PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT8200271

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	CHANGE OF NAME

CONVEYING PARTY DATA

Name	Execution Date
EQUINOX OPHTHALMIC, INC.	10/02/2023

RECEIVING PARTY DATA

Name:	BALANCE OPHTHALMICS, INC.	
Street Address: 3101 W. 57TH STREET		
City:	: SIOUX FALLS	
State/Country: SOUTH DAKOTA		
Postal Code:	57108	

PROPERTY NUMBERS Total: 28

Property Type	Number
Application Number:	62210751
Application Number:	15754723
Application Number:	17077205
Application Number:	62311052
Application Number:	16083302
Application Number:	17014631
Application Number:	18199573
Application Number:	62716669
Application Number:	17168670
Application Number:	18243869
PCT Number:	US2019045767
Application Number:	62691210
Application Number:	17130094
PCT Number:	US2019039399
Application Number:	62743851
Application Number:	17225539
PCT Number:	US2019055515
Application Number:	62870554
PCT Number:	US2020040700
Application Number:	62979759

PATENT REEL: 065102 FRAME: 0299

508153090

Property Type	Number
Application Number:	63176485
Application Number:	63338140
Application Number:	63010306
Application Number:	63180758
PCT Number:	US2022026495
Application Number:	63011540
PCT Number:	US2021027726
Application Number:	63155999

CORRESPONDENCE DATA

Fax Number:

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Email: request@slwip.com

Correspondent Name: SCHWEGMAN LUNDBERG & WOESSNER P.A.

Address Line 1: P.O. BOX 2938

Address Line 4: MINNEAPOLIS, MINNESOTA 55402

ATTORNEY DOCKET NUMBER:	4555.000001
NAME OF SUBMITTER:	ROBIN L. MONSEES
SIGNATURE:	/ ROBIN L. MONSEES /
DATE SIGNED:	10/03/2023

Total Attachments: 31

source=4555000001 ASSN#page1.tif source=4555000001 ASSN#page2.tif source=4555000001 ASSN#page3.tif source=4555000001_ASSN#page4.tif source=4555000001 ASSN#page5.tif source=4555000001 ASSN#page6.tif source=4555000001_ASSN#page7.tif source=4555000001_ASSN#page8.tif source=4555000001_ASSN#page9.tif source=4555000001 ASSN#page10.tif source=4555000001 ASSN#page11.tif source=4555000001_ASSN#page12.tif source=4555000001_ASSN#page13.tif source=4555000001 ASSN#page14.tif source=4555000001 ASSN#page15.tif source=4555000001_ASSN#page16.tif source=4555000001_ASSN#page17.tif source=4555000001 ASSN#page18.tif source=4555000001 ASSN#page19.tif source=4555000001 ASSN#page20.tif

source=4555000001 ASSN#page21.tif

source=4555000001_ASSN#page22.tif	
source=4555000001_ASSN#page23.tif	
source=4555000001_ASSN#page24.tif	
source=4555000001_ASSN#page25.tif	
source=4555000001_ASSN#page26.tif	
source=4555000001_ASSN#page27.tif	
source=4555000001_ASSN#page28.tif	
source=4555000001_ASSN#page29.tif	
source=4555000001_ASSN#page30.tif	
source=4555000001_ASSN#page31.tif	

CONFIRMATORY ASSIGNMENT

WHEREAS, **Equinox Ophthalmic, Inc.**, an entity organized and existing under and by virtue of the laws of Delaware, United States of America, and having principal offices at 2424 S.E. Bristol St., Ste. 250, Newport Beach, CA 92660 (hereinafter "Assignor"), by operation of an executed Asset Purchase Agreement effective April 14, 2023, transferred the assets identified in Appendix A (hereinafter collectively "Patent Properties"), including all inventions and other subject matter described in the Patent Properties, to **Balance Ophthalmics, Inc.**, an entity organized and existing under and by virtue of the laws of Delaware, United States of America, and having principal offices at 3101 W. 57th Street, Sioux Falls, SD 57108 (hereinafter "Assignee"); and

WHEREAS, Assignee is desirous of confirming Assignee's acquisition of the entire right, title and interest in and to said Patent Properties, including all inventions and other subject matter described therein, and any patent to be obtained in relation therefor;

NOW, THEREFORE, to all whom it may concern, be it known that for good and valuable consideration, the receipt and sufficiency whereof is hereby acknowledged, the Assignor by way of confirmation hereby:

ASSIGNS, CONVEYS AND TRANSFERS to the Assignee the Assignor's entire right, title, and interest for the United States and all foreign countries and jurisdictions in and to:

said Patent Properties, including all original and reissued patents which have been or shall be issued in the United States and all foreign countries and jurisdictions based in whole or in part on any of said Patent Properties;

all divisional, continuing (including continuation-in-part), substitute, renewal, reissue, and all other applications for a patent or patents which have been or shall be filed in the United States (including all provisional and non-provisional applications), and in all foreign countries and jurisdictions, based in whole or in part on any of said Patent Properties (including any application for a utility model or an innovation patent application);

the right to claim priority to said Patent Properties in relation to subject matter based in whole or in part on said Patent Properties and any of the foregoing including the right to file foreign applications under the provisions of any convention or treaty; and

the right to all causes of action, remedies, and other enforcement rights related to said Patent Properties, including without limitation the right to sue for past, present, or future infringement, misappropriation, or violation of any and all rights related to said Patent Properties and any of the foregoing, including the right to obtain and collect damages and/or royalties due for past, present, or future infringement;

AUTHORIZES AND REQUESTS the issuing authority to issue any and all United States and foreign patents granted on said Patent Properties to the Assignee;

AUTHORIZES AND REQUESTS that any attorney associated with U.S. Patent and Trademark Office (USPTO) Customer No. 21186 may (directly or through his/her designee) delete, insert, or alter any information related to said Patent Properties or any of the foregoing, after execution of this Assignment;

COVENANTS, that should any provision of this agreement be held unenforceable by an authority of competent jurisdiction, such a ruling shall not affect the validity and enforceability of the remaining provisions.

THIS AGREEMENT IS TO BE BINDING on the assigns, representatives, and successors of the Assignor, and is to extend to the benefit of the successors, assigns, and nominees of the Assignee, and this agreement may be executed in counterparts, each of which when executed and delivered shall constitute an original, and all counterparts shall together constitute the agreement.

ASSIGNMENT OF PATENT PROPERTIES BY EQUINOX OPHTHALMIC, INC. TO BALANCE OPHTHALMICS, INC. Attorney Docket No. 4555.000001
Page 3 of 25

AGREED to by Assignor as of the Date of the Signature Below:

Assignor: Equinox Ophthalmic, Inc.

Signature: Printed Name: John Berdahl

Title: CEO

5804 Ø 25	
ACCEPTED by A	issignee as of the Date of the Signature Below:
Assignee:	Balance Ophthalmics, Inc.
	Signature: 444
	Printed Name: Seph Jensen
	Title: Chief Executive Officer

NUMBER OF PATERT PROPERTYS BY EQUINON OPETHALMIC, HELL TO BALANCE OPPTHALMICS, INC.

Attorney Cocket No. 4555-000001

ASSIGNMENT OF PATENT PROPERTIES BY EQUINOX OPHTHALMIC, INC. TO BALANCE OPHTHALMICS, INC. Attorney Docket No. 4555.000001
Page 5 of 25

Appendix A – Patent Properties (as of 1-Jul-2023)

Index	File Number	Title	Matter Type	Country	Status	Application #
1	4555.001AU1	EYE-RELATED	Utility - NSPCT	Australia	Issued	2016311449
		INTRABODY PRESSURE				
		IDENTIFICATION AND		\		
		MODIFICATION		• •		
2	4555.001AU2	EYE-RELATED	Utility - DIV	Australia	Issued	2019202196
		INTRABODY PRESSURE		ţ		
		IDENTIFICATION AND				
	at	MODIFICATION				
3	4555.001AU3	EYE-RELATED	Utility - DIV	Australia	Issued	2020286245
		INTRABODY PRESSURE		,		
	· ·	IDENTIFICATION AND				
		MODIFICATION				·
4	4555.001BR1	EYE-RELATED	Utility - NSPCT	Brazil	Pending	1120180038385
		INTRABODY PRESSURE		,		
		IDENTIFICATION AND		:		
		MODIFICATION				
5	4555.001BR2	EYE-RELATED	Utility - DIV	Brazil	Closed	
		INTRABODY PRESSURE		:		

ASSIGNMENT OF PATENT PROPERTIES BY EQUINOX OPHTHALMIC, INC. TO BALANCE OPHTHALMICS, INC. Attorney Docket No. 4555.000001

Page	6	οf	25

		IDENTIFICATION AND				
		MODIFICATION		•		
6	4555.001CA1	EYE-RELATED	Utility - NSPCT	Canada .	Issued	2,998,477
		INTRABODY PRESSURE		•		
		IDENTIFICATION AND				
		MODIFICATION				
7	4555.001CN1	EYE-RELATED	Utility - NSPCT	China	Issued	201680056279.2
		INTRABODY PRESSURE				
		IDENTIFICATION AND				ı
		MODIFICATION				
8	4555.001CN2	EYE-RELATED	Utility - DIV	China 🤄	Pending	202111044223.4
		INTRABODY PRESSURE				
		IDENTIFICATION AND				
	·	MODIFICATION				
9	4555.001EP1	EYE-RELATED	Utility - NSPCT	European	Abandoned	16763632.3
		INTRABODY PRESSURE		Patent Office		
		IDENTIFICATION AND		. •		
		MODIFICATION				
10	4555.001HK1	EYE-RELATED	Utility - CONF	Hong Kong	Abandoned	18116624.0
		INTRABODY PRESSURE		<i>į</i> ,		

ASSIGNMENT OF PATENT PROPERTIES BY EQUINOX OPHTHALMIC, INC. TO BALANCE OPHTHALMICS, INC. Attorney Docket No. 4555.000001
Page 7 of 25

		IDENTIFICATION AND				
		MODIFICATION				
11	4555.001IN1	EYE-RELATED	Utility - NSPCT	India	Pending	201817010073
		INTRABODY PRESSURE				
		IDENTIFICATION AND				
		MODIFICATION		<i>:</i> .		
12	4555.001JP1	EYÉ-RELATED	Utility - NSPCT	Japan	Abandoned	2018-529509
		INTRABODY PRESSURE				
		IDENTIFICATION AND				
	,	MODIFICATION		*.		:
13	4555.001JP2	EYE-RELATED	Utility - DIV	Japan	Abandoned	2021-128035
		INTRABODY PRESSURE				
		IDENTIFICATION AND				
		MODIFICATION				
14	4555.001JP3	EYE-RELATED	Utility - DIV	Japan _.	Pending	2022-190027
		INTRABODY PRESSURE				
		IDENTIFICATION AND				
		MODIFICATION		è		
15	4555.001KR1	EYE-RELATED	Utility - NSPCT	Republic of	Issued	10-2018-7008191
		INTRABODY PRESSURE		Korea		

ASSIGNMENT OF PATENT PROPERTIES BY EQUINOX OPHTHALMIC, INC. TO BALANCE OPHTHALMICS, INC. Attorney Docket No. 4555,000001

Page	8	of	25	

		IDENTIFICATION AND		. ,		
	!	MODIFICATION				
16	4555.001KR2	EYE-RELATED	Utility - DIV	Republic of	Issued	10-2020-7020680
		INTRABODY PRESSURE		Korea		
		IDENTIFICATION AND				
		MODIFICATION		ŕ		
17	4555.001MX1	EYE-RELATED	Utility - NSPCT	Mexico	Abandoned	MX/a/2018/002494
		INTRABODY PRESSURE		. • . •		
		IDENTIFICATION AND	٠			
		MODIFICATION		,		
18	4555.001PRV	DETECTING INTRABODY	Prov - ORG	United States	Expired	62/210,751
		PRESSURE USING EYE		of America		
		BLOOD VESSEL			,	
	,	CHARACTERISTIC			, 	
19	4555.001US1	EYE-RELATED	Utility - NSPCT	United States	Issued	15/754,723
		INTRABODY PRESSURE		of America		
		IDENTIFICATION AND		,		
		MODIFICATION				
20	4555.001US2	EYE-RELATED	Utility - CON	United States	Pending	17/077,205
		INTRABODY PRESSURE		of America		

ASSIGNMENT OF PATENT PROPERTIES BY EQUINOX OPHTHALMIC, INC. TO BALANCE OPHTHALMICS, INC. Attorney Docket No. 4555.000001 Page 9 of 25

<u></u>						
		IDENTIFICATION AND				
		MODIFICATION				
21	4555.001WO1	EYE-RELATED	Utility - ORG	PCT	Completed	PCT/US2016/048784
		INTRABODY PRESSURE				
		IDENTIFICATION AND		.		·
		MODIFICATION	:			
22	4555.002PRV	Apparatus and Methods	Prov - ORG	United States	Expired	62/311,052
		for Ocular Pressure		of America		
		Modification		•		
23	4555.003AU1	THERAPEUTIC EYE	Utility - NSPCT	Australia	Issued	2017229507
		TREATMENT WITH				
		GASES		• .		
24	4555.003AU2	THERAPEUTIC EYE	Utility - DIV	Australia	Issued	2020202299
		TREATMENT WITH		· · · · · · · · · · · · · · · · · · ·		
		GASES				
25	4555.003AU3	THERAPEUTIC EYE	Utility - DIV	Australia	Pending	2022204389
		TREATMENT WITH		· :.		
		GASES		i i		

ASSIGNMENT OF PATENT PROPERTIES BY EQUINOX OPHTHALMIC, INC. TO BALANCE OPHTHALMICS, INC. Attorney Docket No. 4555.000001

Page 10 of 25	Page	10	of	25
---------------	------	----	----	----

26	4555.003BE1	THERAPEUTIC EYE	Utility - EPPAT	Belgium	Issued	17712612.5
		TREATMENT WITH				
		GASES				
27	4555.003BR1	THERAPEUTIC EYE	Utility - NSPCT	Brazil	Allowed	1120180681784
		TREATMENT WITH				
		GASES				
28	4555.003CA1	THERAPEUTIC EYE	Utility - NSPCT	Canada	Allowed	3,017,154
		TREATMENT WITH		.		·
		GASES				
29	4555.003CA2	THERAPEUTIC EYE	Utility - DIV	Canada .	Closed	
		TREATMENT WITH		٠.		
		GASES				-
30	4555.003CN1	THERAPEUTIC EYE	Utility - NSPCT	China '	Issued	201780028637.3
		TREATMENT WITH				
		GASES		•.*		
31	4555.003CN2	THERAPEUTIC EYE	Utility - DIV	China	Pending	202110187610.7
		TREATMENT WITH				
		GASES				

ASSIGNMENT OF PATENT PROPERTIES BY EQUINOX OPHTHALMIC, INC. TO BALANCE OPHTHALMICS, INC. Attorney Docket No. 4555.000001
Page 11 of 25

32	4555.003DE1	THERAPEUTIC EYE	Utility - EPPAT	Germany	Issued	17712612.5
		TREATMENT WITH				
		GASES	·			
33	4555.003DK1	THERAPEUTIC EYE	Utility - EPPAT	Denmark	Issued	17712612.5
	·	TREATMENT WITH				
		GASES				
34	4555.003EP1	THERAPEUTIC EYE	Utility - NSPCT	European	Completed	17712612.5
		TREATMENT WITH		Patent Office		
		GASES				
35	4555.003EP2	THERAPEUTIC EYE	Utility - DIV	European	Abandoned	20159377.9
		TREATMENT WITH		Patent Office	4	
	,	GASES				
36	4555.003ES1	THERAPEUTIC EYE	Utility - EPPAT	Spain	Issued	17712612.5
		TREATMENT WITH				
		GASES		· •		
37	4555.003FR1	THERAPEUTIC EYE	Utility - EPPAT	France	Issued	17712612.5
		TREATMENT WITH		÷		
	•	GASES				

ASSIGNMENT OF PATENT PROPERTIES BY EQUINOX OPHTHALMIC, INC. TO BALANCE OPHTHALMICS, INC. Attorney Docket No. 4555.000001
Page 12 of 25

38	4555.003GB1	THERAPEUTIC EYE	Utility - EPPAT	United	Issued	17712612.5
		TREATMENT WITH		Kingdom		
		GASES				
39	4555.003HK1	THERAPEUTIC EYE	Utility - CONF	Hong Kong	Issued	19126769.9
		TREATMENT WITH	-			
	_	GASES				
40	4555.003IN1	THERAPEUTIC EYE	Utility - NSPCT	India	Pending	201817038048
		TREATMENT WITH		·		
		GASES				
41	4555.003IT1	THERAPEUTIC EYE	Utility - EPPAT	Italy	Issued	17712612.5
		TREATMENT WITH		* +		
		GASES		. *		
42	4555.003JP1	THERAPEUTIC EYE	Utility - NSPCT	Japan	Issued	2018-547265
		TREATMENT WITH				
		GASES				
43	4555.003JP2	THERAPEUTIC EYE	Utility - DIV	Japan	Issued	2019-156790
		TREATMENT WITH				
		GASES				

ASSIGNMENT OF PATENT PROPERTIES BY EQUINOX OPHTHALMIC, INC. TO BALANCE OPHTHALMICS, INC. Attorney Docket No. 4555,000001
Page 13 of 25

44	4555.003JP3	THERAPEUTIC EYE	Utility - DIV	Japan	Abandoned	2021-128243
	-	TREATMENT WITH		٠.		
		GASES.		ŧ		
45	4555.003KR1	THERAPEUTIC EYE	Utility - NSPCT	Republic of	Issued	10-2018-7029058
		TREATMENT WITH		Korea		
	*	GASES				
46	4555.003KR2	THERAPEUTIC EYE	Utility - DIV	Republic of	Issued	10-2020-7035258
		TREATMENT WITH		Korea		
		GASES				
47	4555.003KR3	THERAPEUTIC EYE	Utility - DIV	Republic of	Abandoned	10-2022-7012723
		TREATMENT WITH		Korea		
		GASES		: ' :		
48	4555.003MX1	THERAPEUTIC EYE	Utility - NSPCT	Mexico	Abandoned	MX/a/2018/010860
		TREATMENT WITH		•		
		GASES		.* •		ŀ
49	4555.003NL1	THERAPEUTIC EYE	Utility - EPPAT	Netherlands	Issued	17712612.5
	٠.	TREATMENT WITH				
		GASES				

ASSIGNMENT OF PATENT PROPERTIES BY EQUINOX OPHTHALMIC, INC. TO BALANCE OPHTHALMICS, INC. Attorney Docket No. 4555.000001
Page 14 of 25

50	4555.003SE1	THERAPEUTIC EYE	Utility - EPPAT	Sweden	Issued	17712612.5
		TREATMENT WITH				
		GASES				
51	4555.003TR1	THERAPEUTIC EYE	Utility - EPPAT	Turkey	Issued	17712612.5
		TREATMENT WITH				
		GASES		*		
52	4555.003US1	THERAPEUTIC EYE	Utility - NSPCT	United States	Issued	16/083,302
		TREATMENT WITH		of America		
		GASES				
53	4555.003US2	DEVICES AND METHODS	Utility - CON	United States	Allowed	17/014,631
		FOR HUMIDITY		of America		
	1	CONTROL OVER AN EYE				
		(as amended)		; * * * * * * * * * * * * * * * * * * *		
54	4555.003US3	THERAPEUTIC EYE	Utility - CON	United States	Pending	18/199,573
		TREATMENT WITH	*	of America.		
		GASES		<i>;</i> .		
55	4555.003WO1	THERAPEUTIC EYE	Utility - ORG	PCT .	Completed	PCT/US2017/021240
		TREATMENT WITH				
		GASES				

ASSIGNMENT OF PATENT PROPERTIES BY EQUINOX OPHTHALMIC, INC. TO BALANCE OPHTHALMICS, INC. Attorney Docket No. 4555.000001
Page 15 of 25

56	4555.005AU1	APPARATUS AND	Utility - NSPCT	Australia	Issued	2019319942
		METHODS TO ADJUST				
		OCULAR BLOOD FLOW				
57	4555.005AU2	APPARATUS AND	Utility - DIV	Australia	Pending	2022211909
		METHODS TO ADJUST		4		
		SPONTANEOUS VENOUS				
		PULSATION		; ;		
58	4555.005BR1	APPARATUS AND	Utility - NSPCT	Brazil	Pending	1120210025030
		METHODS TO ADJUST				
		OCULAR BLOOD FLOW				
59	4555.005CA1	APPARATUS AND	Utility - NSPCT	Canada	Pending	3,109,225
		METHODS TO ADJUST		. *		
		OCULAR BLOOD FLOW				
60	4555.005CN1	APPARATUS AND	Utility - NSPCT	China	Pending	201980065834.1
		METHODS TO ADJUST				
		OCULAR BLOOD FLOW				
61	4555.005EP1	APPARATUS AND	Utility - NSPCT	European	Pending	19759175.3
		METHODS TO ADJUST		Patent Office		
		OCULAR BLOOD FLOW				

ASSIGNMENT OF PATENT PROPERTIES BY EQUINOX OPHTHALMIC, INC. TO BALANCE OPHTHALMICS, INC. Attorney Docket No. 4555,000001
Page 16 of 25

62	4555.005HK1	APPARATUS AND	Utility - CONF	Hong Kong	Pending	62021045222.8
		METHODS TO ADJUST		•		
		OCULAR BLOOD FLOW				
63	4555.005IN1	APPARATUS AND	Utility - NSPCT	India	Pending	202117009018
		METHODS TO ADJUST		-		
		OCULAR BLOOD FLOW				
64	4555.005JP1	APPARATUS AND	Utility - NSPCT	Japan	Pending	2021-505948
		METHODS TO ADJUST				
		OCULAR BLOOD FLOW		·		
65	4555.005KR1	APPARATUS AND	Utility - NSPCT	Republic of	Pending	10-2021-7006949
		METHODS TO ADJUST		Korea		
		OCULAR BLOOD FLOW				
66	4555.005MX1	APPARATUS AND	Utility - NSPCT	Mexico	Pending	MX/a/2021/001497
		METHODS TO ADJUST				
		OCULAR BLOOD FLOW				
67	4555.005PRV	EYE AND BLOOD	Prov - ORG	United States	Expired	62/716,669
		PRESSURE BASED EYE		of America		
	*	TREATMENT				

ASSIGNMENT OF PATENT PROPERTIES BY EQUINOX OPHTHALMIC, INC. TO BALANCE OPHTHALMICS, INC. Attorney Docket No. 4555.000001
Page 17 of 25

68	4555.005US1	APPARATUS AND	Utility - CON	United States	Allowed	17/168,670
		METHODS TO ADJUST		of America		
		OCULAR BLOOD FLOW				
69	4555.005US2	APPARATUS AND	Utility - CON	United States	Pending	18/243,869
		METHODS TO ADJUST		of America		
		OCULAR BLOOD FLOW				
70	4555.005WO1	APPARATUS AND	Utility - ORG	PCT	Completed	PCT/US2019/045767
		METHODS TO ADJUST		,		
		OCULAR BLOOD FLOW				
71	4555.006USA	Pressurized Goggles Eye	Opinion	United States	Open	
		Treatment and		of America		
		Diagnostics FTO		,		
72	4555.007AU1	EYE TREATMENT	Utility - NSPCT	Australia	Pending	2019295731
		APPARATUS AND				
		METHOD WITH				
		INDEPENDENT		* J*		
		PRESSURE SOURCES				
73	4555.007BR1	EYE TREATMENT	Utility - NSPCT	Brazil	Pending	1120200267570
		APPARATUS AND				
		METHOD WITH				

ASSIGNMENT OF PATENT PROPERTIES BY EQUINOX OPHTHALMIC, INC. TO BALANCE OPHTHALMICS, INC. Attorney Docket No. 4555.000001
Page 18 of 25

	Т	1	···	,		
		INDEPENDENT				
		PRESSURE SOURCES		\$.*		
74	4555.007CA1	EYE TREATMENT	Utility - NSPCT	Canada	Pending	3,104,893
		APPARATUS AND				
		METHOD WITH				
		INDEPENDENT				
		PRESSURE SOURCES				
75	4555.007CN1	EYE TREATMENT	Utility - NSPCT	China	Pending	201980052543.9
		APPARATUS AND	!			
		METHOD WITH				
		INDEPENDENT				
		PRESSURE SOURCES				
76	4555.007EP1	EYE TREATMENT	Utility - NSPCT	European	Pending	19740219.1
	·	APPARATUS AND		Patent Office		
		METHOD WITH				
		INDEPENDENT		÷		
		PRESSURE SOURCES				
77	4555.007ĤK1	EYE TREATMENT	Utility - CONF	Hong Kong	Pending	62021041938.3
		APPARATUS AND				
		METHOD WITH				
			i			L

ASSIGNMENT OF PATENT PROPERTIES BY EQUINOX OPHTHALMIC, INC. TO BALANCE OPHTHALMICS, INC. Attorney Docket No. 4555.000001
Page 19 of 25

						
		INDEPENDENT				
		PRESSURE SOURCES		F.,		
78	4555.007IN1	EYE TREATMENT	Utility - NSPCT	India	Abandoned	202117003733
		APPARATUS AND		*		
		METHOD WITH		•		
		INDEPENDENT				
	,	PRESSURE SOURCES				
79	4555.007JP1	EYE TREATMENT	Utility - NSPCT	Japan	Pending	2020-572543
		APPARATUS AND				
		METHOD WITH				
		INDEPENDENT				
		PRESSURE SOURCES		** ***		
80	4555.007KR1	EYE TREATMENT	Utility - NSPCT	Republic of	Pending	10-2021-7001887
		APPARATUS AND		Korea		
		METHOD WITH				
		INDEPENDENT		7. *		
		PRESSURE SOURCES				
81	4555.007MX1	EYE TREATMENT	Utility - NSPCT	Mexico	Pending	MX/a/2021/000104
		APPARATUS AND				
e .		METHOD WITH				

ASSIGNMENT OF PATENT PROPERTIES BY EQUINOX OPHTHALMIC, INC. TO BALANCE OPHTHALMICS, INC. Attorney Docket No. 4555.000001
Page 20 of 25

		INDEPENDENT				
		PRESSURE SOURCES				
82	4555.007PRV	EYE TREATMENT	Prov - ORG	United States	Expired	62/691,210
		APPARATUS AND		of America		
		METHOD WITH				
		INDEPENDENT				
	,	PRESSURE SOURCES				
83	4555.007US1	EYE TREATMENT	Utility - CON	United States	Pending	17/130,094
		APPARATUS AND		of America		
	•	METHOD WITH				
		INDEPENDENT				
		PRESSURE SOURCES				
84	4555.007WO1	EYE TREATMENT	Utility - ORG	PCT	Completed	PCT/US2019/039399
		APPARATUS AND				
		METHOD WITH				
		INDEPENDENT				
		PRESSURE SOURCES				
85	4555.008PRV	Apparatus and Methods	Prov - ORG	United States	Closed	
		for Ocular Pressure		of America		
		Control				

ASSIGNMENT OF PATENT PROPERTIES BY EQUINOX OPHTHALMIC, INC. TO BALANCE OPHTHALMICS, INC. Attorney Docket No. 4555,000001
Page 21 of 25

86	4555.011US1	Systems for Processing	Utility - ORG	United States	Closed	
		Ophthalmological Data		of America		
87	4555.012CA1	APPARATUS AND	Utility - NSPCT	Canada	Abandoned	3115926
		METHODS TO TREAT				
		HEADACHES		7.		
88	4555.012CN1	APPARATUS AND	Utility - NSPCT	China	Abandoned	
	,	METHODS TO TREAT				
		HEADACHES	,	*		
89	4555.012JP1	APPARATUS AND	Utility - NSPCT	Japan	Abandoned	2021-520129
		METHODS TO TREAT				
		HEADACHES		.•		
90	4555.012PRV	APPARATUS AND	Prov - ORG	United States	Expired	62/743,851
		METHODS FOR		of America		
		TREATING HEADACHES		*		
91	4555.012US1	APPARATUS AND	Utility - CON	United States	Pending	17/225,539
		METHODS TO TREAT		of America		
		HEADACHES				
92	4555.012USA	APPARATUS AND	Opinion	United States	Open	
		METHODS FOR		of America		
		TREATING HEADACHES			- :	

ASSIGNMENT OF PATENT PROPERTIES BY EQUINOX OPHTHALMIC, INC. TO BALANCE OPHTHALMICS, INC. Attorney Docket No. 4555.000001
Page 22 of 25

93	4555.012WO1	APPARATUS AND	Utility - ORG	PCT	Completed	PCT/US2019/055515
		METHODS TO TREAT				
		HEADACHES		· •		
94	4555.013PRV	APPARATUS AND	Prov - ORG	United States	Expired	62/870,554
		METHODS TO ADJUST		of America		
		INTRAOCULAR			•	
		PRESSURE FOR				
	·	TREATMENT OF				
		HEADACHES				
95	4555.013US1	METHOD,	Utility - NSPCT	United States	Closed	
		COMPOSITION, AND		of America		
		APPARATUS FOR		*		
		TREATING HEADACHE		•		
96	4555.013WO1	METHOD,	Utility - ORG	PCT	Completed	PCT/US2020/040700
		COMPOSITION, AND				
		APPARATUS FOR				
		TREATING HEADACHE				
97	4555.014PRV	ADJUSTING	Prov - ORG	United States	Expired	62/979,759
		PHYSIOLOGICAL		of America		
		PRESSURE OF EYE IN				
		POSITIVE AIRWAY				

ASSIGNMENT OF PATENT PROPERTIES BY EQUINOX OPHTHALMIC, INC. TO BALANCE OPHTHALMICS, INC. Attorney Docket No. 4555.000001
Page 23 of 25

		PRESSURE (PAP)				
		PATIEN			•	
98	4555.014PV2	ADJUSTING	Prov - ORG	United States	Expired	63/176,485
		PHYSIOLOGICAL		of America		
		PRESSURE OF EYE IN				
		POSITIVE AIRWAY				
		PRESSURE (PAP)				
	,	PATIEN				
99	4555.014PV3	ADJUSTING	Prov - ORG	United States	Expired	63/338,140
		PHYSIOLOGICAL		of America		
		PRESSURE OF EYE IN				
		POSITIVE AIRWAY				
		PRESSURE (PAP)				
		PATIEN				
100	4555.015PRV	OCULAR HEALTH INDEX	Prov - ORG	United States	Expired	63/010,306
		DETERMINATION AND		of America		
		USAGE				
101	4555.015PV2	OCULAR HEALTH INDEX	Prov - ORG	United States	Expired	63/180,758
		DETERMINATION AND		of America		
		USAGE				

ASSIGNMENT OF PATENT PROPERTIES BY EQUINOX OPHTHALMIC, INC. TO BALANCE OPHTHALMICS, INC. Attorney Docket No. 4555.000001
Page 24 of 25

102	4555 015W01	OCH AD HEALTH INDEV	Little ODC	PCT	Danding	PCT/US2022/026495
102	4555.015WO1	OCULAR HEALTH INDEX	Utility - ORG	PCI	Pending	PC1/U32U22/U20493
		DETERMINATION AND	•			
		USAGE		·		
103	4555.016PRV	CORNEAL PAIN	Prov - ORG	United States	Closed	
		MANAGEMENT		of America		
		STIMULATOR				
104	4555.017PRV	APPARATUS AND	Prov - ORG	United States	Expired	63/011,540
	,	METHODS FOR		of America		
		MANAGEMENT OF				
		CEREBROSPINAL FLUID				
		PRESSURE LEVEL				
105	4555.017WO1	APPARATUS AND	Utility - ORG	PCT	Completed	PCT/US2021/027726
		METHODS FOR ICP LEVEL				
		CONTROL		9 9		·
106	4555.020PRV	SAFETY APPARATUS AND	Prov - ORG	United States	Expired	63/155,999
		METHODS FOR		of America		
		APPLICATION OF		:		
	,	OCULAR PRESSURE				
107	4555.020WO1	SAFETY APPARATUS AND	Utility - ORG	PCT	Closed	
		METHODS FOR				

IENT OF PATENT PROPERTIES Docket No. 4555.000001						
Page 25 of 25						
	APPLICATION OF					
	OCULAR PRESSURE					
 				I	I	

Appendix B – US MATTERS

Index	File Number	Title	Matter	Country	Status	Application #
			Туре			
1	4555.001PRV	DETECTING	Prov -	United	Expired	62/210,751
		INTRABODY	ORG	States of		
		PRESSURE USING		America		
		EYE BLOOD VESSEL				
		CHARACTERISTIC				
2	4555.001US1	EYE-RELATED	Utility -	United	Issued	15/754,723
		INTRABODY	NSPCT	States of		
		PRESSURE		America		
		IDENTIFICATION				
		AND				
		MODIFICATION				
3	4555.001US2	EYE-RELATED	Utility -	United	Pending	17/077,205
		INTRABODY	CON	States of		
		PRESSURE		America		
		IDENTIFICATION				
		AND				
		MODIFICATION				
4	4555.001WO1	EYE-RELATED	Utility -	PCT	Completed	PCT/US2016/048784
		INTRABODY	ORG			
		PRESSURE				
		IDENTIFICATION				
		AND				
		MODIFICATION				
5	4555.002PRV	Apparatus and	Prov -	United	Expired	62/311,052
		Methods for Ocular	ORG	States of		
		Pressure		America		
		Modification				
6	4555.003US1	THERAPEUTIC EYE	Utility -	United	Issued	16/083,302
		TREATMENT WITH	NSPCT	States of		
		GASES		America		

7	4555.003US2	DEVICES AND	Utility -	United	Allowed	17/014,631
		METHODS FOR	CON	States of		
		HUMIDITY		America		
		CONTROL OVER AN				
		EYE (as amended)				
8	4555.003US3	THERAPEUTIC EYE	Utility -	United	Pending	18/199,573
		TREATMENT WITH	CON	States of		
		GASES		America		
9	4555.005PRV	EYE AND BLOOD	Prov -	United	Expired	62/716,669
		PRESSURE BASED	ORG	States of		
		EYE TREATMENT		America		
10	4555.005US1	APPARATUS AND	Utility -	United	Allowed	17/168,670
		METHODS TO	CON	States of		
		ADJUST OCULAR		America		
		BLOOD FLOW				
11	4555.005US2	APPARATUS AND	Utility -	United	Pending	18/243,869
		METHODS TO	CON	States of		
		ADJUST OCULAR		America		
		BLOOD FLOW				
12	4555.005WO1	APPARATUS AND	Utility -	PCT	Completed	PCT/US2019/045767
		METHODS TO	ORG			
		ADJUST OCULAR				
		BLOOD FLOW				
13	4555.007PRV	EYE TREATMENT	Prov -	United	Expired	62/691,210
		APPARATUS AND	ORG	States of		
		METHOD WITH		America		
		INDEPENDENT				
		PRESSURE				
		SOURCES				
14	4555.007US1	EYE TREATMENT	Utility -	United	Pending	17/130,094
		APPARATUS AND	CON	States of		
		METHOD WITH		America		
		INDEPENDENT				
		PRESSURE				
		SOURCES				
	•		•	•	•	

15	4555.007WO1	EYE TREATMENT	Utility -	PCT	Completed	PCT/US2019/039399
		APPARATUS AND	ORG			
		METHOD WITH				
		INDEPENDENT				
		PRESSURE				
		SOURCES				
16	4555.012PRV	APPARATUS AND	Prov -	United	Expired	62/743,851
		METHODS FOR	ORG	States of		
		TREATING		America		
		HEADACHES				
17	4555.012US1	APPARATUS AND	Utility -	United	Pending	17/225,539
		METHODS TO	CON	States of		
		TREAT HEADACHES		America		
18	4555.012WO1	APPARATUS AND	Utility -	PCT	Completed	PCT/US2019/055515
		METHODS TO	ORG			
		TREAT HEADACHES				
19	4555.013PRV	APPARATUS AND	Prov -	United	Expired	62/870,554
		METHODS TO	ORG	States of		
		ADJUST		America		
		INTRAOCULAR				
		PRESSURE FOR				
		TREATMENT OF				
		HEADACHES				
20	4555.013WO1	METHOD,	Utility -	PCT	Completed	PCT/US2020/040700
		COMPOSITION,	ORG			
		AND APPARATUS				
		FOR TREATING				
		HEADACHE				
21	4555.014PRV	ADJUSTING	Prov -	United	Expired	62/979,759
		PHYSIOLOGICAL	ORG	States of		
		PRESSURE OF EYE		America		
		IN POSITIVE				
		AIRWAY PRESSURE				
		(PAP) PATIEN				

22	4555.014PV2	ADJUSTING	Prov -	United	Expired	63/176,485
		PHYSIOLOGICAL	ORG	States of		
		PRESSURE OF EYE		America		
		IN POSITIVE				
		AIRWAY PRESSURE				
		(PAP) PATIEN				
23	4555.014PV3	ADJUSTING	Prov -	United	Expired	63/338,140
		PHYSIOLOGICAL	ORG	States of		
		PRESSURE OF EYE		America		
		IN POSITIVE				
		AIRWAY PRESSURE				
		(PAP) PATIEN				
24	4555.015PRV	OCULAR HEALTH	Prov -	United	Expired	63/010,306
		INDEX	ORG	States of		
		DETERMINATION		America		
		AND USAGE				
25	4555.015PV2	OCULAR HEALTH	Prov -	United	Expired	63/180,758
		INDEX	ORG	States of		
		DETERMINATION		America		
		AND USAGE				
26	4555.015WO1	OCULAR HEALTH	Utility -	PCT	Pending	PCT/US2022/026495
		INDEX	ORG			
		DETERMINATION				
		AND USAGE				
27	4555.017PRV	APPARATUS AND	Prov -	United	Expired	63/011,540
		METHODS FOR	ORG	States of		
		MANAGEMENT OF		America		
		CEREBROSPINAL				
		FLUID PRESSURE				
		LEVEL				
28	4555.017WO1	APPARATUS AND	Utility -	PCT	Completed	PCT/US2021/027726
		METHODS FOR ICP	ORG			
		LEVEL CONTROL				

29	4555.020PRV	SAFETY APPARATUS	Prov -	United	Expired	63/155,999
		AND METHODS	ORG	States of		
		FOR APPLICATION		America		
		OF OCULAR				
		PRESSURE				

RECORDED: 10/03/2023