

<b>PATENT ASSIGNMENT COVER SHEET</b>
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EPAS ID: PAT8050774

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
DOOYONG LIM	10/16/2019
SUNGYONG KIM	10/16/2019
JAEHO KIM	10/16/2019
SOODEOK MOON	10/16/2019
DAEYEOP PARK	10/16/2019
JANGWOONG BAE	10/16/2019
JUNHYUNG LEE	10/16/2019
EUNGI HEO	10/16/2019
JISOON LIM	11/13/2020
<b>RECEIVING PARTY DATA</b>	
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<b>Street Address:</b>	150-20, GONGSE-RO, GIHEUNG-GU
<b>City:</b>	YONGIN-SI, GYEONGGI-DO
<b>State/Country:</b>	KOREA, REPUBLIC OF
<b>Postal Code:</b>	17084
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
<b>Application Number:</b>	18184637
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(626)577-8800
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Phone:</b>	626-795-9900
<b>Email:</b>	pto@lewisroca.com
<b>Correspondent Name:</b>	LEWIS ROCA ROTHGERBER CHRISTIE LLP
<b>Address Line 1:</b>	PO BOX 29001
<b>Address Line 4:</b>	GLENDALE, CALIFORNIA 91209-9001
<b>ATTORNEY DOCKET NUMBER:</b>	232011/412299-03269
<b>NAME OF SUBMITTER:</b>	DEBRA THOMAS

<b>SIGNATURE:</b>	/Debra Thomas/
<b>DATE SIGNED:</b>	07/11/2023
<b>Total Attachments: 6</b> source=232011 Parent Assignment#page1.tif source=232011 Parent Assignment#page2.tif source=232011 Parent Assignment#page3.tif source=232011 Parent Assignment#page4.tif source=232011 Parent Assignment#page5.tif source=232011 Parent Assignment#page6.tif	

**INVENTOR'S DECLARATION AND ASSIGNMENT  
FOR PATENT APPLICATION**

PATENT

Title of Invention: LARGE MODULE OF BATTERY  
Docket No.: 164796

**INVENTOR'S DECLARATION**

As a below named inventor, I hereby declare that:

This declaration is directed to the attached application unless the following is checked:

\_\_\_\_\_ United States Application or PCT International Application Number \_\_\_\_\_  
filed on \_\_\_\_\_

The above-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor of a claimed invention in the above-identified application.

I have reviewed and understand the contents of the above-identified application, including the claims.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. § 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. § 1001 by fine or imprisonment of not more than five (5) years, or both.

**ASSIGNMENT**

In consideration of good and valuable consideration, the receipt of which is hereby acknowledged, the undersigned,

(1) Dooyong LIM, Sungyong KIM, Jaeho KIM, Soodeok MOON, Daeyeop PARK,  
Jangwoong BAE, Junhyung LEE, Jisoon LIM, and Eungi HEO

HEREBY SELL(S), ASSIGN(S) AND TRANSFER(S) TO

(2) SAMSUNG SDI CO., LTD.

having a place of business at

(3) 150-20 Gongse-ro, Giheung-gu, Yongin-si, Gyeonggi-do, 17084, Republic of Korea

(hereinafter called "ASSIGNEE") the entire right, title and interest in and to any and all improvements which are disclosed in the application for United States Letters Patent entitled

(4) LARGE MODULE OF BATTERY

which application executed on even date herewith or was

INVENTOR'S DECLARATION AND ASSIGNMENT FOR PATENT APPLICATION

Docket No.: 164796

(a) executed on (5a): \_\_\_\_\_;
(b) filed on (5b): \_\_\_\_\_;
Application No.: \_\_\_\_\_;

(LEWIS ROCA ROTHGERBER CHRISTIE LLP, P.O. Box 29001, Glendale, CA 91209-9001) is hereby authorized to insert in (b) the specified data, when known.

including any and all United States Patents which may be granted on said application, and any and all extensions, divisions, reissues, substitutes, renewals or continuations of said application and patents, and the right to all benefits under all international conventions for the protection of industrial property and applications for said improvements.

It is hereby authorized and requested that the Commissioner of Patents issue any and all of said Letters Patent, when granted, to said ASSIGNEE, its assigns or its successors in interest or its designee.

Upon said consideration, it is further agreed that, when requested, without charge to but at the expense of said ASSIGNEE, the undersigned will execute all divisional, continuing, substitute, renewal, and reissue patent applications; execute all rightful other papers; and generally do everything possible which said ASSIGNEE shall consider desirable for aiding in securing and maintaining patent protection as provided herein.

Dooyong LIM
Legal Name of Inventor

2019.10.16
Date

[Signature]
Signature

Sungyong KIM
Legal Name of Inventor

2019.10.16
Date

[Signature]
Signature

Jaeho KIM
Legal Name of Inventor

2019.10.16
Date

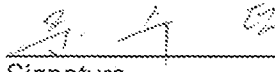
[Signature]
Signature

INVENTOR'S DECLARATION AND ASSIGNMENT  
FOR PATENT APPLICATION

Docket No.: 164796

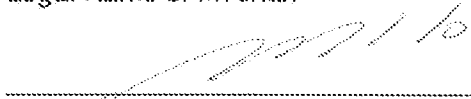
Soodeok MOON  
Legal Name of Inventor

2019. 10. 16  
Date

  
Signature

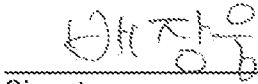
Daeyeop PARK  
Legal Name of Inventor

2019. 10. 16  
Date

  
Signature

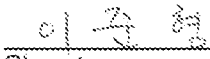
Jangwoong BAE  
Legal Name of Inventor

2019. 10. 16  
Date

  
Signature

Junhyung LEE  
Legal Name of Inventor

2019. 10. 16  
Date

  
Signature

Jisoon LIM  
Legal Name of Inventor

Date

Signature

Eungi HEO  
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2019. 10. 16  
Date

  
Signature  
AM 100366129A-\* -10/16/2019 6:08 PM

Doc code: Oath  
 Document Description: Oath or declaration filed

PTO/AIA/02 (07-13)  
 Approved for use through 04/30/2017. OMB 0651-0032  
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**SUBSTITUTE STATEMENT IN LIEU OF AN OATH OR DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (35 U.S.C. 115(d) AND 37 CFR 1.64)**

<b>Title of Invention</b>	BATTERY MODULE		
This statement is directed to:			
<input checked="" type="checkbox"/> The attached application, OR <input type="checkbox"/> United States application or PCT international application number _____ filed on _____			
<b>LEGAL NAME of inventor to whom this substitute statement applies:</b>			
(E.g., Given Name (first and middle (if any)) and Family Name or Surname)			
Jisoon LIM			
Residence (except for a deceased or legally incapacitated inventor):			
City	Yongin-si, Gyeonggi-do	State	Country KR
Mailing Address (except for a deceased or legally incapacitated inventor):			
c/o Samsung SDI Co., Ltd., 150-20 Gongse-ro, Giheung-gu			
City	Yongin-si, Gyeonggi-do	State	Country KR
		Zip	17084
I believe the above-named inventor or joint inventor to be the original inventor or an original joint inventor of a claimed invention in the application.			
The above-identified application was made or authorized to be made by me.			
I hereby acknowledge that any willful false statement made in this statement is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.			
Relationship to the inventor to whom this substitute statement applies:			
<input type="checkbox"/> Legal Representative (for deceased or legally incapacitated inventor only), <input type="checkbox"/> Assignee, <input checked="" type="checkbox"/> Person to whom the inventor is under an obligation to assign, <input type="checkbox"/> Person who otherwise shows a sufficient proprietary interest in the matter (petition under 37 CFR 1.46 is required), or <input type="checkbox"/> Joint Inventor.			

[Page 1 of 2]

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1 minute to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

## SUBSTITUTE STATEMENT

Circumstances permitting execution of this substitute statement:

- Inventor is deceased,
- Inventor is under legal incapacity,
- Inventor cannot be found or reached after diligent effort, or
- Inventor has refused to execute the oath or declaration under 37 CFR 1.63.

If there are joint inventors, please check the appropriate box below:

- An application data sheet under 37 CFR 1.76 (PTO/AIA/14 or equivalent) naming the entire inventive entity has been or is currently submitted.
- OR
- An application data sheet under 37 CFR 1.76 (PTO/AIA/14 or equivalent) has not been submitted. Thus, a Substitute Statement Supplemental Sheet (PTO/AIA/11 or equivalent) naming the entire inventive entity and providing inventor information is attached. See 37 CFR 1.64(b).

### WARNING:

Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.

### PERSON EXECUTING THIS SUBSTITUTE STATEMENT:

Name: <b>Taeweon HEO</b>	Date (Optional):
Signature: <i>Taeweon HEO</i>	

### APPLICANT NAME AND TITLE OF PERSON EXECUTING THIS SUBSTITUTE STATEMENT:

If the applicant is a juristic entity, list the applicant name and the title of the signer.

**SAMSUNG SDI CO., LTD.**

Applicant Name:

Title of Person Executing This Substitute Statement: **Director**

The signer, whose title is supplied above, is authorized to act on behalf of the applicant.

### Residence of the signer (unless provided in an application data sheet, PTO/AIA/14 or equivalent):

City <b>Yongin-si, Gyeonggi-do</b>	State	Country <b>KR</b>
Mailing Address of the signer (unless provided in an application data sheet, PTO/AIA/14 or equivalent) 150-20 Gongse-ro, Giheung-gu		
City <b>Yongin-si, Gyeonggi-do</b>	State	Zip <b>17084</b> Country <b>KR</b>

Note: Use an additional PTO/AIA/02 form for each inventor who is deceased, legally incapacitated, cannot be found or reached after diligent effort, or has refused to execute the oath or declaration under 37 CFR 1.63.

## Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

**PATENT**

**RECORDED. 07/11/2023**

**REEL: 064214 FRAME: 0493**