

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

EPAS ID: PAT8171217

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	CORRECTION BY DECLARATION ERRONEOUSLY FILED AGAINST 061157/0661
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
THE JOHNS HOPKINS UNIVERSITY	01/19/2023
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	THE JOHNS HOPKINS UNIVERSITY
<b>Street Address:</b>	3400 N. CHARLES STREET
<b>City:</b>	BALTIMORE
<b>State/Country:</b>	MARYLAND
<b>Postal Code:</b>	21218
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
Application Number:	15977940
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Phone:</b>	9496233555
<b>Email:</b>	corie.wolf@klgates.com
<b>Correspondent Name:</b>	K&L GATES
<b>Address Line 1:</b>	1 PARK PLAZA, 12TH FLOOR
<b>Address Line 4:</b>	IRVINE, CALIFORNIA 92614
<b>ATTORNEY DOCKET NUMBER:</b>	1960110.00082
<b>NAME OF SUBMITTER:</b>	MICHELLE GLASKY BERGMAN
<b>SIGNATURE:</b>	/Michelle Glasky Bergman/
<b>DATE SIGNED:</b>	09/15/2023
<b>Total Attachments: 2</b>	
source=1960110.00082 Assignment Declaration#page1.tif	
source=1960110.00082 Assignment Declaration#page2.tif	

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Confirmation No. : 9082  
Appln. No. : 15/977,940  
Filed : 05/11/2018  
Patent No. : 10,646,437  
Issued : 05/12/2020  
TC/A.U. : 1616  
Examiner : Mina Haghghatian  
Docket No. : 1960110.00082  
Customer No. : 45200  
Title : COMPOSITIONS AND METHODS FOR OPHTHALMIC AND/OR  
OTHER APPLICATIONS

---

**Declaration Regarding Erroneously Recorded Assignment**

I, Michelle Glasky Bergman, Applicant's representative in the above-referenced application, hereby declare:

1. An assignment from the inventors (Popov, Enlow, Chen, and Bourassa) to Kala Pharmaceuticals, Inc. (reel/frame 046617/0906) in the above-referenced application was recorded on August 10, 2018.
2. An assignment from Kala Pharmaceuticals, Inc. to The Johns Hopkins University (reel/frame 046617/0911) in the above-referenced application was recorded on August 10, 2018.
3. An assignment from Kala Pharmaceuticals, Inc. to Alcon Pharmaceuticals Ltd. (reel/frame 061157/0573) in the above application was recorded on September 20, 2022.
4. An assignment from Alcon Pharmaceuticals Ltd. to Alcon Inc. (reel/frame 061157/0661) in the above application was recorded on September 20, 2022.
5. The assignments from Kala Pharmaceuticals, Inc to Alcon Pharmaceuticals Ltd. and subsequently to Alcon Inc. were recorded in error. Kala Pharmaceuticals, Inc. had previously assigned their rights in this application to The Johns Hopkins University (reel/frame 046617/0911 as described above) and did not have any rights to assign.
6. Applicant requests that the Assignment Record be amended to reflect the correct Assignee and Applicant in the above-referenced application, The Johns Hopkins University.

7. I declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the above-identified patent.

Respectfully submitted,

Dated: January 19, 2023

/Michelle Glasky Bergman/  
Michelle Glasky Bergman, Ph.D.  
Registration No. 54124  
Tel: (949) 623-3564  
Fax: (949) 623-4485

**K&L GATES LLP**  
1 Park Plaza, 12th Floor  
Irvine, CA 92614-7319  
Email: OCpatents@klgates.com