

## PATENT ASSIGNMENT COVER SHEET

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<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
JAMES FRIEND	06/13/2017
AN HUANG	06/13/2017
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	THE REGENTS OF THE UNIVERSITY OF CALIFORNIA
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<b>City:</b>	OAKLAND
<b>State/Country:</b>	CALIFORNIA
<b>Postal Code:</b>	94607-5200
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
Application Number:	18325785
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(858)314-1501
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
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<b>ATTORNEY DOCKET NUMBER:</b>	037866-595C02US
<b>NAME OF SUBMITTER:</b>	PEDRO F. SUAREZ, ESQ.
<b>SIGNATURE:</b>	/PFS/
<b>DATE SIGNED:</b>	06/05/2023
<b>Total Attachments: 2</b>	
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## **ASSIGNMENT**

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS

**James Friend and An Huang**

hereby sell, assign and transfer to ASSIGNEE, The Regents of the University of California, a California corporation, having its statewide administrative offices located at 1111 Franklin St. 5th Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of their right, title and interest for the United States and its territorial possessions and in all foreign countries in and to any and all improvements which are disclosed in the invention entitled

### **DENDRITE PREVENTION TECHNOLOGY IN RECHARGEABLE BATTERIES TO EXTEND THEIR LIFETIME, IMPROVE THEIR SAFETY, AND/OR FACILITATE THE USE OF SUPERIOR MATERIALS FOR BATTERY CONSTRUCTION**

and which are found in U.S. Provisional Application Serial No. 62/518,521 filed on **June 12, 2017**, entitled as above and listing the above named persons as inventors, and any legal equivalent thereof in a foreign country, including the right to claim priority and in and to all Letters Patent to be obtained for said invention by the above application or any continuation, divisional, continuation-in-part, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNORS hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, post grant review, inter partes review, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNORS request the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

Executed this 13<sup>th</sup> day of June, 2017

*[Signature]*

**James Friend**

Residence Address:

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City, State and Zip

Citizenship: USA and Australia

Executed this 13<sup>th</sup> day of June, 2017

*[Signature]*

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