# 507874790 04/26/2023

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT7921920

| SUBMISSION TYPE:  |  |  | NEW ASSIGNMENT  |  |  |                |  |
|---|--|--|---|--|--|----------------|--|
| NATURE OF CONVEYANCE:   |  |  | ASSIGNMENT  |  |  |                |  |
| CONVEYING PARTY D   | ΑΤΑ  |  |   |  |  |                |  |
|   |  | 1  | lame  |  |  | Execution Date |  |
| THEODORE PECK   |  |  |   |  |  | 02/06/2019     |  |
| BRADLEY L. HANSEN   |  |  |   |  |  | 06/19/2019     |  |
| KEVIN DENNIS  |  |  |   |  |  | 02/06/2019     |  |
| RECEIVING PARTY DA  | TA   |  |   |  |  |                |  |
| <br>-   |  |  | NOLOGIES, INC.  |  |  |                |  |
| Street Address: 225 MAIN S  |  |  | REET  |  |  |                |  |
| City: MENASHA   |  |  |   |  |  |                |  |
| State/Country: WISCONSIN  |  |  |   |  |  |                |  |
| <b>Postal Code:</b> 54952   |  |  |   |  |  |                |  |
| Property Type   |  |  | Number  |  |  |                |  |
|   |  |  | Number  |  |  |                |  |
| Property Type<br>Application Number:  |  | 16528  |   |  |  |                |  |
|   |  | 16528  |   |  |  |                |  |
| Application Number:<br>CORRESPONDENCE D<br>Fax Number:  | ΟΑΤΑ   | (414)2   | 225-9753  |  |  |                |  |
| Application Number:<br>CORRESPONDENCE D<br>Fax Number:<br><i>Correspondence will b</i>  | DATA<br>De sent to                               | (414)2<br>5 the e  | 515<br>225-9753<br>-mail address first; if that is  |  |  |                |  |
| Application Number:<br>CORRESPONDENCE D<br>Fax Number:<br><i>Correspondence will b</i>  | OATA<br>De sent to<br>provided                   | (414)2<br>5 the e  | 515<br>225-9753<br>-mail address first; if that is<br>at is unsuccessful, it will be  |  |  |                |  |
| Application Number:<br>CORRESPONDENCE D<br>Fax Number:<br>Correspondence will b<br>using a fax number, if   | DATA<br>be sent to<br>provided                   | (414)2<br>5 the e<br>1; if tha<br>41422<br>docket  | 515<br>225-9753<br><b>-mail address first; if that is</b><br><b>at is unsuccessful, it will be</b><br>59755<br>ting@boylefred.com   |  |  |                |  |
| Application Number:<br>CORRESPONDENCE D<br>Fax Number:<br>Correspondence will b<br>using a fax number, if<br>Phone:<br>Email:<br>Correspondent Name:  | DATA<br>be sent to<br>provided                   | (414)2<br>5 the e<br>1; if tha<br>41422<br>docket<br>BOYL                                  | 515<br>225-9753<br>-mail address first; if that is<br>at is unsuccessful, it will be<br>59755<br>ting@boylefred.com<br>E FREDRICKSON, SC  |  |  |                |  |
| Application Number:<br>CORRESPONDENCE D<br>Fax Number:<br>Correspondence will b<br>using a fax number, if<br>Phone:<br>Email:<br>Correspondent Name:<br>Address Line 1:   | DATA<br>be sent to<br>provided                   | (414)2<br>5 the e<br>d; if tha<br>41422<br>docket<br>BOYL<br>840 N                         | 515<br>225-9753<br>-mail address first; if that is<br>at is unsuccessful, it will be<br>59755<br>ting@boylefred.com<br>E FREDRICKSON, SC<br>. PLANKINTON AVENUE   |  |  |                |  |
| Application Number:<br>CORRESPONDENCE D<br>Fax Number:<br>Correspondence will b<br>using a fax number, if<br>Phone:<br>Email:<br>Correspondent Name:  | DATA<br>be sent to<br>provided                   | (414)2<br>5 the e<br>d; if tha<br>41422<br>docket<br>BOYL<br>840 N                         | 515<br>225-9753<br>-mail address first; if that is<br>at is unsuccessful, it will be<br>59755<br>ting@boylefred.com<br>E FREDRICKSON, SC  |  |  |                |  |
| Application Number:<br>CORRESPONDENCE D<br>Fax Number:<br>Correspondence will b<br>using a fax number, if<br>Phone:<br>Email:<br>Correspondent Name:<br>Address Line 1:   | OATA<br>be sent to<br>provided                   | (414)2<br>5 the e<br>4; if tha<br>41422<br>docket<br>BOYL<br>840 N<br>MILW                 | 515<br>225-9753<br>-mail address first; if that is<br>at is unsuccessful, it will be<br>59755<br>ting@boylefred.com<br>E FREDRICKSON, SC<br>. PLANKINTON AVENUE   |  |  |                |  |
| Application Number:<br>CORRESPONDENCE D<br>Fax Number:<br>Correspondence will b<br>using a fax number, if p<br>Phone:<br>Email:<br>Correspondent Name:<br>Address Line 1:<br>Address Line 4:  | OATA<br>be sent to<br>provided                   | (414)2<br>5 the e<br>4; if tha<br>41422<br>docket<br>BOYL<br>840 N<br>MILW                 | 515<br>- <i>mail address first; if that is</i><br><i>at is unsuccessful, it will be</i><br>59755<br>ting@boylefred.com<br>E FREDRICKSON, SC<br>. PLANKINTON AVENUE<br>AUKEE, WISCONSIN 53203  |  |  |                |  |
| Application Number:<br>CORRESPONDENCE D<br>Fax Number:<br><i>Correspondence will b</i><br><i>using a fax number, if p</i><br>Phone:<br>Email:<br>Correspondent Name:<br>Address Line 1:<br>Address Line 4:  | OATA<br>be sent to<br>provided                   | (414)2<br><b>b</b> the e<br><b>d</b> ; if that<br>41422<br>docket<br>BOYL<br>840 N<br>MILW | 515<br>225-9753<br>-mail address first; if that is<br>at is unsuccessful, it will be<br>59755<br>ting@boylefred.com<br>E FREDRICKSON, SC<br>. PLANKINTON AVENUE<br>AUKEE, WISCONSIN 53203<br>3644.114   |  |  |                |  |
| Application Number:<br>CORRESPONDENCE D<br>Fax Number:<br><i>Correspondence will b</i><br><i>using a fax number, if</i><br>Phone:<br>Email:<br>Correspondent Name:<br>Address Line 1:<br>Address Line 4:<br>ATTORNEY DOCKET NUME<br>NAME OF SUBMITTER:  | OATA<br>be sent to<br>provided                   | (414)2<br><b>5 the e</b><br>41422<br>docket<br>BOYL<br>840 N<br>MILW                       | 515<br>225-9753<br>-mail address first; if that is<br>at is unsuccessful, it will be<br>59755<br>ting@boylefred.com<br>E FREDRICKSON, SC<br>. PLANKINTON AVENUE<br>AUKEE, WISCONSIN 53203<br>3644.114<br>ERIC L. SCHLEVENSKY                          |  |  |                |  |
| Application Number:<br>CORRESPONDENCE D<br>Fax Number:<br><i>Correspondence will b</i><br><i>using a fax number, if</i><br>Phone:<br>Email:<br>Correspondent Name:<br>Address Line 1:<br>Address Line 1:<br>Address Line 4:<br>ATTORNEY DOCKET NUME<br>AME OF SUBMITTER:<br>DATE SIGNED:<br>Total Attachments: 6            | DATA<br>be sent to<br>provided                   | (414)2<br><b>5 the e</b><br>41422<br>docket<br>BOYL<br>840 N<br>MILW                       | 515<br>225-9753<br>-mail address first; if that is<br>at is unsuccessful, it will be<br>59755<br>ting@boylefred.com<br>E FREDRICKSON, SC<br>. PLANKINTON AVENUE<br>AUKEE, WISCONSIN 53203<br>3644.114<br>ERIC L. SCHLEVENSKY<br>/Eric L. Schlevensky/ |  |  |                |  |
| Application Number:<br>CORRESPONDENCE D<br>Fax Number:<br>Correspondence will b<br>using a fax number, if<br>Phone:<br>Email:<br>Correspondent Name:<br>Address Line 1:<br>Address Line 1:<br>Address Line 4:<br>ATTORNEY DOCKET NUME<br>AME OF SUBMITTER:<br>DATE SIGNED:<br>Total Attachments: 6<br>source=01825155#page1 | DATA<br>De sent to<br>provided                   | (414)2<br><b>5 the e</b><br>41422<br>docket<br>BOYL<br>840 N<br>MILW                       | 515<br>225-9753<br>-mail address first; if that is<br>at is unsuccessful, it will be<br>59755<br>ting@boylefred.com<br>E FREDRICKSON, SC<br>. PLANKINTON AVENUE<br>AUKEE, WISCONSIN 53203<br>3644.114<br>ERIC L. SCHLEVENSKY<br>/Eric L. Schlevensky/ |  |  |                |  |
| Application Number:<br>CORRESPONDENCE D<br>Fax Number:<br><i>Correspondence will b</i><br><i>using a fax number, if</i><br>Phone:<br>Email:<br>Correspondent Name:<br>Address Line 1:<br>Address Line 1:<br>Address Line 4:<br>ATTORNEY DOCKET NUME<br>AME OF SUBMITTER:<br>DATE SIGNED:<br>Total Attachments: 6            | DATA<br>be sent to<br>provided<br>UMBER:<br>.tif | (414)2<br><b>5 the e</b><br>41422<br>docket<br>BOYL<br>840 N<br>MILW                       | 515<br>225-9753<br>-mail address first; if that is<br>at is unsuccessful, it will be<br>59755<br>ting@boylefred.com<br>E FREDRICKSON, SC<br>. PLANKINTON AVENUE<br>AUKEE, WISCONSIN 53203<br>3644.114<br>ERIC L. SCHLEVENSKY<br>/Eric L. Schlevensky/ |  |  |                |  |

## ASSIGNMENT FOR APPLICATION FOR PATENT

#### WHEREAS:

Names and Addresses of Inventors:

| 1) | Theodore PECK<br>99-1440 Aiea Heights Drive #3<br>Aiea, Hawaii 96701   | 2) | Bradley L. HANSEN<br>16445 W. Wisconsin Ave. Unit 3<br>Brookfield, Wisconsin 53005 |
|----|--|----|--|
| 3) | Kevin DENNIS<br>S75w25730 Prairieside Dr.<br>Waukesha, Wisconsin 53189 |    |  |

(hereinafter referred to as Assignors), have invented a certain invention entitled:

### PEER TO PEER DC OR AC DISTRIBUTION NETWORK

for which application for Letters Patent in the United States was filed on July 31, 2018, under Serial No. 62/712,833, executed on even date herewith; and

WHEREAS, EnSync, Inc., dba ENSYNC ENERGY SYSTEMS, having a place of business at N88 W13901 Main Street, Suite 200, Menomonee Falls, Wisconsin 53051 (hereinafter referred to as Assignee), is desirous of acquiring the entire right, title and interest in and to said application (hereinafter referred to as Application), and the invention disclosed therein (hereinafter referred to as Invention), and in and to all embodiments of the Invention, heretofore conceived, made or discovered by said Assignors, and in and to any and all patents, inventor's certificates and other forms of protection (hereinafter referred to as Patents) thereon granted in any and all countries and groups of countries.

NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by said Assignors to have been received in full from said Assignee:

1. Said Assignors hereby sell, assign, transfer and convey to Assignee the full and exclusive right, title and interest (a) in and to said Application and said Invention; (b) in and to all rights to apply for patents on said Invention in any and all countries pursuant to the International Convention for the Protection of Industrial Property or otherwise; (c) in and to any and all Applications filed and any and all Patents granted on said Invention in any and all countries and groups of countries, including each and every Application filed and each and every Patent granted on any application which is a conventional, division, substitution, or continuation of said Application; and (d) in and to each and every reissue or extension of any of said Patents.

2. Said Assignors hereby covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest to said Invention herein conveyed in any and all countries and groups of countries. Such cooperation by said Assignors shall include prompt production of pertinent facts and documents, giving testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any of said applications; (c) for filing and prosecuting substitute, conventional, divisional, continuing or additional applications covering said Invention; (d) for filing and prosecuting applications for reissuance of any of said Patents; (e) for interference or other priority proceedings involving said Invention; and (f) for legal proceedings involving said Invention and any application therefore and any Patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Assignors in providing such cooperation shall be paid for by said Assignee.

3. The term and covenants of this agreement shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Assignors, their respective heirs, legal representatives and assigns.

4. Said Assignors hereby warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF, the said Assignors have executed and delivered this instrument to said Assignee on the dates indicated below.

1) <u>6 Feb 201</u> (DATE)

Theodore PECK

2) \_\_\_\_\_ (DATE)

Brad HANSEN

3) \_\_\_\_\_ (DATE)

Kevin DENNIS

## ASSIGNMENT FOR APPLICATION FOR PATENT

## WHEREAS:

Names and Addresses of Inventors:

| 3  | Theodore PECK                 | 2) | Bradley L. HANSEN              |
|----|-------------------------------|----|--------------------------------|
|    | 99-1440 Alea Heights Drive #3 |    | 16445 W. Wisconsin Ave. Unit 3 |
|    | Alea, Hawali 96701            |    | Brookfield, Wisconsin 53005    |
|    | Kevin DENNIS                  |    |                                |
| 3> | S75w25730 Prairieside Dr.     |    |                                |
|    | Waukesha, Wisconsin 53189     |    |                                |

(hereinafter referred to as Assignors), have invented a certain invention entitled:

## PEER TO PEER DC OR AC DISTRIBUTION NETWORK

for which application for Letters Patent in the United States was filed on July 31, 2018, under Serial No. 62/712,833, executed on even date herewith; and

WHEREAS, EnSync, Inc., dba ENSYNC ENERGY SYSTEMS, having a place of business at N88 W13901 Main Street, Suite 200, Menomonee Falls, Wisconsin 53051 (hereinafter referred to as Assignee), is desirous of acquiring the entire right, title and interest in and to said application (hereinafter referred to as Application), and the invention disclosed therein (hereinafter referred to as Invention), and in and to all embodiments of the Invention, heretofore conceived, made or discovered by said Assignors, and in and to any and all patents, inventor's certificates and other forms of protection (hereinafter referred to as Patents) thereon granted in any and all countries and groups of countnes.

NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by said Assignors to have been received in full from said Assignee:

1. Said Assignors hereby sell, assign, transfer and convey to Assignee the full and exclusive right, title and interest (a) in and to said Application and said Invention; (b) in and to all rights to apply for patents on said Invention in any and all countries pursuant to the International Convention for the Protection of Industrial Property or otherwise; (c) in and to any and all Applications filed and any and all Patents granted on said Invention in any and all countries and groups of countries, including each and every Application filed and each and every Patent granted on any application which is a conventional, division, substitution, or continuation of said Application; and (d) in and to each and every reissue or extension of any of said Patents.

2. Said Assignors hereby covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest to said Invention herein conveyed in any and all countries and groups of countries. Such cooperation by said Assignors shall include prompt production of pertinent facts and documents, giving testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any of said applications; (c) for filing and prosecuting substitute, conventional, divisional, continuing or additional applications covering said Invention; (d) for filing and prosecuting applications for reissuance of any of said Patents; (e) for interference or other priority proceedings involving said Invention; and (f) for legal proceedings involving said Invention and any application

1

#### Atty Dkt No .: ENSC/0010USL

therefore and any Patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Assignors in providing such cooperation shall be paid for by said Assignee.

3. The term and covenants of this agreement shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Assignors, their respective heirs, legal representatives and assigns.

4. Said Assignors hereby warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF, the said Assignors have executed and delivered this instrument to said Assignee on the dates indicated below.

1)

3

<u>6/19/2019</u>(DATE) 2)

(DATE)

(DATE)

Theodore PECK

Brad HANS

Kevin DENNIS

2

## ASSIGNMENT FOR APPLICATION FOR PATENT

#### WHEREAS:

Names and Addresses of Inventors:

| 1) | Theodore PECK<br>99-1440 Aiea Heights Drive #3<br>Aiea, Hawaii 96701   | 2) | Bradley L. HANSEN<br>16445 W. Wisconsin Ave. Unit 3<br>Brookfield, Wisconsin 53005 |
|----|--|----|--|
| 3) | Kevin DENNIS<br>S75w25730 Prairieside Dr.<br>Waukesha, Wisconsin 53189 |    |  |

(hereinafter referred to as Assignors), have invented a certain invention entitled:

## PEER TO PEER DC OR AC DISTRIBUTION NETWORK

for which application for Letters Patent in the United States was filed on July 31, 2018, under Serial No. 62/712,833, executed on even date herewith; and

WHEREAS, EnSync, Inc., dba ENSYNC ENERGY SYSTEMS, having a place of business at N88 W13901 Main Street, Suite 200, Menomonee Falls, Wisconsin 53051 (hereinafter referred to as Assignee), is desirous of acquiring the entire right, title and interest in and to said application (hereinafter referred to as Application), and the invention disclosed therein (hereinafter referred to as Invention), and in and to all embodiments of the Invention, heretofore conceived, made or discovered by said Assignors, and in and to any and all patents, inventor's certificates and other forms of protection (hereinafter referred to as Patents) thereon granted in any and all countries and groups of countries.

NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by said Assignors to have been received in full from said Assignee:

1. Said Assignors hereby sell, assign, transfer and convey to Assignee the full and exclusive right, title and interest (a) in and to said Application and said Invention; (b) in and to all rights to apply for patents on said Invention in any and all countries pursuant to the International Convention for the Protection of Industrial Property or otherwise; (c) in and to any and all Applications filed and any and all Patents granted on said Invention in any and all countries and groups of countries, including each and every Application filed and each and every Patent granted on any application which is a conventional, division, substitution, or continuation of said Application; and (d) in and to each and every reissue or extension of any of said Patents.

2. Said Assignors hereby covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest to said Invention herein conveyed in any and all countries and groups of countries. Such cooperation by said Assignors shall include prompt production of pertinent facts and documents, giving testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any of said applications; (c) for filing and prosecuting substitute, conventional, divisional, continuing or additional applications covering said Invention; (d) for filing and prosecuting applications for reissuance of any of said Patents; (e) for interference or other priority proceedings involving said Invention; and (f) for legal proceedings involving said Invention and any application therefore and any Patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Assignors in providing such cooperation shall be paid for by said Assignee.

#### Atty Dkt No.: ENSC/0010USL

3. The term and covenants of this agreement shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Assignors, their respective heirs, legal representatives and assigns.

4. Said Assignors hereby warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF, the said Assignors have executed and delivered this instrument to said Assignee on the dates indicated below.

1) (DATE)

Theodore PECK

2) (DATE)

2/6/19 3) (DATE)

Kevin DENNIS'

Brad HANSEN

**RECORDED: 04/26/2023**