

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

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<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
ALLSTATE INSURANCE COMPANY	09/30/2021
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	ALLSTATE LIFE INSURANCE COMPANY
<b>Street Address:</b>	ALLSTATE PLAZA
<b>City:</b>	NORTHBROOK
<b>State/Country:</b>	ILLINOIS
<b>Postal Code:</b>	60062
<b>PROPERTY NUMBERS Total: 9</b>	
<b>Property Type</b>	<b>Number</b>
Patent Number:	7080032
Patent Number:	7613644
Patent Number:	7676414
Patent Number:	7624058
Patent Number:	8036968
Patent Number:	8209199
Patent Number:	8805733
Patent Number:	8626628
Patent Number:	8719133
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(312)463-5001
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Phone:</b>	3124635000
<b>Email:</b>	bwptopat@bannerwitcoff.com, ananderson@bannerwitcoff.com
<b>Correspondent Name:</b>	BANNER & WITCOFF, LTD.
<b>Address Line 1:</b>	71 SOUTH WACKER DRIVE, SUITE 3600
<b>Address Line 4:</b>	CHICAGO, ILLINOIS 60606
<b>ATTORNEY DOCKET NUMBER:</b>	009466.00051\US
<b>NAME OF SUBMITTER:</b>	ANTONIETTA ANDERSON

<b>SIGNATURE:</b>	/Antonieta Anderson/
<b>DATE SIGNED:</b>	07/06/2023
<b>Total Attachments: 10</b> source=ASG 1 AIC to ALIC 09302021#page1.tif source=ASG 1 AIC to ALIC 09302021#page2.tif source=ASG 1 AIC to ALIC 09302021#page3.tif source=ASG 1 AIC to ALIC 09302021#page4.tif source=ASG 1 AIC to ALIC 09302021#page5.tif source=ASG 1 AIC to ALIC 09302021#page6.tif source=ASG 1 AIC to ALIC 09302021#page7.tif source=ASG 1 AIC to ALIC 09302021#page8.tif source=ASG 1 AIC to ALIC 09302021#page9.tif source=ASG 1 AIC to ALIC 09302021#page10.tif	

PATENT ASSIGNMENT AGREEMENT

This Patent Assignment Agreement (“Patent Assignment Agreement”) is made and entered into as of September 30, 2021 by and between Allstate Insurance Company, an insurance company organized under the laws of the State of Illinois (“Assignor”), and Allstate Life Insurance Company, an Illinois domiciled stock life insurance company (“Assignee”). Assignor and Assignee are collectively referred to as the “Parties”.

WHEREAS, Assignor is the owner of all rights, titles, and interests in and to all patents set forth on Schedule A attached hereto and incorporated herein, including all inventions described and claimed in the patents (including divisionals, continuations-in-part, provisionals, reissues, reexaminations or interferences thereof) (the “Patents”).

WHEREAS, pursuant to that certain Stock Purchase Agreement, dated as of January 26, 2021, by and among Assignor, Allstate Financial Insurance Holdings Corporation, a corporation incorporated under the laws of the State of Delaware, and Antelope US Holdings Company, a corporation incorporated under the laws of the State of Delaware (the “Purchase Agreement”), Assignor agreed to assign, sell, convey, and transfer, and desires to assign, sell, convey, and transfer all of Assignor’s rights, titles, and interests in and to the Patents to Assignee, and Assignee desires to receive all rights, titles, and interests in and to the Patents.

NOW, THEREFORE, for other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the Parties hereby agree as follows:

1. Definitions. Capitalized terms used in this Patent Assignment Agreement that are not defined in the body of this Patent Assignment Agreement shall have the meanings given to them in the Purchase Agreement.

2. Assignment. Assignor does hereby irrevocably sell, convey, transfer, assign, and deliver to Assignee, its successors and assigns, and Assignee purchases and accepts from Assignor, all of Assignor’s rights, titles, and interests in and to the Patents; all rights of priority therein any country as may now or hereafter be granted to it by law, treaty or other international convention; and all rights, interests, claims and demands recoverable in law or equity, that Assignor has or may have in profits and damages for past, present and future infringements of the Patents, including, without limitation, the right to compromise, sue for and collect such profits and damages; the same to be held and enjoyed by Assignee, its successors and assigns or their legal representatives, as fully and entirely as the same would have been held and enjoyed by Assignor if this assignment had not been made. Assignor further consents to recordation of this Patent Assignment Agreement by Assignee, including with the United States Patent and Trademark Office or successor offices.

3. ALNY License. The Intellectual Property License Agreement, by and between Assignee and Allstate Life Insurance Company of New York, a New York domiciled life insurance company (“ALNY”), shall be executed concurrently with the execution of this Agreement.

4. Further Assurances. Assignor agrees to execute, at any time and from time to time upon the request and expense of Assignee, such additional documents as Assignee reasonably requests to register and otherwise give full effect to the rights of Assignee under this Patent Assignment Agreement in and to the Patents, including all documents necessary to record in the

name of Assignee the assignment of the Patents with the United States Patent and Trademark Office or successor offices.

5. Governing Law. This Patent Assignment Agreement and any dispute arising hereunder shall be governed by, and construed in accordance with, the laws of the State of New York, regardless of the laws that might otherwise govern under applicable principles of conflicts of laws thereof.

6. No Conflict. Nothing in this Patent Assignment Agreement shall alter any liability or obligation of the parties hereto arising under the Purchase Agreement. In the event of a conflict between the terms and conditions of this Patent Assignment Agreement and the terms and conditions of the Purchase Agreement, the terms and conditions of the Purchase Agreement shall govern. Assignee acknowledges that Assignor makes no representation or warranty with respect to the Patents except as specifically set forth in the Purchase Agreement.

7. No Modifications. This Patent Assignment Agreement may not be supplemented, altered or modified in any manner except by a writing signed by all Parties.

8. Successors and Assigns. This Patent Assignment Agreement shall bind and shall inure to the benefit of the respective parties and their assigns, transferees, and successors.

9. Counterparts. This Patent Assignment Agreement may be executed in one or more counterparts, each of which shall be deemed an original but both of which together will constitute one and the same instrument.

*[Remainder of this page intentionally left blank.]*

IN WITNESS WHEREOF, the undersigned have executed this Patent Assignment Agreement as of the date first written above.

ALLSTATE INSURANCE COMPANY

By:   
Name: Marilyn Hirsch  
Title: Senior Vice President and Treasurer

*[Signature Page to Patent Assignment Agreement]*

IN WITNESS WHEREOF, the undersigned have executed this Patent Assignment Agreement as of the date first written above.

ALLSTATE LIFE INSURANCE COMPANY

By: Mario Imbarrato

Name: Mario Imbarrato

Title: Senior Vice President and Chief  
Financial Officer

*[Signature Page to Patent Assignment Agreement]*

SCHEDULE A

PATENTS

Country	Invention Title	Patent Title	Filing Date	IP Right Number	Issue Date	Abstract	Owner
U.S.	Treasury Linked Annuity (T-Link)	Annuity Having Interest Rate Coupled to a Referenced Interest Rate	3/28/2002	7,080,032	7/18/2006	An annuity provides a guaranteed rate of return for a guarantee period while at the same time providing upward adjustments to the interest rate if there is a corresponding increase in a specified referenced rate, which may be a United States Treasury rate or an interest rate that is used to settle a contract that is traded on a financial futures exchange. The guaranteed base interest rate is set at the beginning of the guarantee period, and the annuity account is credited with the base interest rate for an initial pre-defined period, which is some portion of the guarantee period. Periodically, as defined by the pre-defined period, the then-current referenced rate is compared to a base referenced rate that was defined at the establishment of the guarantee period. If the referenced rate has increased, the interest rate that will be credited to the annuity account value will increase by an amount that is based on the amount of increase in the referenced rate. If the referenced rate has not changed or has decreased, the interest rate that will be credited to the annuity account value will be the guaranteed base interest rate that was set at the beginning of the guarantee period.	Allstate Insurance Company

*Schedule A to Patent Assignment Agreement*

U.S.	Treasury Linked Annuity (Continuation)	Annuity Having Interest Rate Coupled to a Reference d Interest Rate	8/29/2007	7,613,644	11/3/2009	An annuity provides a guaranteed rate of return for a guarantee period while at the same time providing upward adjustments to the interest rate if there is a corresponding increase in a specified referenced rate. The guaranteed base interest rate is set at the beginning of the guarantee period, and the annuity account is credited with the base interest rate for an initial pre-defined period. Periodically, the then-current referenced rate is compared to a base referenced rate defined at the establishment of the guarantee period. If the referenced rate has increased, the interest rate that will be credited to the annuity account value will increase by an amount that is based on the amount of increase in the referenced rate. If the referenced rate has not changed or has decreased, the interest rate that will be credited to the annuity account value will be the guaranteed base interest rate.	Allstate Insurance Company
U.S.	Treasury Linked Annuity (Continuation)	Annuity Having Interest Rate Coupled to a Reference d Interest Rate	11/26/2007	7,676,414	3/9/2010	An annuity provides a guaranteed rate of return for a guarantee period while at the same time providing upward adjustments to the interest rate if there is a corresponding increase in a specified referenced rate. The guaranteed base interest rate is set at the beginning of the guarantee period, and the annuity account is credited with the base interest rate for an initial pre-defined period. Periodically, the then-current referenced rate is compared to a base referenced rate defined at the establishment of the guarantee period. If the referenced rate has increased,	Allstate Insurance Company

*Schedule A to Patent Assignment Agreement*



						the interest rate that will be credited to the annuity account value will increase by an amount that is based on the amount of increase in the referenced rate. If the referenced rate has not changed or has decreased, the interest rate that will be credited to the annuity account value will be the guaranteed base interest rate.	
U.S.	Treasury Linked Annuity (Continuation)	Annuity Having Interest Rate Coupled to a Referenced Interest Rate	11/26/2007	7,624,058	11/24/2009	An annuity provides a guaranteed rate of return for a guarantee period while at the same time providing upward adjustments to the interest rate if there is a corresponding increase in a specified referenced rate. The guaranteed base interest rate is set at the beginning of the guarantee period, and the annuity account is credited with the base interest rate for an initial pre-defined period. Periodically, the then-current referenced rate is compared to a base referenced rate defined at the establishment of the guarantee period. If the referenced rate has increased, the interest rate that will be credited to the annuity account value will increase by an amount that is based on the amount of increase in the referenced rate. If the referenced rate has not changed or has decreased, the interest rate that will be credited to the annuity account value will be the guaranteed base interest rate.	Allstate Insurance Company
U.S.	Treasury Linked Annuity (Continuation)	Annuity Having Interest Rate Coupled to a Reference	1/19/2010	8,036,968	10/11/2011	The methods described herein provide a guaranteed rate of return for a guarantee period of a financial account while at the same time providing upward adjustments to the interest rate if there is a corresponding increase	Allstate Insurance Company

*Schedule A to Patent Assignment Agreement*

		d Interest Rate				in a specified referenced rate. The guaranteed base interest rate is set at the beginning of the guarantee period, the base interest rate to be credited to an account for an initial pre-defined period. Periodically, the then-current referenced rate is compared to a base referenced rate defined at the establishment of the guarantee period. If the referenced rate has increased, the interest rate that will be credited to the account value will increase by an amount that is based on the amount of increase in the referenced rate. If the referenced rate has not changed or has decreased, the interest rate that will be credited to the account value will be the guaranteed base interest rate.	
U.S.	Treasury Linked Annuity (Continuation)	Annuity Having Interest Rate Coupled to a Referenced Interest Rate	9/16/2011	8,209,199	6/26/2012	The methods described herein provide a guaranteed rate of return for a guarantee period of a financial account while at the same time providing upward adjustments to the interest rate if there is a corresponding increase in a specified referenced rate. The guaranteed base interest rate is set at the beginning of the guarantee period, the base interest rate to be credited to an account for an initial pre-defined period. Periodically, the then-current referenced rate is compared to a base referenced rate defined at the establishment of the guarantee period. If the referenced rate has increased, the interest rate that will be credited to the account value will increase by an amount that is based on the amount of increase in the referenced rate. If the referenced rate has not changed or has decreased, the interest rate that will be credited	Allstate Insurance Company

*Schedule A to Patent Assignment Agreement*

						to the account value will be the guaranteed base interest rate.	
U.S.	RightFit	Single Premium Deferred Annuity	9/29/2011	8,805,733	8/12/2014	Systems and methods relating to a single premium deferred annuity are provided. In one embodiment, the annuity may be used for retirement planning. Aspects disclosed herein relate to an annuity that provides protection against market losses and allows the purchaser/owner to choose the level of protection.	Allstate Insurance Company
U.S.	Treasury Linked Annuity (Continuation)	Annuity Having Interest Rate Coupled to a Referenced Interest Rate	6/22/2012	8,626,628	1/7/2014	The methods described herein provide a guaranteed rate of return for a guarantee period of a financial account while at the same time providing upward adjustments to the interest rate if there is a corresponding increase in a specified referenced rate. The guaranteed base interest rate is set at the beginning of the guarantee period, the base interest rate to be credited to an account for an initial pre-defined period. Periodically, the then-current referenced rate is compared to a base referenced rate defined at the establishment of the guarantee period. If the referenced rate has increased, the interest rate that will be credited to the account value will increase by an amount that is based on the amount of increase in the referenced rate. If the referenced rate has not changed or has decreased, the interest rate that will be credited to the account value will be the guaranteed base interest rate.	Allstate Insurance Company
U.S.	Treasury Linked Annuity (Continuation)	Annuity Having Interest Rate Coupled to a	9/27/2012	8,719,133	5/6/2014	The disclosure herein provides a guaranteed rate of return for a guarantee period of a financial account while at the same time providing upward adjustments to the interest rate if there is a	Allstate Insurance Company

*Schedule A to Patent Assignment Agreement*

		Reference d Interest Rate				<p>corresponding increase in a specified referenced rate. The guaranteed base interest rate is set at the beginning of the guarantee period, which is credited to an account for an initial pre-defined period. Periodically, the then-current referenced rate is compared to a base referenced rate defined at the establishment of the guarantee period. If the referenced rate has increased, the interest rate that will be credited to the account value will increase by an amount that is based on the amount of increase in the referenced rate. If the referenced rate has not changed or has decreased, the interest rate that will be credited to the account value will be the guaranteed base interest rate.</p>	
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*Schedule A to Patent Assignment Agreement*