真和电子邮件)和资料属于集团公司 财产,这些财产在任何时候都处于集团公司的监控之下。当我与公司的雇用关系结 束时(或在其他任何时间当公司要求时),我将及时交给公司与我在公司的工作相 关的所有文件和资料,并提供我已遵守本协议的书面确认文件。在我雇用期限终止 后,任何情况下都不得占有集团公司的任何财产,或含有保密信息的任何文件或资 料或复印件。

(c)<u>前任雇主信息</u>。我申明,我与前雇主之间不存在任何的不竞争约 定,我对前雇主不承担任何的不竞争义务。我同意,在我为**公司**工作期间,我将不 会不正当地使用或披露任何其他个人或组织的商业秘密或我已签署协议或有义务为



PATENT REEL: 063310 FRAME: 0168 - 其就以下信息保密的任何前任雇主或其他个人或组织的专有信息,并且除非经过该 等雇主、个人或组织的书面同意,我不会将任何属于该等雇主、个人和组织的任何 尚未发表的文件或专有信息带入**集团公司**的场所。如果由于**集团公司**使用该类由我 不正当使用或披露的他人的专有信息或商业秘密而导致对第三方的任何侵权行为或 声称的侵权行为,而使**集团公司**因此或与此相关而遭受任何请求、责任、损害赔偿 以及费用,包括因解决争议而发生的合理的律师费和开支,我同意对**集团公司**作出 赔偿,使其免受损害。

(d) 第三方信息。我认同,集团公司已经并且将来会从第三方收到该等第三方的保密或专有信息,而且集团公司有义务为该等信息保密,并且仅可将之用于某些限定的目的。我同意对所有该等保密或专有信息予以最严格的保密,不将其披露给任何个人、公司或其他组织机构;并且除非在我为公司完成工作的必要限度内并在符合集团公司与该第三方协议的条件下才使用该等信息。

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(a)保留和许可的发明。我已经在本协议附件一列出了属于我所有的, 与集团公司计划或当前的业务、产品或研发有关的,我没有在此转让给集团公司任 一成员的,在我受雇于公司之前即由我作出的全部发明、设计、具有署名权的原创 作品、程序、配方、电脑软件程序、数据库、集成电路布局设计/掩膜作品、开发、 概念、改良或商业秘密(合称"受雇前发明"); 否则,如果没有这样一个附件,我 声明不存在该等受雇前发明。我同意我将不会把任何受雇前发明融入集团公司的产 品、程序或机械:但是、在我受雇于公司的期间内、如果我将任何自身拥有的或享 有权益的受雇前发明融入集团公司的产品、程序或机械中,我声明:我拥有所有必 要的权利、权限和授权、以按照当前使用的方式使用该等受雇前发明,并且该等使 用将不会侵犯任一公司、组织或个人的任何权利;而且在该等情况下,特此授予集 团公司的每一成员,且该等每一成员均享有该等受雇前发明的非排他性的、免许可 费的、可再许可的、可转让的、不可撤销的、永久的、全世界范围的许可,他们有 权作为该等产品、工序或机械的一部分或为该等产品、工序或机械之相关目的制造、 使他人制造、修改、使用、出售并以其他方式利用该等受雇前发明。如果因集团公 司使用、再许可、修改、转让或出售该等任一受雇前发明而导致对第三方的任何侵 权行为或声称的侵权行为,而使集团公司因此或与此相关而遭受任何请求、责任、 损害赔偿以及费用,包括因解决争议而发生的合理的律师费及开支,我同意赔偿集 团公司,使其免受损害。

(b) 发明之披露和转让。"

(i) 我在受雇于公司期间(不论是否在工作时间之内)以及与公司的雇用关系终止后的十二(12)个月内,与我雇用工作范围相关的,或者以任何方式运用集团公司资源所单独、与他人合作或促成他人制造、创作、设计、发明、开发、投入应用或以其他形式获得的任何及所有发明、设计、具有署名权的原创作品、程序、配方、电脑软件程序、数据库、掩膜作品、开发、概念、改良或商业秘密(合称"发)

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明"),不论其可否依据专利权、电路布局设计、著作权或其他法律在中华人民共和国("中国")或世界其他任何地方申请专利或注册,本人都应及时地以书面形式通 知**公司**并向其披露详细内容。若该履行以某些人(包括但不限于任何合作发明者) 的同意为前提,本人应及时通知他们。

(ii) 我同意公司对属于中华人民共和国《专利法》、《著作权法》、《计算 机软件保护条例》、《集成电路布图设计保护条例》及其他适用法律法规中界定为"职 务发明"或"职务作品"的任何所有发明均享有绝对的所有权、权利和利益。

(iii)我特此将不属于中华人民共和国《专利法》、《著作权法》、《计算机 软件保护条例》、《集成电路布图设计保护条例》及其他适用法律法规中界定为"职 务发明"或"职务作品"的一切发明转让给公司或其指定的人。 我认可, **公司**应 为所有该等发明的全部权利、所有权和权益之唯一所有权人。如果由于任何原因前 述将该等发明转让予**公司**的行为无效,特此授予**集团公司**的每一成员,且该等每一 成员均享有该等发明的免许可费的、可再许可的、可转让的、不可撤销的、永久的、 全世界范围的许可,他们有权作为任何产品、工序或机械的一部分或为该等产品、 工序或机械之相关目的制造、使他人制造、修改、使用、出售并以其他方式利用该 等发明。我在此同时永远地放弃和同意永远不主张自身在任何发明中或就任何发明 所可能享有的任何权利,即使在我与**公司**的雇用关系结束后。

(c) 记录的保存。我同意保存并维持充足和现有的有关所有发明的书面记录。这些记录将会以注释、草图、图纸以及公司指定的任何其他形式保存。这些记录将在任何时间对公司开放,且为公司独享的财产。

(d) <u>权利的注册。</u>我同意协助公司或其相应指定人,在公司承担费用 的情况下,通过所有的正当途径,保护公司在任何及所有国家对发明享有的权利, 进一步证明、登记和完善我在本协议项下将发明授予或转让予公司或其相应指定 人,并完善、取得、维护、强制执行和保护任何该等授予或转让的权利。这些协助 包括向公司披露所有与发明相关的信息和数据,签署所有的申请书、说明书、宣誓 书、转让书以及公司认为为了申请及取得该等权利或为了将有关该等发明的独享和 排他的权利、产权及利益授予或转让给公司、它的继任人、受让人或指定人而必需 的所有其他文件。我进一步同意,在我的能力范围内,我签署或促使该类文件被签 署的义务即使在本协议终止后仍将继续。如果因我的精神或身体障碍或其他任何原 因以致公司无法获取我的签名用以进行任何与发明相关的活动,包括但不限于申请 或申请注册有关任何该等发明的任何在中国或其他国家注册的专利、著作权或其他 权利,我在此不可撤销地指定和委派公司及其正式授权的高级职员和代理人作为我 的代理人和授权人,代表并代替我去签署和递交任何文件、宣誓以及进行其他一切 与该等发明相关的法律许可的行动,上述代理行为具有和我本人亲自签署相同的法 律效力和效果。

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(4) 对新雇主的通知。在我与公司解除雇用关系的情况下,我在此同意,公司可将我在本协议下的权利和义务通知我的新雇主。

(5) <u>不劝诱。</u>我同意,在我受雇于公司期间内以及因为任何原因与公司的雇用关系终止后接下来的十二个(12)个月内,不论这种雇用关系的终止是否事出有因,我将不会直接或间接的引诱、促使、招募或鼓励集团公司的任何雇员离职,或 是无论是为自己还是为其他个人或组织,带走,或试图引诱、促使、招募、鼓励或 带走集团公司的雇员和/或集团公司的任何供应商、客户或顾问.

(6) <u>声明。</u>我同意签署因执行本协议条款所要求的任何的宣誓书或验证任何 适当的文件。我声明,我对本协议项下所有条款的履行将不会违反在我受雇于公司 前签订的针对因信赖或信托关系掌握的专有信息而签订的其他任何保密协议。我没 有签订,而且同意将来也不会签订任何与本协议相冲突的口头或书面协议。

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(a) <u>仲裁</u>。我同意,因对本协议的任何解释、释义、履行或违约引起 的或与之相关或有关的任何争议或纠纷,均应提交中国国际经济贸易仲裁委员会 ("CIETAC")通过仲裁解决。仲裁应按照当时适用的 CIETAC 仲裁规则在上海进 行。如 CIETAC 允许,则仲裁应由三(3) 名仲裁员主持。其中两名仲裁员由公司 和我各选派一名;第三名仲裁员应由 CIETAC 指派或由公司和我一致选派。仲裁员 可在该等争议或纠纷中签发禁止令或采取其他救济措施。仲裁裁决应为终局的,结 论性的,且对仲裁双方均具约束力。为执行仲裁裁决之目的,任何有管辖权的法院 均可就仲裁裁决作出判决。公司和我应对半分摊该等仲裁的仲裁费和开支,并且我 们每一方应各自支付自己的律师费和开支。

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(8) 一般条款。

(a) <u>本协议的性质。</u>我认可,我受雇于公司的条款和条件规定在我与 公司之间另行签署的一份雇用合同中,本协议任一规定不得解释为赋予我成为公司 雇员的任何权利。并且我进一步认识到,本协议是我和公司之间关于保密信息及知识 产权内容的民事合同,本协议不应也不得理解为我和公司之间的劳动合同。 (b) 适用法律。本协议受中国相关知识产权法律,包括但不限于《专利法》、《著作权法》、《集成电路布图设计保护条例》、《计算机软件保护条例》及其他适用法律法规国际公约管辖。

(c) <u>完整协议。</u>本协议构成公司和我对本合同标的的完整协议和理解, 并取代双方之间以前所有的讨论。除非经承担义务一方的书面签署,任何对本协议 的修改或添加,或对本协议项下任何权利的放弃均不产生效力。任何以后关于我工 作职责、薪水或补偿金的变化均不影响本协议的有效性或范围。

(d) <u>寿权和可分割性。</u>对本协议任何条款的违约行为的不追究并不构成或被视为对其他或今后任何违约的行为的不追究。如果本协议的任何条款被认为 无效、失效或不能强制执行,则剩余的条款仍应具有完全的效力和有效性,在任何 方面均不受影响或失效。

(e) <u>继任人和受让人。</u>本协议对于我的继承人、指定执行遗嘱人、遗 产管理人和其他的法定代表人均具有约束力,并为集团公司及其继任人和受让人的 利益而订立。公司可将其在本协议项下的权利和义务转让给第三方。

(f)本协议的适用。 我特此同意,本协议第1和第2部分中规定的我的义务以及本协议中的"保密信息"和"发明"的定义应同等适用于我在签署本协议之前为公司所做的任何工作以及与之相关的任何保密信息和发明。

[本页以下部分特意留空]

本保密信息和发明转让协议于我开始受雇于公司之日起生效。



授权代表(打印或正楷)

公

日期

保密信息及发明转让协议(中国雇员)签字页

PATENT REEL: 063310 FRAME: 0173

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受雇前发明清单

日期

名称

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识别号码或简要描述





PATENT - REEL: 063310 FRAME: 0174

VERIFICATION OF TRANSLATION

I hereby declare and state that I am fluent in both the Chinese and English languages and that I made and reviewed the attached translation of <u>Confidential Information and</u> <u>Patent Assignment Agreement of Zhongxing Yu</u> from the Chinese language into the English language, and that I believe my attached translations to be accurate, true and correct to the best of my knowledge and ability.

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Confidential Information and Patent Assignment Agreement of

Spreadtrum Communications (Shanghai) Co., Ltd.

This agreement, dated January 21, 2014, is signed between Spreadtrum Communications (Shanghai) Co., Ltd. ("corporation") (Address: Spreadtrum Center, Building No. 1, Lane 2288, Zuchongzhi Road, the China (Shanghai) Pilot Free Trade Zone), a corporation established in accordance with the laws of the People's Republic of China ("China"), and Zhongxing Yu (ID number: 330802198002072817, "I" for short hereinafter) on the basis of equality, voluntariness and negotiation.

In view of the fact that I participated in [including but not limited to] the corporation's projects and subsequent research and development of the projects ("project research and development"), and the project research and development is the corporation's task I performed, and the project research is implemented by fully utilizing the corporation's material and technical conditions. Therefore, I agree to the following terms of the agreement with the corporation regarding the corporation's confidential information and intellectual property rights.

(1) Confidential Information

(a) Corporation information. I agree that during my employment with the corporation and after the termination of the employment period, I will strictly hold confidential to confidential information of the corporation and its affiliated corporations (including but not limited to the corporation's parent corporation, subsidiaries, other corporations that the corporation invests in or holds shares, and the corporation's business partners, the corporation and its affiliated corporations are hereinafter referred to as the "group corporation"). Unless I have the permission of the group corporation, I will not use confidential information, and I will not disclose to any individual, other corporations or other organizations any confidential information. I understand that "confidential information" refers to the proprietary or confidential information of the group corporation, its clients, customers or partners, and the group corporation's licensors, including but not limited to, technical data, trade secrets, research and development information, product planning, services, client lists and clients (including but not limited to group corporation clients that I contacted or gradually familiar with during the employment period), supplier lists and suppliers, software, development, invention, program, formula, technology, design, drawing, engineering management, hardware configuration information, personnel information, marketing, financial or other business information, the group corporation, its clients, customers or partners, and the group corporation's licensors, directly or indirectly disclosing to me in writing, orally, or in the form of drawings or observation data of parts or equipment, or I directly or indirectly obtaining from such parties in such ways.

(b) Corporation property. I understand that all documents (including computer records, faxes and e-mails) and materials related to my work or created, received or transmitted using the facilities of the group corporation belong to the group corporation's property, and these properties are under the surveillance of the group corporation at all times. When my employment relationship with the corporation ends (or when requested by the corporation at any other time), I will promptly hand over all the documents and materials related to my work in the corporation and provide written confirmation that I have complied with this agreement. After the termination of my employment period, under no circumstances shall I possess any property of the group corporation, or any documents or materials or copies containing confidential information.

(c) Former employer information. I affirm that there is no non-competitive agreement between my former employer and me, and I do not assume any non-competitive obligations to my former employer. I agree that

during my work for the corporation, I will not improperly use or disclose trade secrets of any other individuals or organizations or proprietary information of any former employers or other individuals or organizations for whom I have signed an agreement or have an obligation to keep the following information confidential. Unless with the written consent of such employers, individuals or organizations, I will not bring any unpublished documents or proprietary information belonging to such employers, individuals or organizations into the premises of the group corporation. If the group corporation's use of such proprietary information or trade secrets of others improperly used or disclosed by me results in any infringement or alleged infringement against a third party, and the group corporation suffers any infringement as a result or in connection with this requests, liabilities, damages and expenses, including reasonable attorney's fees and expenses incurred in resolving disputes, I agree to compensate the group corporation to prevent damage to the group corporation.

(d) Third party information. I agree that the group corporation has received and will receive the confidential or proprietary information of third parties from such third parties, and the group corporation is obliged to hold confidential to such information and can only use it for certain limited purposes. I agree to hold the strictest confidentiality of all such confidential or proprietary information and not disclose it to any individual, corporation or other organization. Unless it is within the necessary limits for my work for the corporation and in compliance with the agreement between the group corporation and the third party, this information is only used.

(2) Invention

(a) Reserved and licensed inventions. I have listed, in Annex 1 of this agreement, all inventions, designs, original works with the right of authorship, programs, formulas, computer software programs, databases, integrated circuit layout designs/mask works, developments, concepts, improvements or

trade secrets (collectively referred to as "Pre-employment inventions") belonging to me that are related to the group corporation's plans or current business, products or research and development, have not been transferred to any member of the group corporation, and done by me before I was employed by the corporation. Otherwise, if there is no such attachment, I declare that there is no such pre-employment invention. I agree that I will not incorporate any pre-employment inventions into the products, programs or machinery of the group corporation. However, during my employment with the corporation, if I incorporate any pre-employment inventions that I own or have rights to into the products, programs or machinery of the group corporation, I declare that I have all the necessary rights, license and authorizations to use the pre-employment inventions in the current manner, and such use will not infringe any rights of any corporations, organizations or individuals; and in such cases, each member of the group corporation is hereby granted, and each member is entitled to the non-exclusive, license-free, sublicensable, assignable, irrevocable, permanent and worldwide license. They have the right to manufacture, make others manufacture, modify, use, sell and exploit such pre-employment inventions in other ways as being a part of such products, processes or machinery or for related purposes of such products, processes or machinery. If the group corporation uses, sublicenses, modifies, transfers or sells any of these pre-employment inventions and causes any infringement or alleged infringement against a third party, and the group corporation suffers any requests, liability, damages and expenses, including reasonable attorney fees and expenses incurred in resolving disputes, for this or in connection with this, I agree to compensate the group corporation to prevent damage to the group corporation.

(b) Disclosure and assignment of inventions.

(i) During my employment with the corporation (regardless of whether it is within working hours) and within twelve (12) months after the termination of the employment relationship with the corporation, regarding any and all inventions, designs, original works with the right of authorship, programs, formulas, computer software programs, databases, masked works, developments, concepts, improvements or trade secrets (collectively referred to as "inventions") related to the scope of my employment, or made, created, designed, invented, developed, put into application, or obtained in other forms using the resources of the group corporation in any way alone, in cooperation with others, or by others with my facilitation, regardless of whether a patent or registration can be applied in the People's Republic of China ("China") or anywhere else in the world in accordance with patent rights, circuit layout designs, copyrights or other laws, I should promptly notify the corporation in writing and disclose the details to it. If the performance is based on the consent of certain persons (including but not limited to any co-inventors), I shall notify them in time.

(ii) I agree that the corporation is entitled to absolute ownership, rights and interests of any and all inventions defined as "service inventions" or "service works" in accordance with "Patent Law", "Copyright Law", "Computer Software Protection Regulations", "Integrated Circuit Layout Design Protection Regulations" and other applicable laws and regulations of the People's Republic of China.

(iii) I hereby assign, to the corporation or its designated person, all inventions not belonging to "service inventions" or "service works" in accordance with "Patent Law", "Copyright Law", "Computer Software Protection Regulations", "Integrated Circuit Layout Design Protection Regulations" and other applicable laws and regulations of the People's Republic of China the People's Republic of China. I agree that the corporation should be the sole owner of all rights, ownership and rights in all such inventions. If the aforementioned assignment of such inventions to the corporation is invalid for any reason, it is hereby granted to each member of the group corporation, each member is entitled to license-free, sublicensable, assignable, irrevocable, permanent, worldwide license, and they have the right to manufacture, make others manufacture, modify, use, sell and exploit such pre-employment inventions in other ways as being a part of such products, processes or machinery or for related purposes of such products, processes or machinery. At the same time, I hereby forever waive and agree to never claim any rights that I may have in any invention or regarding any invention, even after my employment relationship with the corporation ends, otherwise the corporation has the right to refuse to give me patent application awards and/or patent grant awards in accordance with the "Patent Management Regulations". If the corporation has already issued the aforementioned awards, the corporation has the right to request me to return the aforementioned bonuses in full.

(c) Record keeping. I agree to keep and maintain adequate and existing written records of all inventions. These records will be kept in the form of notes, sketches, drawings and any other forms designated by the corporation. These records will be open to the corporation at any time and are the corporation's exclusive property.

(d) Registration of rights. I agree to assist the corporation or its corresponding designated person to protect the corporation's rights to inventions in any and all countries through all legitimate means under the circumstance of the corporation's expense, and to further prove, register and improve my rights under this agreement of granting or assigning the invention to the corporation or its corresponding designated person, and of improving, acquiring, maintaining, enforcing and protecting any such grant or assignment. Such assistance includes disclosing all information and data related to the invention to the corporation, signing all applications, specifications, affidavits, assignments, and all other documents the corporation believes to be necessary for applying or obtaining the rights, or for granting or assigning

exclusive rights, property rights and benefits of such inventions to the corporation, its successors, assignees or designees. I further agree that within my ability, my obligation to sign or cause such documents to be signed will continue even after the termination of this agreement. If the corporation is unable to obtain my signature for any invention-related activities, including but not limited to application or application for registration of patent, copyright or other rights of any such invention in China or other countries, due to my mental or physical disability or any other reasons, I am hereby irrevocably assigning and appointing the corporation and its officially authorized senior staff and agent as my agent and licensor to sign and submit, on behalf of me, any documents, oaths and all other actions related to these inventions permitted by law. The above agency acts have the same legal efficacy and effect as my personal signature.

(3) Conflicting employment. I agree that during my employment with the corporation, I will not engage in duties, professions, consultants or other business activities related to the business that the group corporation is currently involved in or will be involved in during my employment. And, without the corporation's prior written consent, I will not conduct any other activities that conflict with my obligations to the corporation.

(4) Notice to new employers. In the event that I terminate my employment relationship with the corporation, I hereby agree that the corporation can notify my new employer of my rights and obligations under this agreement.

(5) No persuade. I agree that during my employment with the corporation and within the next twelve (12) months after the termination of the employment relationship with the corporation for any reasons, regardless of whether the termination of the employment relationship is due to cause, I will not directly or indirectly induce, prompt, recruit or encourage any employee of the group corporation to leave, or take away or try to induce, prompt, recruit,

encourage or take away the employees and / or any supplier, client or consultant of the group corporation whether for myself or for other individuals or organizations.

(6) Statement. I agree to sign any affidavits or verify any appropriate documents required to implement the terms of this agreement. I declare that my performance of all the terms under this agreement will not violate any other confidentiality agreement signed for the proprietary information held by trust or trust relationship before I was employed by the corporation. I have not signed, and I agree that I will not sign any oral or written agreement that conflicts with this agreement in the future.

(7) Arbitration and other remedies.

(a) Arbitration. I agree that any controversies or disputes arising from or related to any interpretation, paraphrase, performance or breach of this agreement shall be submitted to the Chinese International Economic and Trade Arbitration Commission ("CIETAC") for settlement through arbitration. The arbitration shall be conducted in Shanghai in accordance with the applicable CIETAC arbitration rules. If permitted by CIETAC, the arbitration shall be presided over by three (3) arbitrators, where two arbitrators shall be selected by the corporation and me respectively, and the third arbitrator shall be appointed by CIETAC or selected by the corporation and me unanimously. The arbitrator may issue an injunction or take some other remedy in such controversies or disputes. The arbitration award shall be final, conclusive, and binding on both parties to the arbitration. For the purpose of enforcing the arbitration award, any court with jurisdiction can make a judgment on the arbitration award. The corporation and I shall share the arbitration fees and expenses in such arbitrations half-and-half, and each of us shall pay its own attorney fees and expenses.

(b) Some other means of relief. I agree that the losses caused to the corporation due to the violation of the commitments in this agreement may not or cannot be fully measured and calculated. Therefore, despite the provisions of 7(a) above, I agree that if I violate (or threaten to violate) this agreement, in addition to any other rights and remedies that can be exercised, the corporation will have the right (within the limits permitted by applicable laws) to apply for remedies to courts with jurisdiction to prevent such violations or threats of violations and enable any such clauses under this agreement to be actually performed.

(8) General terms.

(a) The nature of this agreement. I acknowledge that the terms and conditions of my employment with the corporation are in a separate employment agreement signed between the corporation and me, and any provision of this agreement shall not be interpreted as giving me any right to become an employee of the corporation. And I further realize that this agreement is a civil contract between the corporation and me regarding confidential information and intellectual property content. This agreement should not and shall not be understood as a labor contract between the corporation and me.

(b) Applicable laws. This agreement is governed by relevant Chinese intellectual property laws, including but not limited to the "Patent Law", "Copyright Law", "Integrated Circuit Layout Design Protection Regulations", "Computer Software Protection Regulations" and other applicable laws and regulations international conventions.

(c) Entire agreement. This agreement constitutes the complete agreement and understanding of the subject of this agreement between the corporation and me, and replaces all previous discussions between the two parties. Unless signed in writing by the party undertaking the obligation, any

modification or addition to this agreement, or waiver of any rights under this agreement will not be effective. Any future changes in my job responsibilities, salary or compensation will not affect the validity or scope of this agreement.

(d) Waiver and severability. Failure to pursue any breach of any clause of this agreement does not constitute or is not regarded as non-accountability for any other or future breaches. If any clause of this agreement is deemed invalid or unenforceable, the remaining clauses shall remain their full efficacy and effectiveness, and shall not be affected or invalidated in any respect.

(e) Successors and assignees. This agreement is binding on my heirs, designated executors, administrators and other legal representatives, and is concluded for the benefit of the group corporation and its successors and assignees. The corporation may assign its rights and obligations under this agreement to a third party.

(f) Application of this agreement. I hereby agree that my obligations set out in Section 1 and Section 2 of this agreement and the definitions of "confidential information" and "invention" in this agreement shall equally apply to any work I did for the corporation before signing this agreement and any confidential information and inventions related to it.

[The following part of this page is intentionally left blank]

PATENT REEL: 063310 FRAME: 0185 This Confidential Information and Invention Assignment Agreement will take effect from the day I started to be employed by the corporation.

Signature Zhongxing Yu Employee name (print or block letters) Zhongxing Yu Date 2014.1.21 Spreadtrum Communications (Shanghai) Co., Ltd. (official seal) Signature Authorized representative of the corporation (print or block letters) Date

Signature Page of the Confidential Information and

Invention Assignment Agreement (Chinese employees)

List of inventions before employment

<u>Name</u>

<u>Date</u>

Identification number or brief description

_____No invention or improvement

 $\underline{\times}$ There is another additional page

Employee's signature Zhongxing Yu

Employee name (block letters) Zhongxing Yu

Date: 2014.1.21