

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

EPAS ID: PAT8064764

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
PLASMOTICA, LLC	06/29/2023
RECEIVING PARTY DATA	
Name:	FAISAL A. SALEH
Street Address:	1764 LITCHFIELD TURNPIKE, SUITE 200
City:	WOODBIDGE
State/Country:	CONNECTICUT
Postal Code:	06525
PROPERTY NUMBERS Total: 2	
Property Type	Number
Patent Number:	10497541
Patent Number:	10832895
CORRESPONDENCE DATA	
Fax Number:	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	4152387200
Email:	filings@ipexcel.com
Correspondent Name:	JASON C. CAMERON
Address Line 1:	13102, PLUMWOOD DRIVE
Address Line 4:	CYPRESS, TEXAS 77429
ATTORNEY DOCKET NUMBER:	302300101US-AG
NAME OF SUBMITTER:	JASON C. CAMERON
SIGNATURE:	/Jason C. Cameron/
DATE SIGNED:	07/19/2023
This document serves as an Oath/Declaration (37 CFR 1.63).	
Total Attachments: 8	
source=302300101US_AG_Statement_10497541#page1.tif	
source=302300101US_AG_Statement_10497541#page2.tif	
source=302300101US_AG_Statement_10497541#page3.tif	
source=302300101US_AG_Statement_10832895#page1.tif	

source=302300101US_AG_Statement_10832895#page2.tif
source=302300101US_AG_Statement_10832895#page3.tif
source=Assignment Letter_302300101US-AG#page1.tif
source=Assignment Letter_302300101US-AG#page2.tif

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(c)Applicant/Patent Owner: Plasmotica, LLCApplication No./Patent No.: 10497541 Filed/Issue Date: 2017-05-19Titled: APPARATUS AND METHOD FOR PROGRAMMABLE SPATIALLY SELECTIVE NANOSCALE SURFACE FUNCTIONALIZATIONPlasmotica, LLC, a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that, for the patent application/patent identified above, it is (choose **one** of options 1, 2, 3 or 4 below):

1. The assignee of the entire right, title, and interest.
2. An assignee of less than the entire right, title, and interest (check applicable box):
- The extent (by percentage) of its ownership interest is _____%. Additional Statement(s) by the owners holding the balance of the interest must be submitted to account for 100% of the ownership interest.
- There are unspecified percentages of ownership. The other parties, including inventors, who together own the entire right, title and interest are:

Additional Statement(s) by the owner(s) holding the balance of the interest must be submitted to account for the entire right, title, and interest.

3. The assignee of an undivided interest in the entirety (a complete assignment from one of the joint inventors was made). The other parties, including inventors, who together own the entire right, title, and interest are:

Additional Statement(s) by the owner(s) holding the balance of the interest must be submitted to account for the entire right, title, and interest.

4. The recipient, via a court proceeding or the like (e.g., bankruptcy, probate), of an undivided interest in the entirety (a complete transfer of ownership interest was made). The certified document(s) showing the transfer is attached.

The interest identified in option 1, 2 or 3 above (not option 4) is evidenced by either (choose **one** of options A or B below):

- A. An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
- B. A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: Plasmotica, LLC To: Faisal A. SalehThe document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

[Page 1 of 2]

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PATENT
REEL: 064306 FRAME: 0261

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STATEMENT UNDER 37 CFR 3.73(c)

3. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

4. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

5. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

6. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

Additional documents in the chain of title are listed on a supplemental sheet(s).

As required by 37 CFR 3.73(c)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

/Jason C. Cameron/

2023-07-19

Signature

Date

Jason C. Cameron

74396

Printed or Typed Name

Title or Registration Number

Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

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STATEMENT UNDER 37 CFR 3.73(c)Applicant/Patent Owner: Plasmotica, LLCApplication No./Patent No.: 10832895 Filed/Issue Date: 2017-05-19Titled: STAND ALONE MICROFLUIDIC ANALYTICAL CHIP DEVICEPlasmotica, LLC, a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that, for the patent application/patent identified above, it is (choose **one** of options 1, 2, 3 or 4 below):

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1. From: Plasmotica, LLC To: Faisal A. SalehThe document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

[Page 1 of 2]

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PATENT
REEL: 064306 FRAME: 0264

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STATEMENT UNDER 37 CFR 3.73(c)

3. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

4. From: _____ To: _____

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Reel _____, Frame _____, or for which a copy thereof is attached.

5. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

6. From: _____ To: _____

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[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

/Jason C. Cameron/

2023-07-19

Signature

Date

Jason C. Cameron

74396

Printed or Typed Name

Title or Registration Number

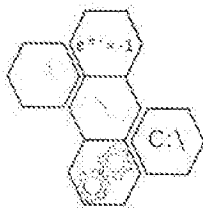
[Page 2 of 2]

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2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
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6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
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9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.



Jason C. Cameron
JCAMERON IP LAW FIRM, L.L.C.
13102 PLUMWOOD DRIVE
CYPRESS, TEXAS 77429
(415) 238-7200
jason@ipexcel.com

JUNE 29, 2023

VIA email to TParisot@ctlawyers.com and fsaleh@auxilient.com

Assignee: Thomas G. Parisot
Secor, Cassidy & McPartland, P.C.
41 Church Street
P.O. Box 2818
Waterbury CT, 06723-2818
Office: 203-757-9261
Mobile: 203-232-0180
TParisot@ctlawyers.com

Assignor: Faisal A. Saleh
1764 Litchfield Turnpike, Suite 200
Woodbridge, CT 06525
Mobile: 203-530-2248
fsaleh@auxilient.com

RE: ASSIGNMENT OF IP OWNERSHIP RIGHTS

Our Reference no. 302300101US

To Whom It May Concern:

I represent Faisal A. Saleh (Assignor), sole owner of Plasmotica Inc. Mr. Saleh has agreed to sell all intellectual (IP) property to Thomas G. Parisot (Assignee) for consideration. This Assignment is effective as of JUNE 29, 2023. The IP includes:

PATENTS AND PATENT APPLICATIONS:

Active patents:

- 1. US Pat. No. **10,832,895**; filed 05-19-2017
Status: Active Patent; Issued 11/10/2020
- 2. US Pat. No. **10,497,541**; filed 05-19-2017
Status: Active Patent; Issued 12/3/2019

Abandoned patent applications:

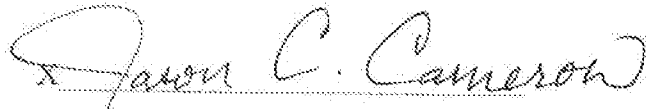
- 3. PCT Pat. App. **PCT/US17/33695**, filed 05-19-2017
- 4. US Pat. App. No. **15/630,164**; filed 06-22-2017
Status: Abandoned 8/18/2020 due to no reply to FOA
- 5. US Pat. App. No. **15/630,137**; filed 06-22-2017
Status: Abandoned 3/31/2023 due to no reply to FOA
- 6. US Pat. App. No. **15/630,095**; filed 06-22-2017
Status: Abandoned 1/30/2021 due to no reply to FOA
- 7. US Pat. App. No. **15/600,492**; filed 05-19-2017
Status: Abandoned 2/26/2021 due to no reply to FOA

OTHER IP ASSETS

- 8. **Website:** www.plasmotica.com website and domain plasmotica.com
- 9. **Common-law logo Trademark** "PLASMOTICA"

Any questions regarding this assignment can be directed to me.

Sincerely,



Jason C. Cameron

Patent Attorney

U.S. PTO Reg. No. 74,396

X _____

Thomas G. Parisot
Assignee

DATE: _____

X _____

Faisal A. Saleh
Assignor

DATE: _____