

<b>PATENT ASSIGNMENT COVER SHEET</b>
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Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT8036749

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	SECURITY INTEREST

**CONVEYING PARTY DATA**

Name	Execution Date
CARDIAC DIMENSIONS PTY LTD	06/29/2023

**RECEIVING PARTY DATA**

<b>Name:</b>	CLARET EUROPEAN SPECIALTY LENDING COMPANY III, S.A R.L
<b>Street Address:</b>	412 F ROUTE D'ESCH
<b>City:</b>	2086 LUXEMBOURG
<b>State/Country:</b>	LUXEMBOURG

**PROPERTY NUMBERS Total: 57**

Property Type	Number
Patent Number:	7364588
Patent Number:	7351260
Patent Number:	7837729
Patent Number:	8439971
Patent Number:	7591826
Application Number:	17655974
Patent Number:	11596771
Application Number:	16450686
Patent Number:	9956077
Patent Number:	10449048
Patent Number:	7503932
Patent Number:	8006594
Patent Number:	7316708
Patent Number:	7828843
Patent Number:	9408695
Patent Number:	8974525
Patent Number:	8062358
Patent Number:	7314485
Patent Number:	8250960
Patent Number:	10166102
Patent Number:	11452603

PATENT

Property Type	Number
Patent Number:	8182529
Patent Number:	9827099
Patent Number:	7270676
Patent Number:	7309354
Patent Number:	10052205
Patent Number:	7004958
Patent Number:	7758639
Patent Number:	7608102
Patent Number:	7828841
Patent Number:	8172898
Patent Number:	10456257
Patent Number:	7857846
Patent Number:	7814635
Patent Number:	7300462
Patent Number:	7503931
Patent Number:	8075608
Patent Number:	9956076
Patent Number:	11400256
Patent Number:	7887582
Patent Number:	9597186
Patent Number:	10327900
Patent Number:	11311380
Patent Number:	7837728
Patent Number:	7311729
Patent Number:	7452375
Patent Number:	9474608
Patent Number:	9827098
Patent Number:	10206778
Patent Number:	7351259
Patent Number:	7674287
Patent Number:	9827100
Patent Number:	11109971
Patent Number:	11285005
Application Number:	17304168
Patent Number:	10390953
Patent Number:	9320600

**CORRESPONDENCE DATA**

**Fax Number:** (404)885-3900

**Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.**

**Phone:** 4048853868

**Email:** rusty.close@troutman.com

**Correspondent Name:** CHRISTOPHER CLOSE

**Address Line 1:** TROUTMAN PEPPER LLP

**Address Line 2:** 600 PEACHTREE STREET NE, SUITE 3000

**Address Line 4:** ATLANTA, GEORGIA 30308-2216

**ATTORNEY DOCKET NUMBER:** 257701.000016

**NAME OF SUBMITTER:** CHRISTOPHER C CLOSE, JR.

**SIGNATURE:** /Christopher C. Close Jr./

**DATE SIGNED:** 06/30/2023

**Total Attachments: 25**

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## INTELLECTUAL PROPERTY SECURITY AGREEMENT

THIS INTELLECTUAL PROPERTY SECURITY AGREEMENT is entered into as June 29, 2023, by and between CLARET EUROPEAN SPECIALTY LENDING COMPANY III, S.À R.L., incorporated as a Société à responsabilité limitée, with registered number B246159 and its registered address at 412 F Route d'Esch, 2086 Luxembourg, Grand Duchy of Luxembourg (the "**Lender**"), and CARDIAC DIMENSIONS PTY LTD ACN 168 165 946, an Australian proprietary limited company with a registered address at c/o Johnson Winter Slattery, Quay Quarter Tower, Level 14, 50 Bridge Street, Sydney, Australia NSW 2000 ("**Grantor**").

### RECITAL

A. Lender agreed to make certain advances of money and to extend certain financial accommodations (the "**Loans**") to CARDIAC DIMENSIONS, INC., with an office located at 5540 Lake Washington Boulevard, NE, Kirkland, WA 98033 (the "**Borrower**") in the amounts and manner set forth in that certain Loan and Security Agreement by and between the Lender and Grantor dated as of date hereof (as the same may be, and may have been, amended, modified or supplemented from time to time, the "**Loan Agreement**"; capitalized terms used herein are used as defined in the Loan Agreement).

B. It is a condition of the Loan Agreement that Grantor enter into that certain General Security Agreement dated as of the date hereof with the Lender (the "**Security Agreement**").

C. In accordance with the terms of the Security Agreement, Grantor is granting to the Lender, a security interest in certain Copyrights, Trademarks and Patents (as each term is described below) to secure the obligations of Grantor under the Security Agreement.

NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, and intending to be legally bound, as collateral security for the prompt and complete payment when due of its obligations under the Security Agreement, Grantor hereby represents, warrants, covenants and agrees as follows:

### AGREEMENT

To secure its obligations under the Security Agreement, effective as of the date hereof, Grantor hereby reaffirms the grant and pledge to the Lender under the Security Agreement a security interest in all of Grantor's right, title and interest in, to and under its intellectual property (all of which shall collectively be called the "**Intellectual Property Collateral**"), including, without limitation, the following:

(a) Any and all copyright rights, copyright applications, copyright registrations and like protections in each work or authorship and derivative work thereof, whether published or unpublished and whether or not the same also constitutes a trade secret, now or hereafter existing, created, acquired or held, including without limitation those set forth on Exhibit A attached hereto (collectively, the "**Copyrights**");

(b) Any and all trade secrets, and any and all intellectual property rights in computer software and computer software products now or hereafter existing, created, acquired or held;

(c) Any and all design rights that may be available to Grantor now or hereafter existing, created, acquired or held;

(d) All patents, patent applications and like protections including, without limitation, improvements, divisions, continuations, renewals, reissues, extensions and continuations-in-part of the same, including without limitation the patents and patent applications set forth on Exhibit B attached hereto (collectively, the “**Patents**”);

(e) Any trademark and servicemark rights, whether registered or not, applications to register and registrations of the same and like protections, and the entire goodwill of the business of Grantor connected with and symbolized by such trademarks, including without limitation those set forth on Exhibit C attached hereto (collectively, the “**Trademarks**”); provided, however that Trademarks shall not include any intent-to-use trademarks;

(f) Any and all claims for damages by way of past, present and future infringements of any of the rights included above, with the right, but not the obligation, to sue for and collect such damages for said use or infringement of the intellectual property rights identified above;

(g) All licenses or other rights to use any of the Copyrights, Patents or Trademarks and all license fees and royalties arising from such use to the extent permitted by such license or rights;

(h) All amendments, extensions, renewals and extensions of any of the Copyrights, Trademarks or Patents; and

(i) All proceeds and products of the foregoing, including without limitation all payments under insurance or any indemnity or warranty payable in respect of any of the foregoing.

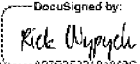
Grantor hereby represents and warrants that the Copyrights set forth on Exhibit A, the Patents set forth on Exhibit B and the Trademarks set forth on Exhibit C include all Copyrights, Patents and Trademarks of Grantor and its Subsidiaries that are either registered, or for which applications for registration or grant, as applicable, are pending, on the date hereof. Grantor hereby covenants to provide prompt notice of (A) any material change in the composition of the Intellectual Property, and (B) any new the Copyrights, Trademarks or Patents of Grantor or any of its Subsidiaries that are either registered or for which an application for registration or grant is filed.

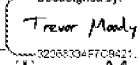
This security interest reaffirmed herein was granted to the Lender under the Loan Agreement. The rights and remedies of the Lender with respect to such security interest hereunder are in addition to those set forth in the Security Agreement and the other Loan Documents, and those which are now or hereafter available to the Lender as a matter of law or equity. Each right, power and remedy of the Lender provided for herein or in the Security Agreement or any of the Loan Documents, or now or hereafter existing at law or in equity shall be cumulative and concurrent and shall be in addition to every right, power or remedy provided for herein and the exercise by the Lender of any one or more of the rights, powers or remedies provided for in this Intellectual Property Security Agreement, the Security Agreement or any of the other Loan Documents, or now or hereafter existing at law or in equity, shall not preclude the simultaneous or later exercise by any person, including the Lender, of any or all other rights, powers or remedies.

IN WITNESS WHEREOF, the parties have caused this Intellectual Property Security Agreement to be duly executed by its officers thereunto duly authorized as of the first date written above.

**GRANTOR:**

CARDIAC DIMENSIONS PTY LTD (ACN  
168 165 946)

By:  \_\_\_\_\_  
Name: Rick Wypych  
Title: Director

By:  \_\_\_\_\_  
Name: Trevor Moody  
Title: Director

**LENDER:**

CLARET EUROPEAN SPECIALTY  
LENDING COMPANY III, S.A R.L.

By: \_\_\_\_\_  
Name: David Moscato  
Title: Manager

By: \_\_\_\_\_  
Name: Riccardo Zorzetto  
Title: Manager

[Signature page to IP Security Agreement]

IN WITNESS WHEREOF, the parties have caused this Intellectual Property Security Agreement to be duly executed by its officers thereunto duly authorized as of the first date written above.

**GRANTOR:**

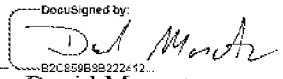
CARDIAC DIMENSIONS PTY LTD (ACN  
168 165 946)

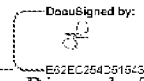
By: \_\_\_\_\_  
Name: Rick Wypych  
Title: Director

By: \_\_\_\_\_  
Name: Trevor Moody  
Title: Director

**LENDER:**

CLARET EUROPEAN SPECIALTY  
LENDING COMPANY III, S.A R.L.

By:  \_\_\_\_\_  
Name: David Moscato  
Title: Manager

By:  \_\_\_\_\_  
Name: Riccardo Zorzetto  
Title: Manager

[Signature page to IP Security Agreement]

EXHIBIT A

Copyrights

No.	Description	Registration Number	Application Number	Notes on Ownership
1.	None Identified			



EXHIBIT B

Patents

No.	Description	Application Number	Registration Number	Notes on Ownership
1.	Device, Assembly and Method for Mitral Valve Repair		7,364,588 (04/29/2008)	<b>Owner</b> CARDIAC DIMENSIONS PTY. LTD.  <b>First Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame:</u> 045958/0663 <u>Recorded:</u> 04/16/2018  <b>Second Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame:</u> 063167/0447 <u>Recorded:</u> 03/27/2023
2.	Tissue Shaping Device		7,351,260 (04/01/2008)	<b>Owner</b> CARDIAC DIMENSIONS PTY. LTD.  <b>First Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame:</u> 045958/0663 <u>Recorded:</u> 04/16/2018  <b>Second Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame:</u> 063167/0447 <u>Recorded:</u> 03/27/2023
3.	Percutaneous Mitral Valve Annuloplasty Delivery System		7,837,729 (11/23/2010)	<b>Owner</b> CARDIAC DIMENSIONS PTY. LTD.  <b>First Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame:</u> 045958/0663 <u>Recorded:</u> 04/16/2018  <b>Second Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame:</u> 063167/0447 <u>Recorded:</u> 03/27/2023

No.	Description	Application Number	Registration Number	Notes on Ownership
4.	Adjustable Height Focal Tissue Deflector		8,439,971 (05/14/2013)	<p><b>Owner</b> CARDIAC DIMENSIONS PTY. LTD.</p> <p><b>First Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame:</u> 045958/0663 <u>Recorded:</u> 04/16/2018</p> <p><b>Second Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame:</u> 063167/0447 <u>Recorded:</u> 03/27/2023</p>
5.	A Device Implantable in the Coronary Sinus to Provide Mitral Valve Therapy		7,591,826 (09/22/2009)	<p><b>Owner</b> CARDIAC DIMENSIONS PTY. LTD.</p> <p><b>First Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame:</u> 045958/0663 <u>Recorded:</u> 04/16/2018</p> <p><b>Second Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame:</u> 063167/0447 <u>Recorded:</u> 03/27/2023</p>
6.	Mitral Valve Annuloplasty Device with Twisted Anchor	17/655,974 (03/22/2022)		<p><b>Owner</b> CARDIAC DIMENSIONS PTY. LTD.</p> <p><b>Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame:</u> 063167/0447 <u>Recorded:</u> 03/27/2023</p>
7.	Modular Pre-Loaded Medical Implants and Delivery Systems		11,596,771 (03/07/2023)	<p><b>Owner</b> CARDIAC DIMENSIONS PTY. LTD.</p>

No.	Description	Application Number	Registration Number	Notes on Ownership
8.	Tissue Shaping Device	16/450,686 (06/24/2019)		<p><b>Owner</b> CARDIAC DIMENSIONS PTY. LTD.</p> <p><b>Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame:</u> 063167/0447 <u>Recorded:</u> 03/27/2023</p>
9.	Mitral Valve Annuloplasty Device with Twisted Anchor		9,956,077 (05/01/2018)	<p><b>Owner</b> CARDIAC DIMENSIONS PTY. LTD.</p> <p><b>First Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame:</u> 045958/0663 <u>Recorded:</u> 04/16/2018</p> <p><b>Second Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame:</u> 063167/0447 <u>Recorded:</u> 03/27/2023</p>
10.	Mitral Valve Annuloplasty Device with Twisted Anchor		10,449,048 (10/22/2019)	<p><b>Owner</b> CARDIAC DIMENSIONS PTY. LTD.</p> <p><b>First Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame:</u> 045958/0663 <u>Recorded:</u> 04/16/2018</p> <p><b>Second Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame:</u> 063167/0447 <u>Recorded:</u> 03/27/2023</p>
11.	Mitral Valve Annuloplasty Device with Vena Cava Anchor		7,503,932 (03/17/2009)	<p><b>Owner</b> CARDIAC DIMENSIONS PTY. LTD.</p> <p><b>Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame:</u> 063167/0447 <u>Recorded:</u> 03/27/2023</p>

No.	Description	Application Number	Registration Number	Notes on Ownership
12.	Catheter Cutting Tool		8,006,594 (08/30/2011)	<p><b>Owner</b> CARDIAC DIMENSIONS PTY. LTD.</p> <p><b>First Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame:</u> 045958/0663 <u>Recorded:</u> 04/16/2018</p> <p><b>Second Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame:</u> 063167/0447 <u>Recorded:</u> 03/27/2023</p>
13.	Medical Device Delivery System		7,316,708 (01/08/2008)	<p><b>Owner</b> CARDIAC DIMENSIONS PTY. LTD.</p> <p><b>First Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame:</u> 045958/0663 <u>Recorded:</u> 04/16/2018</p> <p><b>Second Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame:</u> 063167/0447 <u>Recorded:</u> 03/27/2023</p>
14.	Mitral Valve Therapy Device, System and Method		7,828,843 (11/09/2010)	<p><b>Owner</b> CARDIAC DIMENSIONS PTY. LTD.</p> <p><b>First Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame:</u> 045958/0663 <u>Recorded:</u> 04/16/2018</p> <p><b>Second Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame:</u> 063167/0447 <u>Recorded:</u> 03/27/2023</p>

No.	Description	Application Number	Registration Number	Notes on Ownership
15.	Fixed Anchor and Pull Mitral Valve Device and Method		9,408,695 (08/09/2016)	<p><b>Owner</b> CARDIAC DIMENSIONS PTY. LTD.</p> <p><b>Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame</u>: 063167/0447 <u>Recorded</u>: 03/27/2023</p>
16.	Tissue Shaping Device		8,974,525 (03/10/2015)	<p><b>Owner</b> CARDIAC DIMENSIONS PTY. LTD.</p> <p><b>First Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame</u>: 045958/0663 <u>Recorded</u>: 04/16/2018</p> <p><b>Second Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame</u>: 063167/0447 <u>Recorded</u>: 03/27/2023</p>
17.	Body Lumen Device Anchor, Device and Assembly		8,062,358 (11/22/2011)	<p><b>Owner</b> CARDIAC DIMENSIONS PTY. LTD.</p> <p><b>First Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame</u>: 045958/0663 <u>Recorded</u>: 04/16/2018</p> <p><b>Second Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame</u>: 063167/0447 <u>Recorded</u>: 03/27/2023</p>

No.	Description	Application Number	Registration Number	Notes on Ownership
18.	Mitral Valve Device Using Conditioned Shape Memory Alloy		7,314,485 (01/01/2008)	<p><b>Owner</b> CARDIAC DIMENSIONS PTY. LTD.</p> <p><b>First Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame:</u> 045958/0663 <u>Recorded:</u> 04/16/2018</p> <p><b>Second Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame:</u> 063167/0447 <u>Recorded:</u> 03/27/2023</p>
19.	Catheter Cutting Tool		8,250,960 (08/28/2012)	<p><b>Owner</b> CARDIAC DIMENSIONS PTY. LTD.</p> <p><b>First Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame:</u> 045958/0663 <u>Recorded:</u> 04/16/2018</p> <p><b>Second Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame:</u> 063167/0447 <u>Recorded:</u> 03/27/2023</p>
20.	Mitral Valve Annuloplasty Device with Twisted Anchor		10,166,102 (01/01/2019)	<p><b>Owner</b> CARDIAC DIMENSIONS PTY. LTD.</p> <p><b>Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame:</u> 063167/0447 <u>Recorded:</u> 03/27/2023</p>
21.	Device and Method for Modifying the Shape of a Body Organ		11,452,603 (09/27/2002)	<p><b>Owner</b> CARDIAC DIMENSIONS PTY. LTD.</p> <p><b>Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame:</u> 063167/0447 <u>Recorded:</u> 03/27/2023</p>

No.	Description	Application Number	Registration Number	Notes on Ownership
22.	Percutaneous Mitral Valve Annuloplasty Device Delivery Method		8,182,529 (05/22/2012)	<p><b>Owner</b> CARDIAC DIMENSIONS PTY. LTD.</p> <p><b>First Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame</u>: 045958/0663 <u>Recorded</u>: 04/16/2018</p> <p><b>Second Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame</u>: 063167/0447 <u>Recorded</u>: 03/27/2023</p>
23.	Tissue Shaping Device		9,827,099 (11/28/2017)	<p><b>Owner</b> CARDIAC DIMENSIONS PTY. LTD.</p> <p><b>Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame</u>: 063167/0447 <u>Recorded</u>: 03/27/2023</p>
24.	Mitral Valve Therapy Device, System and Method		7,270,676 (09/18/2007)	<p><b>Owner</b> CARDIAC DIMENSIONS PTY. LTD.</p> <p><b>First Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame</u>: 045958/0663 <u>Recorded</u>: 04/16/2018</p> <p><b>Second Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame</u>: 063167/0447 <u>Recorded</u>: 03/27/2023</p>

No.	Description	Application Number	Registration Number	Notes on Ownership
25.	Body Lumen Device Anchor, Device and Assembly		7,309,354 (12/18/2007)	<p><b>Owner</b> CARDIAC DIMENSIONS PTY. LTD.</p> <p><b>First Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame</u>: 045958/0663 <u>Recorded</u>: 04/16/2018</p> <p><b>Second Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame</u>: 063167/0447 <u>Recorded</u>: 03/27/2023</p>
26.	Fixed Anchor and Pull Mitral Valve Device and Method		10,052,205 (08/21/2018)	<p><b>Owner</b> CARDIAC DIMENSIONS PTY. LTD.</p> <p><b>First Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame</u>: 045958/0663 <u>Recorded</u>: 04/16/2018</p> <p><b>Second Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame</u>: 063167/0447 <u>Recorded</u>: 03/27/2023</p>
27.	Transvenous Staples, Assembly and Method for Mitral Valve Repair		7,004,958 (02/28/2006)	<p><b>Owner</b> CARDIAC DIMENSIONS PTY. LTD.</p> <p><b>First Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame</u>: 045958/0663 <u>Recorded</u>: 04/16/2018</p> <p><b>Second Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame</u>: 063167/0447 <u>Recorded</u>: 03/27/2023</p>



No.	Description	Application Number	Registration Number	Notes on Ownership
28.	Mitral Valve Device Using Conditioned Shape Memory Alloy		7,758,639 (07/20/2010)	<p><b>Owner</b> CARDIAC DIMENSIONS PTY. LTD.</p> <p><b>First Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame</u>: 045958/0663 <u>Recorded</u>: 04/16/2018</p> <p><b>Second Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame</u>: 063167/0447 <u>Recorded</u>: 03/27/2023</p>
29.	Focused Compression Mitral Valve Device and Method		7,608,102 (10/27/2009)	<p><b>Owner</b> CARDIAC DIMENSIONS PTY. LTD.</p> <p><b>First Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame</u>: 045958/0663 <u>Recorded</u>: 04/16/2018</p> <p><b>Second Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame</u>: 063167/0447 <u>Recorded</u>: 03/27/2023</p>
30.	Device and Method for Modifying the Shape of a Body Organ		7,828,841 (11/09/2010)	<p><b>Owner</b> CARDIAC DIMENSIONS PTY. LTD.</p> <p><b>First Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame</u>: 045958/0663 <u>Recorded</u>: 04/16/2018</p> <p><b>Second Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame</u>: 063167/0447 <u>Recorded</u>: 03/27/2023</p>

No.	Description	Application Number	Registration Number	Notes on Ownership
31.	Device and Method for Modifying the Shape of a Body Organ		8,172,898 (05/08/2012)	<p><b>Owner</b> CARDIAC DIMENSIONS PTY. LTD.</p> <p><b>First Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame</u>: 045958/0663 <u>Recorded</u>: 04/16/2018</p> <p><b>Second Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame</u>: 063167/0447 <u>Recorded</u>: 03/27/2023</p>
32.	Tissue Shaping Device		10,456,257 (10/29/2019)	<p><b>Owner</b> CARDIAC DIMENSIONS PTY. LTD.</p> <p><b>Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame</u>: 063167/0447 <u>Recorded</u>: 03/27/2023</p>
33.	Device and Method for Modifying the Shape of a Body Organ		7,857,846 (12/28/2010)	<p><b>Owner</b> CARDIAC DIMENSIONS PTY. LTD.</p> <p><b>First Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame</u>: 045958/0663 <u>Recorded</u>: 04/16/2018</p> <p><b>Second Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame</u>: 063167/0447 <u>Recorded</u>: 03/27/2023</p>

No.	Description	Application Number	Registration Number	Notes on Ownership
34.	Method of Making a Tissue Shaping Device		7,814,635 (10/19/2010)	<p><b>Owner</b> CARDIAC DIMENSIONS PTY. LTD.</p> <p><b>First Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame</u>: 045958/0663 <u>Recorded</u>: 04/16/2018</p> <p><b>Second Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame</u>: 063167/0447 <u>Recorded</u>: 03/27/2023</p>
35.	Device, System and Method to Affect the Mitral Valve Annulus of a Heart		7,300,462 (11/27/2007)	<p><b>Owner</b> CARDIAC DIMENSIONS PTY. LTD.</p> <p><b>First Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame</u>: 045958/0663 <u>Recorded</u>: 04/16/2018</p> <p><b>Second Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame</u>: 063167/0447 <u>Recorded</u>: 03/27/2023</p>
36.	System and Method to Effect the Mitral Valve Annulus of a Heart		7,503,931 (03/17/2009)	<p><b>Owner</b> CARDIAC DIMENSIONS PTY. LTD.</p> <p><b>First Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame</u>: 045958/0663 <u>Recorded</u>: 04/16/2018</p> <p><b>Second Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame</u>: 063167/0447 <u>Recorded</u>: 03/27/2023</p>

No.	Description	Application Number	Registration Number	Notes on Ownership
37.	Medical Device Delivery System		8,075,608 (12/13/2011)	<p><b>Owner</b> CARDIAC DIMENSIONS PTY. LTD.</p> <p><b>First Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame</u>: 045958/0663 <u>Recorded</u>: 04/16/2018</p> <p><b>Second Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame</u>: 063167/0447 <u>Recorded</u>: 03/27/2023</p>
38.	Tissue Shaping Device		9,956,076 (05/01/2018)	<p><b>Owner</b> CARDIAC DIMENSIONS PTY. LTD.</p> <p><b>First Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame</u>: 045958/0663 <u>Recorded</u>: 04/16/2018</p> <p><b>Second Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame</u>: 063167/0447 <u>Recorded</u>: 03/27/2023</p>
39.	Sizing Catheters		11,400,256 (08/02/2022)	<p><b>Owner</b> CARDIAC DIMENSIONS PTY. LTD.</p> <p><b>Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame</u>: 063167/0447 <u>Recorded</u>: 03/27/2023</p>

No.	Description	Application Number	Registration Number	Notes on Ownership
40.	Device and Method for Modifying the Shape of a Body Organ		7,887,582 (02/15/2011)	<p><b>Owner</b> CARDIAC DIMENSIONS PTY. LTD.</p> <p><b>First Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame</u>: 045958/0663 <u>Recorded</u>: 04/16/2018</p> <p><b>Second Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame</u>: 063167/0447 <u>Recorded</u>: 03/27/2023</p>
41.	Tissue Shaping Device		9,597,186 (03/21/2017)	<p><b>Owner</b> CARDIAC DIMENSIONS PTY. LTD.</p> <p><b>First Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame</u>: 045958/0663 <u>Recorded</u>: 04/16/2018</p> <p><b>Second Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame</u>: 063167/0447 <u>Recorded</u>: 03/27/2023</p>
42.	Tissue Shaping Device		10,327,900 (06/25/2019)	<p><b>Owner</b> CARDIAC DIMENSIONS PTY. LTD.</p> <p><b>Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame</u>: 063167/0447 <u>Recorded</u>: 03/27/2023</p>
43.	Device and Method for Modifying the Shape of a Body Organ		11,311,380 (04/26/2022)	<p><b>Owner</b> CARDIAC DIMENSIONS PTY. LTD.</p> <p><b>Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame</u>: 063167/0447 <u>Recorded</u>: 03/27/2023</p>

No.	Description	Application Number	Registration Number	Notes on Ownership
44.	Reduced Length Tissue Shaping Device		7,837,728 (11/23/2010)	<p><b>Owner</b> CARDIAC DIMENSIONS PTY. LTD.</p> <p><b>First Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame</u>: 045958/0663 <u>Recorded</u>: 04/16/2018</p> <p><b>Second Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame</u>: 063167/0447 <u>Recorded</u>: 03/27/2023</p>
45.	Device and Method for Modifying the Shape of a Body Organ		7,311,729 (12/25/2007)	<p><b>Owner</b> CARDIAC DIMENSIONS PTY. LTD.</p> <p><b>First Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame</u>: 045958/0663 <u>Recorded</u>: 04/16/2018</p> <p><b>Second Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame</u>: 063167/0447 <u>Recorded</u>: 03/27/2023</p>
46.	Body Lumen Device Anchor, Device and Assembly		7,452,375 (11/18/2008)	<p><b>Owner</b> CARDIAC DIMENSIONS PTY. LTD.</p> <p><b>First Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame</u>: 045958/0663 <u>Recorded</u>: 04/16/2018</p> <p><b>Second Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame</u>: 063167/0447 <u>Recorded</u>: 03/27/2023</p>

No.	Description	Application Number	Registration Number	Notes on Ownership
47.	Body Lumen Device Anchor, Device and Assembly		9,474,608 (10/25/2016)	<p><b>Owner</b> CARDIAC DIMENSIONS PTY. LTD.</p> <p><b>First Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame</u>: 045958/0663 <u>Recorded</u>: 04/16/2018</p> <p><b>Second Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame</u>: 063167/0447 <u>Recorded</u>: 03/27/2023</p>
48.	Fixed Anchor and Pull Mitral Valve Device and Method		9,827,098 (11/28/2017)	<p><b>Owner</b> CARDIAC DIMENSIONS PTY. LTD.</p> <p><b>First Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame</u>: 045958/0663 <u>Recorded</u>: 04/16/2018</p> <p><b>Second Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame</u>: 063167/0447 <u>Recorded</u>: 03/27/2023</p>
49.	Tissue Shaping Device		10,206,778 (02/19/2019)	<p><b>Owner</b> CARDIAC DIMENSIONS PTY. LTD.</p> <p><b>First Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame</u>: 045958/0663 <u>Recorded</u>: 04/16/2018</p> <p><b>Second Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame</u>: 063167/0447 <u>Recorded</u>: 03/27/2023</p>

No.	Description	Application Number	Registration Number	Notes on Ownership
50.	Device, System and Method to Affect the Mitral Valve Annulus of a Heart		7,351,259 (04/01/2008)	<p><b>Owner</b> CARDIAC DIMENSIONS PTY. LTD.</p> <p><b>First Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame</u>: 045958/0663 <u>Recorded</u>: 04/16/2018</p> <p><b>Second Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame</u>: 063167/0447 <u>Recorded</u>: 03/27/2023</p>
51.	Device and Method for Modifying the Shape of a Body Organ		7,674,287 (03/09/2010)	<p><b>Owner</b> CARDIAC DIMENSIONS PTY. LTD.</p> <p><b>First Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame</u>: 045958/0663 <u>Recorded</u>: 04/16/2018</p> <p><b>Second Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame</u>: 063167/0447 <u>Recorded</u>: 03/27/2023</p>
52.	Tissue Shaping Device		9,827,100 (11/28/2017)	<p><b>Owner</b> CARDIAC DIMENSIONS PTY. LTD.</p> <p><b>First Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame</u>: 045958/0663 <u>Recorded</u>: 04/16/2018</p> <p><b>Second Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame</u>: 063167/0447 <u>Recorded</u>: 03/27/2023</p>



No.	Description	Application Number	Registration Number	Notes on Ownership
53.	Mitral Valve Annuloplasty Device with Twisted Anchor		11,109,971 (09/07/2021)	<b>Owner</b> CARDIAC DIMENSIONS PTY. LTD. <b>Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame</u> : 063167/0447 <u>Recorded</u> : 03/27/2023
54.	Mitral Valve Annuloplasty Device with Twisted Anchor		11,285,005 (03/29/2022)	<b>Owner</b> CARDIAC DIMENSIONS PTY. LTD. <b>Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame</u> : 063167/0447 <u>Recorded</u> : 03/27/2023
55.	Tissue Shaping Device	17/304,168 (06/15/2021)		<b>Owner</b> CARDIAC DIMENSIONS PTY. LTD.
56.	Methods and Devices for Reducing Paravalvular Leakage		10,390,953 (08/27/2019)	<b>Owner</b> CARDIAC DIMENSIONS PTY. LTD. <b>Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame</u> : 063167/0447 <u>Recorded</u> : 03/27/2023
57.	Tissue Shaping Device		9,320,600 (04/26/2016)	<b>Owner</b> CARDIAC DIMENSIONS PTY. LTD. <b>First Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame</u> : 045958/0663 <u>Recorded</u> : 04/16/2018 <b>Second Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame</u> : 063167/0447 <u>Recorded</u> : 03/27/2023

EXHIBIT C

Trademarks

No.	Description	Serial Number	Registration Number	Notes on Ownership
1.	RESHAPING THE COURSE OF HEART FAILURE		6,136,148 (08/25/2020)	<b>Owner</b> CARDIAC DIMENSIONS PTY. LTD. <b>Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame:</u> 8017/0774 <u>Recorded:</u> 03/27/2023
2.	CARILLON		3,584,536 (03/03/2009)	<b>Owner</b> CARDIAC DIMENSIONS PTY. LTD. <b>First Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame:</u> 6314/0514 <u>Recorded:</u> 04/16/2018 <b>Second Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame:</u> 8017/0774 <u>Recorded:</u> 03/27/2023
3.	CARILLON MITRAL CONTOUR SYSTEM		3,667,387 (08/11/2009)	<b>Owner</b> CARDIAC DIMENSIONS PTY. LTD. <b>First Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame:</u> 6314/0514 <u>Recorded:</u> 04/16/2018 <b>Second Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame:</u> 8017/0774 <u>Recorded:</u> 03/27/2023

No.	Description	Serial Number	Registration Number	Notes on Ownership
4.	CARDIAC DIMENSIONS		2,644,214 (10/29/2002)	<p><b>Owner</b> CARDIAC DIMENSIONS PTY. LTD.</p> <p><b>First Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame:</u> 6314/0514 <u>Recorded:</u> 04/16/2018</p> <p><b>Second Security Interest</b> OXFORD FINANCE LLC <u>Reel/Frame:</u> 8017/0774 <u>Recorded:</u> 03/27/2023</p>

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**RECORDED: 06/30/2023**

**PATENT**  
**REEL: 064181 FRAME: 0669**