

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT7996278

SUBMISSION TYPE:	CORRECTIVE ASSIGNMENT
NATURE OF CONVEYANCE:	Corrective Assignment to correct the ASSIGNEE ADDRESS previously recorded on Reel 044742 Frame 0766. Assignor(s) hereby confirms the THE ASSIGNMENT.
CONVEYING PARTY DATA	
Name	Execution Date
ALAN HORN	01/24/2018
RANDY DUNN	01/23/2018
RECEIVING PARTY DATA	
Name:	ELECTRIC POWER SYSTEMS, LLC
Street Address:	207 W. 3700 N. BUILDING I
Internal Address:	SUITE 3
City:	HYDE PARK
State/Country:	UTAH
Postal Code:	84318
PROPERTY NUMBERS Total: 1	
Property Type	Number
PCT Number:	US2017053534
CORRESPONDENCE DATA	
Fax Number:	(602)382-6070
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	60238260000
Email:	amckay@swlaw.com, ipdocket@swlaw.com
Correspondent Name:	SNELL & WILMER L.L.P.
Address Line 1:	ONE EAST WASHINGTON ST.
Address Line 2:	SUITE 2700
Address Line 4:	PHOENIX, ARIZONA 85004
ATTORNEY DOCKET NUMBER:	73905.00116
NAME OF SUBMITTER:	GREGG SCHEIFERSTEIN
SIGNATURE:	/GREGG SCHEIFERSTEIN/
DATE SIGNED:	06/08/2023
Total Attachments: 15	
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PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1

Stylesheet Version v1.2

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
ALAN HORN	01/24/2018
RANDY B. DUNN	01/23/2018
RECEIVING PARTY DATA	
Name:	ELECTRIC POWER SYSTEMS, LLC
Street Address:	4233 SOUTH BEDFORD DRIVE 207 W. 3700 N. Building I, Suite 3
City:	CHANDLER Hyde Park
State/Country:	ARIZONA UTAH
Postal Code:	85249 84318
PROPERTY NUMBERS Total: 1	
Property Type	Number
PCT Number:	US2017053534
CORRESPONDENCE DATA	
Fax Number:	(602)382-6070
Phone:	(602) 382-6367
Email:	snance@swlaw.com
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Correspondent Name:	JOHN H. PLATT - SNELL & WILMER L.L.P.
Address Line 1:	400 EAST VAN BUREN STREET
Address Line 2:	ONE ARIZONA CENTER
Address Line 4:	PHOENIX, ARIZONA 85004-2202
ATTORNEY DOCKET NUMBER:	73905.00116
NAME OF SUBMITTER:	JOHN H. PLATT
Signature:	/John H. Platt/
Date:	01/26/2018

NOTED ON DOCKET

PATENT

Total Attachments: 4

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RECEIPT INFORMATION

EPAS ID: PAT4796252
Receipt Date: 01/26/2018

PATENT

CORRECTIVE DOCUMENT

WHEREAS, Electric Power Systems, LLC, a limited liability company organized under and by virtue of the laws of the State of Arizona (“**ARIZONA LLC**”), having its original principal place of business and mailing address at 207 W. 3700 N, Building I, Suite 3, Hyde Park, Utah 84318, was registered on February 10, 2016.

AND WHEREAS, Electric Power Systems, LLC, a limited liability company was organized under and by virtue of the laws of the State of Utah (“**UTAH LLC**”), having its original principal place of business and mailing address at 207 W. 3700 N, Building I, Suite 3, Hyde Park, Utah 84318, was also registered on February 10, 2016.

AND WHEREAS, Randy Dunn and Nathan Millicam (together, the “**FOUNDING MEMBERS**”) were (a) were the founding members of the ARIZONA LLC; and (b) the only members of the UTAH LLC throughout its corporate existence.

AND WHEREAS, on January 23, 2018, Randy Dunn, an inventor of PCT Serial No. PCT/US/17/53534, entitled “HIGH RELIABILITY HYBRID ENERGY STORAGE SYSTEM”, and filed on September 26, 2017 (the “**534 Patent Application**”) assigned his rights to the ‘534 Patent Application and “any provisional, non-provisional, continuation, continuation in part, divisional, reissue, reexamination, foreign, PCT, or other patent application” (collectively, the “**534 Patent Family**”) as an inventor to the UTAH LLC (the “**Dunn Assignment**”).

AND WHEREAS, on January 24, 2018, Alan Horn, an inventor of the ‘534 Patent Application, assigned his rights as an inventor to the ‘534 Patent Family to the UTAH LLC (the “**Horn Assignment**”, and collectively with the Dunn Assignment, the “**534 Inventor Assignments**”).

AND WHEREAS, Randy Dunn and Alan Horn are collectively the inventors of the ‘534 Patent Family (collectively, the “**534 Inventors**”).

AND WHEREAS, on January 26, 2018, the ‘534 Inventor Assignments were filed and recorded as Reel 044742, Frame 0766 with the United States Patent and Trademark Office (“**USPTO**”), assigning the rights of the ‘534 Inventors to the UTAH LLC (the “**UTAH LLC Assignment**”).

AND WHEREAS, the UTAH LLC was the product of an administrator error, with the ‘534 Inventors actually intending to assign the ‘534 Patent Family to the ARIZONA LLC.

AND WHEREAS, the ‘534 Inventors and the MEMBERS collectively acknowledge and agree that the ‘534 Inventor Assignments were the product of an administrative error and should have been assigned to the ARIZONA LLC.

AND WHEREAS, on June 17, 2019, the FOUNDING MEMBERS, upon realizing that they had intended to qualify the ARIZONA LLC to do business in Utah, and did not intend to form UTAH LLC, withdrew the UTAH LLC’s application and the entity expired.

AND WHEREAS, on June 24, 2019, the ARIZONA LLC was converted to Electric Power Systems, Inc. (“**EPS, INC.**”), a corporation organized and existing under and by virtue of the laws of the State of Delaware having its principal place of business and mailing address at 520 W. 2850 N, North Logan, UT 84341-7005.

AND WHEREAS, at the time of the conversion, Randy Dunn, Nathan Millecam, Michael Armstrong, James Banwell, and Alan Horn (together, the “**MEMBERS**”) (a) were the only members of the ARIZONA LLC.

AND WHEREAS, on March 6, 2023, shortly after the administrative error was detected, a copy of the ‘534 Inventor Assignments, as executed by the ‘534 Inventors, with the corrections made therein, were sent to the ‘534 Inventors, and the ‘534 Inventors initialed and dated the corrections in accordance with the Manual of Patent Examining Procedure (“MPEP”) Section 323 (the “**‘534 Corrected Assignment**”).

AND WHEREAS, EPS, INC., the assignee of the ‘534 Patent Family, after the administrative error is resolved, requests correction of the administrative error involving the assignment of the ‘534 Inventor Assignments to the UTAH LLC to reflect the ‘534 Corrected Assignment to the ARIZONA LLC as intended.

NOW THEREFORE, BE IT RESOLVED, a copy of the ‘534 Corrected Assignment is provided in Exhibit A.

RESOLVED FURTHER, that EPS, INC. requests that this Corrective Document be recorded by the USPTO to correct the ‘534 Inventor Assignments as being from the ‘534 Inventors to the ARIZONA LLC.

RESOLVED FURTHER, that based on the conversion of the ARIZONA LLC to EPS, INC. on June 24, 2019, the correct chain of title of the ‘534 Family, after the administrative error is corrected, is from the ‘534 Inventors to the ARIZONA LLC, and from the ARIZONA LLC to EPS, INC.

INVENTORS OF '534 PATENT FAMILY**DATE**

DocuSigned by:

AC3A7C68300D406

4/5/2023

Randy Dunn

DocuSigned by:

45C373D8E17B461...

4/5/2023

Alan Horn

MEMBERS OF UTAH LLC

DocuSigned by:

45C373D8E17B461...

4/5/2023

Randy Dunn

DocuSigned by:

E823664362004C1

5/17/2023

Nathan Millicam

MEMBERS OF ARIZONA LLC

DocuSigned by:

45C373D8E17B461...

4/5/2023

Randy Dunn

DocuSigned by:

E823664362004C1

5/17/2023

Nathan Millicam

DocuSigned by:

1018B670780A427

5/16/2023

Michael Armstrong

DocuSigned by:

F204FEE0674426...

4/5/2023

James Banwell

DocuSigned by:

45C373D8E17B461...

4/5/2023

Alan Horn

ELECTRIC POWER SYSTEMS, INC.

DocuSigned by:

E823664362004C1

5/17/2023

Nathan Millicam, CEO

EXHIBIT A

ASSIGNMENT

PATENT

WHEREAS, the undersigned inventors (hereinafter singly and collectively referred to as "ASSIGNOR") of the addresses indicated below, have invented subject matter disclosed in the PCT patent application entitled HIGH RELIABILITY HYBRID ENERGY STORAGE SYSTEM for which a PCT patent application was filed on September 26, 2017, and assigned PCT Serial No. PCT/US17/53534;

AND WHEREAS, Electric Power Systems, LLC, (hereinafter "ASSIGNEE"), a limited liability company organized and existing under and by virtue of the laws of the State of ~~Utah~~ Arizona having its principal place of business and mailing address at ~~4233 South Bedford Drive, Chandler, AZ 85211~~, is desirous of acquiring an interest therein; 207 W 3700 N, Building I, Suite 3 Hyde Park, UT 84318

AND WHEREAS, a "formal application," as referred to herein, shall mean any provisional, non-provisional, continuation, continuation in part, divisional, reissue, reexamination, foreign, PCT or other patent application or like document;

3/6/2023

NOW THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, ASSIGNOR by these presents do sell, assign and transfer unto ASSIGNEE, its successors, assigns and legal representatives, the full and exclusive right to the invention as described in the aforesaid application, including patent, copyright and any other intellectual property rights, any provisional, non-provisional, continuation, continuation in part, divisional, reissue, reexamination, foreign, PCT or other patent application or like document, or any other application which claims priority to said application, in the United States and all foreign countries, together with the right of priority under the International Convention for the Protection of Industrial Property, Inter-American Convention Relating to Patents, Designs and Industrial Models, and any other international agreements to which the United States adheres, and hereby authorizes and requests the Commissioner of Patents to issue any Letters Patent issuing from or claiming priority to said application to ASSIGNEE, for the sole use and benefit of ASSIGNEE, its successors, assigns and legal representatives;

AND HEREBY AGREE to transfer, upon request of ASSIGNEE, its successors, assigns and legal representatives, and without further remuneration, a like interest in and to any improvements, derivative works, and formal applications based thereon, growing out of or relating to the invention;

AGREEING, FURTHERMORE, without further remuneration, to provide all reasonable assistance and execute any papers requested by ASSIGNEE, its successors, assigns and legal representatives, to preserve and/or acquire ASSIGNEE'S full protection and title in and to the invention hereby transferred;

AGREEING, FURTHERMORE, upon request of ASSIGNEE, and without further remuneration, to execute any and all papers desired by ASSIGNEE for the filing and granting of formal applications based on the invention or improvements therein, and for the filing and granting of foreign applications and the perfecting of title thereto in ASSIGNEE.

EXECUTED as of the date(s) written below by ASSIGNOR:

INVENTOR'S NAME	ADDRESS	SIGNATURE	DATE
Alan Horn	4305 Old Springfield Road Macomb, MO 65702 USA	<i>Alan Horn</i>	1/24/18

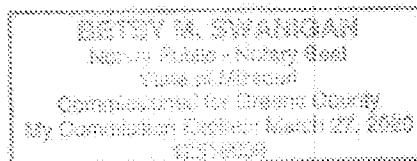
STATE OF Missouri)
 County of Greene) ss.

On this 24th day of January, 2018, before me, a notary public in and for said county, appeared Alan Horn, who is personally known to me to be the same person whose name is subscribed to the foregoing instrument, and he/she acknowledged that he/she signed, sealed and delivered the said instrument as a free and voluntary act for the uses and purposes therein set forth.

Betsy M. Swanigan
 Notary Public

My commission expires:

Mar. 27, 2020



EXECUTED as of the date(s) written below by ASSIGNOR:

INVENTOR'S NAME	ADDRESS	SIGNATURE	DATE
Randy B. Dunn	20406 Amapola Avenue Orange, CA 92869 USA		

STATE OF _____)
) ss.
 County of _____)

On this _____ day of _____, 2017, before me, a notary public in and for said county, appeared Randy B. Dunn, who is personally known to me to be the same person whose name is subscribed to the foregoing instrument, and he/she acknowledged that he/she signed, sealed and delivered the said instrument as a free and voluntary act for the uses and purposes therein set forth.

 Notary Public

My commission expires:

 SNELL & WILMER L.L.P.
 One Arizona Center
 400 East Van Buren
 Phoenix, Arizona 85004-0001
 (602) 382-6000



Certificate Of Completion

Envelope Id: C549E782F97144BAA50E93C222C49F76		Status: Completed
Subject: Complete with DocuSign: 73905.00116 Executed Assignment - A. Horn - Corrected.pdf		
Source Envelope:		
Document Pages: 3	Signatures: 0	Envelope Originator:
Certificate Pages: 4	Initials: 1	Gregory S. Scheiferstein
AutoNav: Enabled		One East Washington Street
Enveloped Stamping: Enabled		Suite 2700
Time Zone: (UTC-07:00) Arizona		Phoenix, AZ 85004
		gscheiferstein@swlaw.com
		IP Address: 65.117.152.90

Record Tracking

Status: Original	Holder: Gregory S. Scheiferstein	Location: DocuSign
3/6/2023 4:13:19 PM	gscheiferstein@swlaw.com	

Signer Events

Alan Horn
ajhorn@ajhorn.com
Security Level: Email, Account Authentication (None)

Signature



Signature Adoption: Pre-selected Style
Using IP Address: 98.97.82.186

Timestamp

Sent: 3/6/2023 4:18:08 PM
Viewed: 3/6/2023 4:49:26 PM
Signed: 3/6/2023 4:50:13 PM

Electronic Record and Signature Disclosure:
Accepted: 3/6/2023 4:49:26 PM
ID: 0f509a95-653c-4fd4-b9c3-d1bd8d063130
Company Name: Snell & Wilmer

In Person Signer Events	Signature	Timestamp
Editor Delivery Events	Status	Timestamp
Agent Delivery Events	Status	Timestamp
Intermediary Delivery Events	Status	Timestamp
Certified Delivery Events	Status	Timestamp
Carbon Copy Events	Status	Timestamp
Witness Events	Signature	Timestamp
Notary Events	Signature	Timestamp
Envelope Summary Events	Status	Timestamps
Envelope Sent	Hashed/Encrypted	3/6/2023 4:18:08 PM
Certified Delivered	Security Checked	3/6/2023 4:49:26 PM
Signing Complete	Security Checked	3/6/2023 4:50:13 PM
Completed	Security Checked	3/6/2023 4:50:13 PM
Payment Events	Status	Timestamps
Electronic Record and Signature Disclosure		

ELECTRONIC RECORD AND SIGNATURE DISCLOSURE

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If you decide to receive notices and disclosures from us electronically, you may at any time change your mind and tell us that thereafter you want to receive required notices and disclosures only in paper format. How you must inform us of your decision to receive future notices and disclosure in paper format and withdraw your consent to receive notices and disclosures electronically is described below.

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If you elect to receive required notices and disclosures only in paper format, it will slow the speed at which we can complete certain steps in transactions with you and delivering services to you because we will need first to send the required notices or disclosures to you in paper format, and then wait until we receive back from you your acknowledgment of your receipt of such paper notices or disclosures. To indicate to us that you are changing your mind, you must withdraw your consent using the DocuSign 'Withdraw Consent' form on the signing page of your DocuSign account. This will indicate to us that you have withdrawn your consent to receive required notices and disclosures electronically from us and you will no longer be able to use your DocuSign Express user account to receive required notices and consents electronically from us or to sign electronically documents from us.

All notices and disclosures will be sent to you electronically

Unless you tell us otherwise in accordance with the procedures described herein, we will provide electronically to you through your DocuSign user account all required notices, disclosures, authorizations, acknowledgements, and other documents that are required to be provided or made available to you during the course of our relationship with you. To reduce the chance of you inadvertently not receiving any notice or disclosure, we prefer to provide all of the required notices and disclosures to you by the same method and to the same address that you have given us. Thus, you can receive all the disclosures and notices electronically or in paper format through the paper mail delivery system. If you do not agree with this process, please let us know as described below. Please also see the paragraph immediately above that describes the consequences of your electing not to receive delivery of the notices and disclosures electronically from us.

How to contact Snell & Wilmer LLP:

You may contact us to let us know of your changes as to how we may contact you electronically, to request paper copies of certain information from us, and to withdraw your prior consent to receive notices and disclosures electronically as follows:

To advise Snell & Wilmer LLP of your new e-mail address

To let us know of a change in your e-mail address where we should send notices and disclosures electronically to you, you must send an email message to us at solution_center@swlaw.com and in the body of such request you must state: your previous e-mail address, your new e-mail address.

In addition, you must notify DocuSign, Inc to arrange for your new email address to be reflected in your DocuSign account by following the process for changing e-mail in DocuSign.

To request paper copies from Snell & Wilmer LLP

To request delivery from us of paper copies of the notices and disclosures previously provided by us to you electronically, you must send us an e-mail to solution_center@swlaw.com and in the body of such request you must state your e-mail address, full name, US Postal address, and telephone number.

To withdraw your consent with Snell & Wilmer LLP

To inform us that you no longer want to receive future notices and disclosures in electronic format you may:

- i. decline to sign a document from within your DocuSign account, and on the subsequent page, select the check-box indicating you wish to withdraw your consent, or you may;
- ii. send us an e-mail to solution_center@swlaw.com and in the body of such request you must state your e-mail, full name, IS Postal Address, telephone number, and account number.

Required hardware and software

Operating Systems:	Windows2000? or WindowsXP?
Browsers (for SENDERS):	Internet Explorer 6.0? or above
Browsers (for SIGNERS):	Internet Explorer 6.0?, Mozilla FireFox 1.0, NetScape 7.2 (or above)
Email:	Access to a valid email account
Screen Resolution:	800 x 600 minimum
Enabled Security Settings:	<ul style="list-style-type: none"> • Allow per session cookies • Users accessing the internet behind a Proxy Server must enable HTTP 1.1 settings via proxy connection

** These minimum requirements are subject to change. If these requirements change, we will provide you with an email message at the email address we have on file for you at that time

providing you with the revised hardware and software requirements, at which time you will have the right to withdraw your consent.

Acknowledging your access and consent to receive materials electronically

To confirm to us that you can access this information electronically, which will be similar to other electronic notices and disclosures that we will provide to you, please verify that you were able to read this electronic disclosure and that you also were able to print on paper or electronically save this page for your future reference and access or that you were able to e-mail this disclosure and consent to an address where you will be able to print on paper or save it for your future reference and access. Further, if you consent to receiving notices and disclosures exclusively in electronic format on the terms and conditions described above, please let us know by clicking the 'I agree' button below.

By checking the 'I Agree' box, I confirm that:

- I can access and read this Electronic CONSENT TO ELECTRONIC RECEIPT OF ELECTRONIC RECORD AND SIGNATURE DISCLOSURES document; and
- I can print on paper the disclosure or save or send the disclosure to a place where I can print it, for future reference and access; and
- Until or unless I notify Snell & Wilmer LLP as described above, I consent to receive from exclusively through electronic means all notices, disclosures, authorizations, acknowledgements, and other documents that are required to be provided or made available to me by Snell & Wilmer LLP during the course of my relationship with you.

ASSIGNMENT**PATENT**

WHEREAS, the undersigned inventors (hereinafter singly and collectively referred to as "ASSIGNOR") of the addresses indicated below, have invented subject matter disclosed in the PCT patent application entitled HIGH RELIABILITY HYBRID ENERGY STORAGE SYSTEM for which a PCT patent application was filed on September 26, 2017, and assigned PCT Serial No. PCT/US17/53534;

AND WHEREAS, Electric Power Systems, LLC, (hereinafter "ASSIGNEE"), a limited liability company organized and existing under and by virtue of the laws of the State of ~~Utah~~ Arizona having its principal place of business and mailing address at ~~4233 South Bedford Drive, Chandler, AZ 85249~~, is desirous of acquiring an interest therein; 207 W 3700 N, Building I, Suite 3 Hyde Park, UT 84318

AND WHEREAS, a "formal application," as referred to herein, shall mean any provisional, non-provisional, continuation, continuation in part, divisional, reissue, reexamination, foreign, PCT or other patent application or like document;

DS
KD

3/6/2023


NOW THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, ASSIGNOR by these presents do sell, assign and transfer unto ASSIGNEE, its successors, assigns and legal representatives, the full and exclusive right to the invention as described in the aforesaid application, including patent, copyright and any other intellectual property rights, any provisional, non-provisional, continuation, continuation in part, divisional, reissue, reexamination, foreign, PCT or other patent application or like document, or any other application which claims priority to said application, in the United States and all foreign countries, together with the right of priority under the International Convention for the Protection of Industrial Property, Inter-American Convention Relating to Patents, Designs and Industrial Models, and any other international agreements to which the United States adheres, and hereby authorizes and requests the Commissioner of Patents to issue any Letters Patent issuing from or claiming priority to said application to ASSIGNEE, for the sole use and benefit of ASSIGNEE, its successors, assigns and legal representatives;

AND HEREBY AGREE to transfer, upon request of ASSIGNEE, its successors, assigns and legal representatives, and without further remuneration, a like interest in and to any improvements, derivative works, and formal applications based thereon, growing out of or relating to the invention;

AGREEING, FURTHERMORE, without further remuneration, to provide all reasonable assistance and execute any papers requested by ASSIGNEE, its successors, assigns and legal representatives, to preserve and/or acquire ASSIGNEE'S full protection and title in and to the invention hereby transferred;

AGREEING, FURTHERMORE, upon request of ASSIGNEE, and without further remuneration, to execute any and all papers desired by ASSIGNEE for the filing and granting of formal applications based on the invention or improvements therein, and for the filing and granting of foreign applications and the perfecting of title thereto in ASSIGNEE.

EXECUTED as of the date(s) written below by ASSIGNOR:

INVENTOR'S NAME	ADDRESS	SIGNATURE	DATE
Randy B. Dunn	20406 Amapola Avenue Orange, CA 92869 USA		

STATE OF CA)County of USA) ss.

On this 23RD day of JANUARY, 2018, before me, a notary public in and for said county, appeared Randy B. Dunn, who is personally known to me to be the same person whose name is subscribed to the foregoing instrument, and he/she acknowledged that he/she signed, sealed and delivered the said instrument as a free and voluntary act for the uses and purposes therein set forth.

Notary PublicMy commission expires:

SNELL & WILMER L.L.P.
One Arizona Center
400 East Van Buren
Phoenix, Arizona 85004-0001
(602) 382-6000