

PATENT ASSIGNMENT COVER SHEET

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EPAS ID: PAT8166253

SUBMISSION TYPE:	NEW ASSIGNMENT	
NATURE OF CONVEYANCE:	ASSIGNMENT	
CONVEYING PARTY DATA		
	Name	Execution Date
	MATTHEW LAU	09/13/2023
	SARAH YING XU	09/13/2023
	RAFAEL SERAPHIN SESTE	09/13/2023
	FELIPE MINEY GONÇALVES DA COSTA	09/13/2022
	JESSÉ DE MOURA TAVANO MORETTO	09/13/2023
RECEIVING PARTY DATA		
Name:	GUARDIAN ANALYTICS, INC.	
Street Address:	2465 LATHAM ST.	
City:	MOUNTAIN VIEW	
State/Country:	CALIFORNIA	
Postal Code:	94040	
PROPERTY NUMBERS Total: 1		
Property Type	Number	
Application Number:	17868828	
CORRESPONDENCE DATA		
Fax Number:		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>		
Email:	office@sanlaw.legal	
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ATTORNEY DOCKET NUMBER:	7753/10.1.1	
NAME OF SUBMITTER:	SHARONE R. GODESH	
SIGNATURE:	/SHARONE R. GODESH/	
DATE SIGNED:	09/14/2023	
Total Attachments: 4		
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ASSIGNMENT OF PATENT APPLICATION

Whereas We, **Matthew LAU, Sarah Ying XU, Rafael Seraphin SESTE, Felipe Miney Gonçalves DA COSTA** and **Jessé de Moura Tavano MORETTO** (hereinafter: the “Assignors”), are co-inventors of a certain invention entitled **SANDBOX BASED TESTING AND UPDATING OF MONEY LAUNDERING DETECTION PLATFORM** (hereinafter: the “Invention”), desirous to transfer the entire right, title and interest in and to (1) the Invention, (2) any and all patent applications filed on the invention in any country or jurisdiction worldwide, including but not limited to a United States Patent Application No. 17/868,828 filed as a Continuation Patent Application of United States Patent Application No. 16/851,573 dated April 17, 2020 (hereinafter: the “Patent Applications”); and, (3) to any and all patents which may be granted on the Patent Applications in any country or jurisdiction worldwide (hereinafter: the “Patents”):

And whereas **GUARDIAN ANALYTICS, INC.**, a corporation organized under and existing by virtue of the laws of the State of California, and having a place of business at **2465 Latham St., Mountain View, CA 94040, United States** (hereinafter: the “Assignee”) is desirous of acquiring the entire right, title and interest in and to the Invention, the Patent Applications and the Patents;

Now, therefore, in consideration of the sum of one dollar (\$1.00), and other good and valuable consideration the receipt of which is hereby acknowledged, the Assignors, by these presents, do hereby sell, assign and transfer unto the Assignee the entire right, title and interest in and to (1) the Invention; (2) the Patent Applications; (3) the Patents; (4) any and all divisional, continuation, continuation-in-part, substitute, and reissue applications for Letters Patent resulting from any of the Patent Applications including all priority rights under the Patent Cooperation Treaty (PCT) an International Convention, and all other International Conventions (hereinafter: the “Related Applications”).

The Assignors agree that, when requested, without charge to but at the expense of the Assignee, the Assignor’s successors, assigns and legal representatives, in order to carry out in good faith the intent and purpose of this assignment, shall apply for and execute all original, divisional, continuing, continuing-in-part, substitute or reissue patent applications for the Invention; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to the Assignee, its successors, assigns, and representatives, all facts known to the Assignors relating to the Invention and/or any Patent Applications and/or any Patents and/or any Related Applications; and generally do

everything possible which the Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing and maintaining proper patent protection for the Invention and for vesting title in and to the Invention and in and to any and all applications for patents thereon in the Assignee, its successors, assigns and legal representatives.

The Assignors hereby request and authorize any and all official patent authorities worldwide, to issue Letters Patent on the Patent Applications, and Letters Patent on any and all Related Applications, to the Assignee as the assignee of the entire right, title, and interest in and to the same, for the Assignee's sole use and benefit, and for the use and benefit of the Assignee's legal representatives, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held by the Assignors had this assignment and sale not been made.

Executed by us on the below indicated beside our signatures.

Matthew LAU

66 Mercury St., San Francisco, CA
94124, US

Witness Name:

Moty Cory

DocuSigned by: IP Director
Signature: Moty Cory Guardian Analytics INC. Date: September 13, 2023
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Signature of Witness:

Date:

Sarah Ying XU

1479 Newfoundland Dr., Sunnyvale,
CA 94087, US

Witness Name:

Moty Cory

DocuSigned by: IP Director
Signature: Moty Cory Guardian Analytics INC. Date: September 13, 2023
9DB6843A563B499...

Signature of Witness:

Date:

Rafael Seraphin SESTE

Rua Osvaldo da Costa Chaves, 53,
Itatiba, Sao Paulo 13255-126, BR

Witness Name:

Signature: Moty Cory

Date:

DocuSigned by: IP Director
Signature: Moty Cory Guardian Analytics INC. Date: September 13, 2023
9DB6843A563B499...

Signature of Witness:

Date:

Felipe Miney Gonçalves DA COSTA

Rua Jose Augusto Silva, 761,
Campinas, Sao Paulo 13087570, BR

Witness Name:

Signature:

Date:

Felipe Miney
Gonçalves da Costa
Digitally signed by Felipe Miney
Gonçalves da Costa
Date: 2022.09.13 10:17:29 -03'00'

Signature of Witness:

Date:

Jessé de Moura Tavano MORETTO

Rua Willian Booth, 229, Campinas,
Sao Paulo 13060-074, BR

Witness Name:

Signature: Moty Cory

Date:

DocuSigned by: IP Director
Signature: Moty Cory Guardian Analytics INC. Date: September 13, 2023
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Signature of Witness:

Date:

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(c)Applicant/Patent Owner: GUARDIAN ANALYTICS, INC.Application No./Patent No.: 17868828Filed/Issue Date: 20-JUL-2022Titled: SANDBOX BASED TESTING AND UPDATING OF MONEY LAUNDERING DETECTION PLATFORMGUARDIAN ANALYTICS, INC., a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that, for the patent application/patent identified above, it is (choose one of options 1, 2, 3 or 4 below):

1. ☒ The assignee of the entire right, title, and interest.
2. ☐ An assignee of less than the entire right, title, and interest (check applicable box):
- ☐ The extent (by percentage) of its ownership interest is _____%. Additional Statement(s) by the owners holding the balance of the interest must be submitted to account for 100% of the ownership interest.
- ☐ There are unspecified percentages of ownership. The other parties, including inventors, who together own the entire right, title and interest are:

Additional Statement(s) by the owner(s) holding the balance of the interest must be submitted to account for the entire right, title, and interest.

3. ☐ The assignee of an undivided interest in the entirety (a complete assignment from one of the joint inventors was made). The other parties, including inventors, who together own the entire right, title, and interest are:

Additional Statement(s) by the owner(s) holding the balance of the interest must be submitted to account for the entire right, title, and interest.

4. ☐ The recipient, via a court proceeding or the like (e.g., bankruptcy, probate), of an undivided interest in the entirety (a complete transfer of ownership interest was made). The certified document(s) showing the transfer is attached.

The interest identified in option 1, 2 or 3 above (not option 4) is evidenced by either (choose one of options A or B below):

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at

Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at

Reel _____, Frame _____, or for which a copy thereof is attached.

[Page 1 of 2]

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PATENT**REEL: 064898 FRAME: 0241**

STATEMENT UNDER 37 CFR 3.73(c)

3. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
 Reel _____, Frame _____, or for which a copy thereof is attached.

4. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
 Reel _____, Frame _____, or for which a copy thereof is attached.

5. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
 Reel _____, Frame _____, or for which a copy thereof is attached.

6. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
 Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet(s).

☐ As required by 37 CFR 3.73(c)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

/SHARONE R. GODESH/

2023-09-14

Signature

Date

Sharone R. Godesh

76335

Printed or Typed Name

Title or Registration Number