

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT8001166

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	CHANGE OF NAME
CONVEYING PARTY DATA	
Name	Execution Date
FILTRATION AUTOMATION, INC.	06/09/2023
RECEIVING PARTY DATA	
Name:	FILTRATION AUTOMATION, LLC
Street Address:	1213 ANTLER DRIVE
City:	MANSFIELD
State/Country:	TEXAS
Postal Code:	76063
PROPERTY NUMBERS Total: 4	
Property Type	Number
Application Number:	18114872
Application Number:	18118306
Patent Number:	9439537
Application Number:	63436804
CORRESPONDENCE DATA	
Fax Number:	(214)756-8633
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	2147408000
Email:	mbackofen@lockelord.com
Correspondent Name:	MARK BACKOFEN
Address Line 1:	2200 ROSS AVE SUITE 2800
Address Line 2:	LOCKE LORD LLP
Address Line 4:	DALLAS, TEXAS 75201
ATTORNEY DOCKET NUMBER:	0108527.00001
NAME OF SUBMITTER:	MARK R. BACKOFEN
SIGNATURE:	/Mark R. Backofen/
DATE SIGNED:	06/12/2023
Total Attachments: 6	
source=4.C. Certificate of Conversion (Executed)#page1.tif	
source=4.C. Certificate of Conversion (Executed)#page2.tif	

source=4.C. Certificate of Conversion (Executed)#page3.tif

source=4.C. Certificate of Conversion (Executed)#page4.tif

source=4.C. Certificate of Conversion (Executed)#page5.tif

source=4.C. Certificate of Conversion (Executed)#page6.tif

**CERTIFICATE OF CONVERSION
OF
FILTRATION AUTOMATION, INC.**

Pursuant to the provisions of Sections 10.154 and 10.155 of the Texas Business Organizations Code (the "TBOC"), the undersigned converting entity certifies the following Certificate of Conversion adopted for the purpose of effecting a conversion in accordance with the provisions of the TBOC.

FIRST: The name of the converting entity is Filtration Automation, Inc. (the "Converting Entity"). The Converting Entity is a Texas corporation organized pursuant to the TBOC. The Converting Entity was formed on September 11, 2009. The file number issued to the Converting Entity by the Texas Secretary of State is 801169259.

SECOND: The name of the converted entity will be Filtration Automation, LLC (the "Converted Entity"). The Converted Entity will be organized as a Texas limited liability company pursuant to the TBOC. The Certificate of Formation of the Converted Entity is attached to this Certificate of Conversion as Exhibit A.

THIRD: A Plan of Conversion ("Plan of Conversion") was duly authorized and approved by all action required by the laws of the State of Texas and the Converting Entity's governing documents.

FOURTH: The address of the principal place of business of the Converting Entity is 1213 Antler Drive, Mansfield, Texas 76063. An executed Plan of Conversion is on file at such address. The address of the principal place of business of the Converted Entity will be 1213 Antler Drive, Mansfield, Texas 76063. An executed Plan of Conversion will be on file, from and after the conversion, at such address.

FIFTH: A copy of the Plan of Conversion will be furnished by the Converting Entity, prior to the conversion, or the Converted Entity, from and after the conversion, on written request and without cost, to any member of the Converting Entity or any member of the Converted Entity.

SIXTH: The Converted Entity shall be responsible for the payment of all applicable filing fees and franchise taxes, if any, of the Converting Entity and the Converted Entity shall be obligated to pay such fees and franchise taxes if the same are not timely paid.

SEVENTH: The conversion is to be effective when this Certificate of Conversion is accepted and filed by the secretary of state.

[Signature Page Follows]

IN WITNESS WHEREOF, the undersigned hereby certifies the above statements and hereby executes this Certificate of Conversion on June 9, 2023.

FILTRATION AUTOMATION, INC.
a Texas corporation

By: *Gary Haddock*
Name: Gary Haddock
Title: President and Chief Executive Officer

EXHIBIT A

Certificate of Formation

[See attached.]

**Form 205
(Revised 12/21)**

Submit in duplicate to:
Secretary of State
P.O. Box 13697
Austin, TX 78711-3697
512 463-5555

Filing Fee: \$300



This space reserved for office use.

**Certificate of Formation
Limited Liability Company**

Article 1 – Entity Name and Type

The filing entity being formed is a limited liability company. The name of the entity is:

Filtration Automation, LLC

The name must contain the words "limited liability company," "limited company," or an abbreviation of one of these phrases.

Article 2 – Registered Agent and Registered Office

(See instructions. Select and complete either A or B and complete C.)

A. The initial registered agent is an organization (cannot be entity named above) by the name of:

CT Corporation System

OR

B. The initial registered agent is an individual resident of the state whose name is set forth below:

First Name *M.I.* *Last Name* *Suffix*

C. The business address of the registered agent and the registered office address is:

1999 Bryan Street, Suite 900 Dallas TX 75201
Street Address *City* *State* *Zip Code*

Article 3—Governing Authority

(Select and complete either A or B and provide the name and address of each initial governing person.)

A. The limited liability company initially has managers. The name and address of each initial manager are set forth below.

B. The limited liability company does not initially have managers. The name and address of each initial member are set forth below.

INITIAL GOVERNING PERSON 1

NAME (Enter the name of either an individual or an organization, but not both.)

IF INDIVIDUAL

Gary H. Haddock
First Name *M.I.* *Last Name* *Suffix*

OR

IF ORGANIZATION

Organization Name

ADDRESS

1213 Antler Drive Mansfield TX USA 76063
Street or Mailing Address *City* *State* *Country* *Zip Code*

INITIAL GOVERNING PERSON 2				
NAME (Enter the name of either an individual or an organization, but not both.)				
IF INDIVIDUAL				
Debbie	S.	Haddock		
<i>First Name</i>	<i>M.I.</i>	<i>Last Name</i>	<i>Suffix</i>	
OR				
IF ORGANIZATION				
<i>Organization Name</i>				
ADDRESS				
1213 Antler Drive	Mansfield	TX	USA	76063
<i>Street or Mailing Address</i>	<i>City</i>	<i>State</i>	<i>Country</i>	<i>Zip Code</i>

INITIAL GOVERNING PERSON 3				
NAME (Enter the name of either an individual or an organization, but not both.)				
IF INDIVIDUAL				
<i>First Name</i>	<i>M.I.</i>	<i>Last Name</i>	<i>Suffix</i>	
OR				
IF ORGANIZATION				
<i>Organization Name</i>				
ADDRESS				
<i>Street or Mailing Address</i>	<i>City</i>	<i>State</i>	<i>Country</i>	<i>Zip Code</i>

Article 4 – Purpose

The purpose for which the company is formed is for the transaction of any and all lawful purposes for which a limited liability company may be organized under the Texas Business Organizations Code.

Initial Mailing Address

(Provide the mailing address to which state franchise tax correspondence should be sent.)

1213 Antler Drive	Mansfield	TX	76063	USA
<i>Mailing Address</i>	<i>City</i>	<i>State</i>	<i>Zip Code</i>	<i>Country</i>

Supplemental Provisions/Information

Text Area: [The attached addendum, if any, is incorporated herein by reference.]

"Filtration Automation, LLC" is being formed under a plan of conversion. The name, address, date of formation, prior form of organization, and jurisdiction of formation of the converting entity are listed below.

Name: Filtration Automation, Inc.
 Address: 7466 Bent Trail, Mansfield, Texas 76063
 Date of Formation: September 11, 2009
 Form of Organization: For-profit corporation
 Jurisdiction of Formation: Texas

Organizer

The name and address of the organizer:

Gary Haddock

Name

1213 Antler Drive

Street or Mailing Address

Mansfield

City

TX

76063

State

Zip Code

Effectiveness of Filing (Select either A, B, or C.)

- A. This document becomes effective when the document is filed by the secretary of state.
- B. This document becomes effective at a later date, or a later date and time, not more than 90 days from the date of signing. The later effective date, or date and time is: _____
- C. This document takes effect upon the occurrence of the future event or fact, other than the passage of time. The 90th day after the date of signing is: _____

The following event or fact will cause the document to take effect in the manner described below:

Execution

The undersigned affirms that the person designated as registered agent has consented to the appointment. The undersigned also affirms that, to the best knowledge of the undersigned, the name provided as the name of the filing entity does not falsely imply an affiliation with a governmental entity. The undersigned signs this document subject to the penalties imposed by law for the submission of a materially false or fraudulent instrument and certifies under penalty of perjury that the undersigned is authorized to execute the filing instrument.

Date: 06/09/2023

Gary Haddock

Signature of organizer

Gary Haddock

Printed or typed name of organizer